Edgar Filing: Ricks David A - Form 4

| Ricks David | Α | | | | | | | | | | |
|-------------------------|----------------------|-----------------|-------------|------------------------------------------------------------------------|------------|---------------------------|------------------------------------------------------------|--------------------------------------------------|----------------------------------|-------------------------|--|
| Form 4 | 2012 | | | | | | | | | | |
| January 29, 2 | | | | | | | | | | | |
| FORM | 14_{UNITED} | остате | SECU | лтібс а | ND EV | י נו א דו י | NCEC | OMMISSION | | PROVAL | |
| | UNITEL | SIALE | | shington, | | | NGE C | | OMB Number: | 3235-0287 | |
| Check th | is box | | vv a | sinington, | D.C. 20 | 547 | | | | January 31 | |
| if no long | - NIATH | MENT O | F CHAN | IGES IN | BENEFI | | LOW | NERSHIP OF | Expires: | 2005 | |
| subject to Section 1 | 0 | | | SECURITIES | | | | | Estimated a | | |
| Form 4 c | | | | | | | | | burden hours per response 0.5 | | |
| Form 5 | Filed pu | irsuant to | Section 1 | 6(a) of the | e Securit | ies E | xchange | e Act of 1934, | | | |
| obligatio may cont | | (a) of the | Public U | tility Hold | ding Con | ipany | Act of | 1935 or Section | ı | | |
| See Instr | | 30(h) | of the In | vestment | Compan | y Act | t of 194 | 0 | | | |
| 1(b). | | | | | | | | | | | |
| | . | | | | | | | | | | |
| (Print or Type] | Responses) | | | | | | | | | | |
| 1 Name and A | Address of Reporting | g Person * | 2 1 | - N | I Т: -1 | T | | 5 Relationship of | Reporting Pers | on(s) to | |
| Ricks David A Symbo | | | | 2. Issuer Name and Ticker or Trading Symbol LILLY ELI & CO [LLY] | | | | 5. Relationship of Reporting Person(s) to Issuer | | | |
| | | | • | | | | | | | | |
| (I t) | (Einst) | | (Cheo | | | | k all applicable) | | | | |
| (Last) | (First) | (Middle) | | Date of Earliest Transaction [Month/Day/Year] | | | | Director 10% Owner | | | |
| LILLY CO | RPORATE CEN | ITER | 01/25/2 | - | | | Director 10% Owner X Officer (give title Other (specify | | | | |
| | | | 01/25/2 | .572015 | | | | below) below) SVP and Pres, Lilly Bio-Meds | | | |
| | | | | | | | | | - | | |
| | | | | If Amendment, Date Original | | | | 6. Individual or Joint/Group Filing(Check | | | |
| | | | Filed(Mo | nth/Day/Year | .) | | | Applicable Line) _X_ Form filed by C | ne Reporting Pe | rson | |
| INDIANAP | POLIS, IN 46285 | τ. | | | | | | Form filed by M | | | |
| Πιμπιπη | 0215, 114 4020. | , | | | | | | Person | | | |
| (City) | (State) | (Zip) | Tab | le I - Non-D | Derivative | Securi | ities Acq | uired, Disposed of | , or Beneficial | ly Owned | |
| 1.Title of | 2. Transaction Da | te 2A. Deei | ned | 3. | 4. Securit | ies Ac | quired | 5. Amount of | 6. | 7. Nature of | |
| Security | (Month/Day/Year) |) Executio | n Date, if | - | | | | Securities Beneficially Owned | Form: Direct Benefic | Indirect | |
| (Instr. 3) | | any (Month/I | Dow/Veor) | | | | 5) | | | | |
| | | (WOIIII/I | Jay/ I eal) | (111501.0) | | | | Following | (D) or Indirect (I) | Ownership (Instr. 4) | |
| | | | | | | (A) | | Reported | (Instr. 4) | · / | |
| | | | | | | (A) or | | Transaction(s) | | | |
| | | | | Code V | Amount | (D) | Price | (Instr. 3 and 4) | | | |
| Common | 01/25/2013 | | | А | 11,174 | А | \$ | 29,941 | D | | |
| Stock | 0112012015 | | | 11 | 11,174 | 11 | 53.21 | | 2 | | |
| Common | | | | | | | | 2 000 | T | 401(1) | |
| Stock | | | | | | | | 2,098 | Ι | 401(k) | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 4. Transactic Code (Instr. 8) | 5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | Date | Amou Under Secur | rlying | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr |
|-----------------------------------------------------|-----------------------------------------------------------------------|-----------------------------------------|----------------------------------------|-------------------------------------------------------------------------------------------------------------------------|---------------------|--------------------|------------------------|----------------------------------------|-----------------------------------------------------|-----------------------------------------------------------------------------|
| | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | | |
|---------------------------------------|----------------|-----------|---------|-------|--|--|--|--|
| FB | Director | 10% Owner | Officer | Other | | | | |
| Ricks David A | | | SVP and | | | | | |
| LILLY CORPORATE CENTER | Pres, Lilly | | | | | | | |
| INDIANAPOLIS, IN 46285 | Bio-Meds | | | | | | | |
| Signatures | | | | | | | | |
| Jamia E. Hanay for David A. Diala | anth animation | | | | | | | |

Jamie E. Haney for David A. Ricks, authorization on file

**Signature of Reporting Person

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

01/29/2013

Date