

ADVENT SOFTWARE INC /DE/
Form 8-K
May 15, 2013
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UNITED STATES
SECURITIES AND EXCHANGE COMMISSION

Washington, DC 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 or 15(d) of
the Securities Exchange Act of 1934

May 9, 2013

Date of Report (date of earliest event reported)

ADVENT SOFTWARE, INC.

(Exact name of Registrant as specified in its charter)

State of Delaware
(State or other jurisdiction of
incorporation or organization)

0-26994
(Commission File Number)

94-2901952
(I.R.S. Employer
Identification Number)

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600 Townsend Street

San Francisco, California 94103

(Address of principal executive offices)

(415) 543-7696

(Registrant's telephone number, including area code)

(Former name or former address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

☐ Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

☐ Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

☐ Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

☐ Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

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Set forth below are the final voting results for each proposal submitted to a vote of Advent Software, Inc. (Advent or the Company) stockholders at the 2013 Annual Meeting of Stockholders held on May 9, 2013. For more information on the following proposals, see the Company's 2013 Proxy Statement.

Proposal 1. To elect eight directors to serve until the next Annual Meeting of Stockholders and until their successors are duly elected and qualified.

| | Number of Shares | | | Broker Non-Votes |
|-------------------------|------------------|------------|------------|---------------------|
| | For | Against | Abstained | |
| John H. Scully | 41,704,271 | 3,192,933 | 52,040 | 2,466,073 |
| Stephanie G. DiMarco | 28,629,244 | 16,270,862 | 49,138 | 2,466,073 |
| David Peter F. Hess Jr. | 44,510,256 | 384,317 | 54,671 | 2,466,073 |
| Asiff S. Hirji | 44,074,457 | 818,884 | 55,903 | 2,466,073 |
| James D. Kirsner | 28,449,359 | 561,009 | 15,938,876 | 2,466,073 |
| Christine S. Manfredi | 44,593,384 | 301,745 | 54,115 | 2,466,073 |
| Robert M. Tarkoff | 28,734,667 | 271,763 | 15,942,814 | 2,466,073 |
| Wendell G. Van Auken | 44,319,202 | 572,050 | 57,992 | 2,466,073 |

Proposal 2. To ratify the appointment of PricewaterhouseCoopers LLP as Advent's independent registered public accounting firm for the fiscal year ending December 31, 2013.

| | Number of Shares | |
|------------|------------------|-----------|
| For | Against | Abstained |
| 47,268,871 | 98,209 | 48,237 |

Proposal 3. To approve, on an advisory basis, the compensation of Advent's Named Executive Officers.

Number of Shares

Broker

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| For | Against | Abstained | Non-Votes |
|------------|---------|-----------|-----------|
| 44,521,083 | 371,552 | 56,609 | 2,466,073 |

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SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this Report to be signed on its behalf by the undersigned, thereunto duly authorized.

ADVENT SOFTWARE, INC.

| | |
|-----|---|
| By: | /s/ James S. Cox |
| | James S. Cox |
| | <i>Executive Vice President and</i> |
| | <i>Chief Financial Officer</i> |
| | <i>(Principal Financial and Accounting Officer)</i> |

Dated: May 15, 2013