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ALBANY MOLECULAR RESEARCH INC

Form 4

December 05, 2014

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

OMB APPROVAL

OMB Number:

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Check this box

SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * CABLE STUART M

(Middle)

(Zip)

2. Issuer Name and Ticker or Trading

Symbol

ALBANY MOLECULAR RESEARCH INC [AMRI]

3. Date of Earliest Transaction

12/03/2014

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First)

(Month/Day/Year)

Director Officer (give title below)

X__ 10% Owner _ Other (specify

GOODWIN PROCTER LLP, 53 STATE STREET

(State)

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

BOSTON, MA 02109

(City)

| (City) | (State) | Tab | le I - Non- | Derivative | Secu | rities Acquir | ed, Disposed of, | or Beneficially | y Owned |
|--------------------------------------|---|---|--|------------------------------------|-------|----------------------|--|--|---|
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transactic Code (Instr. 8) | 4. Securit or Dispos (Instr. 3, 4) | ed of | | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
| Common Stock | 12/03/2014 | | S(1) | 6,302 | D | | $4,076,987 \ \underline{^{(4)}}_{\underline{^{(5)}}}$ | I | Advisor (6) |
| Common Stock | 12/04/2014 | | S <u>(1)</u> | 10,391 | D | \$ 16.0006 (3) | 4,066,596 (4) (5) | I | Advisor (6) |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2. | 3. Transaction Date | 3A. Deemed | 4. | 5. | 6. Date Exerc | cisable and | 7. Title | e and | 8. Price of | 9. Nu |
|-------------|-------------|---------------------|--------------------|------------|------------|---------------|-------------|----------|----------|-------------|--------|
| Derivative | Conversion | (Month/Day/Year) | Execution Date, if | Transacti | orNumber | Expiration D | ate | Amou | nt of | Derivative | Deriv |
| Security | or Exercise | | any | Code | of | (Month/Day/ | Year) | Underl | lying | Security | Secui |
| (Instr. 3) | Price of | | (Month/Day/Year) | (Instr. 8) | Derivativ | e | | Securit | ties | (Instr. 5) | Bene |
| | Derivative | | | | Securities | | | (Instr. | 3 and 4) | | Own |
| | Security | | | | Acquired | | | | | | Follo |
| | · | | | | (A) or | | | | | | Repo |
| | | | | | Disposed | | | | | | Trans |
| | | | | | of (D) | | | | | | (Instr |
| | | | | | (Instr. 3, | | | | | | |
| | | | | | 4, and 5) | | | | | | |
| | | | | | | | | | A | | |
| | | | | | | | | | Amount | | |
| | | | | | | Date | Expiration | | or | | |
| | | | | |] | Exercisable | Date | | | | |
| | | | | C 1 W | (A) (D) | | | | of | | |
| | | | | Code V | (A) (D) | | | | Shares | | |

Reporting Owners

Relationships Reporting Owner Name / Address 10% Owner Officer Other Director

CABLE STUART M GOODWIN PROCTER LLP, 53 STATE STREET X BOSTON, MA 02109

Signatures

/s/ Stuart M. 12/05/2014 Cable

**Signature of Date Reporting Person

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted on May 9, 2014 for each of the trusts named in footnote 4.
- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$16.00 to \$16.017, inclusive. The reporting person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the **(2)** Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the
- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$16.00 to \$16.02, inclusive. The reporting person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range.
 - Mr. Stuart Cable is a special holding direction advisor to the following trusts: the BTDEL TTEE of Thomas D'Ambra Family Trust I UAD 2/26/97 FBO Abigail D'Ambra, which holds 1,355,531.67 shares of common stock of the Issuer after the transaction reported
- (4) hereunder, (ii) the BTDEL TTEE of Thomas D'Ambra Family Trust I UAD 2/26/97 FBO Geoffrey D'Ambra, which holds 1,355,532.67 shares after the transaction reported hereunder, and (iii) the BTDEL TTEE of Thomas D'Ambra Family Trust I UAD 2/26/97 FBO Agatha D'Ambra, which holds 1,355,531.66 shares after the transaction reported hereunder.

Reporting Owners 2

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- Bessemer Trust Company of Delaware N.A. is the trustee of each trust and has voting and dispositive power over the shares, subject to the direction of Mr. Cable as described below. Mr. Cable has the authority to direct the trustee in the exercise of all powers with respect to the shares, including investment decisions; however, Mr. Cable does not have any direct or indirect pecuniary interest in the shares and therefore disclaims any beneficial ownership of the shares under Rule 16a-2.
- Advisor to the BTDEL TTEE of Thomas D'Ambra Family Trust I UAD 2/26/97 FBO Abigail D'Ambra, (ii) the BTDEL TTEE of

 Thomas D'Ambra Family Trust I UAD 2/26/97 FBO Geoffrey D'Ambra and (iii) the BTDEL TTEE of Thomas D'Ambra Family Trust I UAD 2/26/97 FBO Agatha D'Ambra.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.