**MOSAIC CO** Form 4 October 02, 2014

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

30(h) of the Investment Company Act of 1940

**OMB APPROVAL OMB** 

3235-0287 Number:

Expires:

January 31, 2005

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Check this box if no longer subject to Section 16. Form 4 or Form 5

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue.

See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * STRANGHOENER LAWRENCE W			Symbol	r Name <b>a</b> IC CO [	nd Ticker or Trading  MOS]	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)			
(Last)	(First)	(Middle)		f Earliest Day/Year)	Transaction	Director	2K an applicable 10%		
C/O THE N	MOSAIC		10/01/2	•		X_ Officer (give	e titleOth		
COMPANY, 3033 CAMPUS			10,01,201			below) below)  EVP - Strategy and Bus Dev			
DRIVE, SU	JITE E490								
		4. If Amendment, Date Original			6. Individual or Joint/Group Filing(Check				
			Filed(Mo	nth/Day/Y	ear)	Applicable Line)			
PLYMOUT	ΓH, MN 55441					_X_ Form filed by N Form filed by N Person			
(City)	(State)	(Zip)	Tab	le I - Non	-Derivative Securities Acq	quired, Disposed o	f, or Beneficia	lly Owned	
1.Title of	2. Transaction D			3. Transac	4. Securities Acquired tion(A) or Disposed of (D)	5. Amount of Securities	6. Ownership	7. Nature of	

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1.Title of Security (Instr. 3)	2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if any (Month/Day/Year)		3. Transactio Code (Instr. 8)	4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5)		5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)	(Instr. 4)	
Common Stock							50	I	by Son
Common Stock	10/01/2014		M <u>(7)</u>	12,084	A	\$ 15.04	152,506	D	
Common Stock	10/01/2014		S <u>(7)</u>	12,084	D	\$ 44.11	140,422	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of **SEC 1474** information contained in this form are not (9-02)required to respond unless the form

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	Secu Acqu or Di (D)	rities nired (A) isposed of r. 3, 4,	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Share
Stock Option (right to buy)	\$ 15.04	10/01/2014		M <u>(7)</u>		12,084	(3)	10/29/2014	Common Stock	12,084
Stock Option (right to buy)	\$ 17.29						(3)	08/01/2015	Common Stock	64,935
Stock Option (right to buy)	\$ 15.45						(3)	08/04/2016	Common Stock	61,120
Stock Option (right to buy)	\$ 40.03						(3)	08/02/2017	Common Stock	25,328
Stock Option (Right to Buy)	\$ 127.21						(3)	07/31/2018	Common Stock	7,315
Stock Option (Right to Buy)	\$ 52.72						(3)	07/27/2019	Common Stock	14,423
Stock Option (Right to Buy)	\$ 44.93						(3)	07/27/2020	Common Stock	22,285
Stock Option (Right to	\$ 70.62						(3)	07/21/2021	Common Stock	13,997

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Buy)					
Stock Option (Right to Buy)	\$ 57.62	<u>(4)</u>	07/19/2022	Common Stock	21,853
Restricted Stock Units	\$ 0 <u>(1)</u>	07/19/2015	(2)	Common Stock	8,678
Stock Option (Right to Buy)	\$ 54.03	<u>(5)</u>	07/18/2023	Common Stock	23,674
Restricted Stock Units	\$ 0 <u>(1)</u>	07/18/2016	(2)	Common Stock	9,254
Stock Option (Right to Buy)	\$ 49.73	<u>(6)</u>	03/07/2024	Common Stock	26,610
Restricted Stock Units	\$ 0 <u>(1)</u>	03/07/2017	(2)	Common Stock	10,054

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		

STRANGHOENER LAWRENCE W C/O THE MOSAIC COMPANY 3033 CAMPUS DRIVE, SUITE E490 PLYMOUTH, MN 55441

EVP - Strategy and Bus Dev

## **Signatures**

/s/Mark J. Isaacson, Attorney-in-Fact for Lawrence W.
Stranghoener

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) One-for-One
- (2) Not Applicable
- (3) This Stock Option is 100% exercisable.

**(4)** 

Reporting Owners 3

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Grant Date 07/19/2012; option becomes exercisable in three equal installments commencing on the first anniversary of the grant date and on each anniversary thereafter.

- (5) Grant Date 07/18/2013; option becomes exercisable in three equal installments commencing on the first anniversary of the grant date and on each anniversary thereafter.
- (6) Grant Date 03/07/2014; option becomes exercisable in three equal installments commencing on the first anniversary of the grant date and on each anniversary thereafter.
- (7) The stock option exercise and sale were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on April 17, 2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.