Edgar Filing: ALBANY MOLECULAR RESEARCH INC - Form 4

ALBANY MOLECULAR RESEARCH INC

Form 4

September 02, 2014

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UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

January 31, Expires: 2005

0.5

OMB APPROVAL

Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

burden hours per response...

Estimated average

See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * Hagen Steven R

2. Issuer Name and Ticker or Trading

Issuer

below)

Symbol

ALBANY MOLECULAR RESEARCH INC [AMRI]

(Check all applicable)

(Last)

26 CORPORATE CIRCLE

(Middle)

3. Date of Earliest Transaction

(Month/Day/Year)

08/28/2014

Director 10% Owner Other (specify X_ Officer (give title

5. Relationship of Reporting Person(s) to

SVP Pharmaceutical Dev & Mfg

(Street)

(Ctota)

(First)

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

Person

ALBANY, NY 12203

(City)	(State) (uired, Disposed of, or Beneficially Owned							
1.Title of Security	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if	3. Transaction	4. Securities Acquired ransaction(A) or Disposed of (D)		5. Amount of Securities	6. Ownership Form: Direct	7. Nature of Indirect	
(Instr. 3)		any (Month/Day/Year)	Code (Instr. 8)	(Instr. 3, 4 and 5)		Beneficially Owned Following	(D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)	
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		
Common Stock	08/28/2014		M	1,250	A	\$ 5.25	32,183	D	
Common Stock	08/28/2014		S	1,250	D	\$ 19.74	30,933	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number coof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	f Derivative Expiration Date ecurities (Month/Day/Year) cquired A) or isposed of D) nstr. 3, 4,		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8 D S (1
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Options to Purchase Common	\$ 5.25	08/28/2014		M	1,250	<u>(1)</u>	06/02/2021	Common Stock	1,250	

Reporting Owners

Director 10% Owner Officer Other

SVP

Hagen Steven R

26 CORPORATE CIRCLE Pharmaceutical ALBANY, NY 12203 Dev & Mfg

Signatures

Stock

/s/ Steven R. 09/02/2014 Hagen

**Signature of Date
Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 100% of grant is time based. Time based grant vests 25% per year on each of the first 4 anniversaries of the date of grant (June 2, 2011). The 1,250 shares being exercised represent a portion of the vested grants.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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