Edgar Filing: Yi Kathy - Form 4

Yi Kathy											
Form 4											
January 16, 2	2019										
FORM	14	GEOUD						OMB AF	PROVAL		
UNITED STATES S				SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549					OMB Number:	3235-0287	
Check the if no long	F CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES						Expires:	January 31 2005			
subject to Section 1 Form 4 o							Estimated average burden hours per response 0.				
Form 5 obligation may cont <i>See</i> Instru 1(b).	ns Section I	Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940									
(Print or Type I	Responses)										
1. Name and Address of Reporting Person <u>*</u> Yi Kathy			2. Issuer Name and Ticker or Trading Symbol SANGAMO THERAPEUTICS, INC [SGMO]					5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last)	(First)	(Middle)	3. Date of Earliest Transaction				Director 10% Owner				
INC., POIN	AMO THERA T RICHMON ANAL BLVD	D TECH	(Month/D 01/15/20	ay/Year)				Officer (give below) SVP & Ch	title Othe below) ief Financial O	er (specify fficer	
(Street)			4. If Ame	4. If Amendment, Date Original				6. Individual or Joint/Group Filing(Check			
F RICHMOND, CA 94804				th/Day/Year))			Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City)	(State)	(Zip)	Tahl	e I - Non-D	erivative	Secur	ities Aca	uired Disposed of	or Beneficial	lv Owned	
1.Title of Security (Instr. 3)	.Title of 2. Transaction Date 2A. Deemed ecurity (Month/Day/Year) Execution Date, if		Code (Instr. 3, 4 and 5) (Instr. 8) (A)				5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of		
				Code V	Amount	or (D)	Price	(Instr. 3 and 4)			
Common Stock	01/15/2019			М	5,000	А	\$ 4.55	12,250	D		
Common Stock	01/15/2019			S <u>(1)</u>	5,000	D	\$ 11.04	7,250 <u>(2)</u>	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number on f Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. H Der Sec (In:
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Option (Right to Buy)	\$ 4.55	01/15/2019		М	5,000	<u>(3)</u>	02/27/2027	Common Stock	5,000	

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Yi Kathy C/O SANGAMO THERAPEUTICS, INC. POINT RICHMOND TECH CTR, 501 CANAL BLVD. RICHMOND, CA 94804			SVP & Chief Financial Officer			
Signatures						

/s/ Denise Winn, Attorney-in-Fact for Kathy Yi

01/16/2019

**Signature of Reporting Person

Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The sale reported in this Form 4 was effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person on August 14, (1)2017.

Represents 7,250 shares subject to restricted stock units granted on January 24, 2018 that will vest in three (3) equal annual installments (2) on each anniversary of the grant date, such that they will be vested in full on the third (3rd) anniversary of the grant date, subject to the Reporting Person's continued service with the Issuer through such date.

One-quarter (1/4) of the option shares vested and became exercisable upon completion of one (1) year of service by the Reporting Person (3) measured from February 27, 2017, and the remainder will vest and become exercisable in equal monthly installments for thirty-six (36) months thereafter, provided that the Reporting Person remains in service with the Issuer through each such monthly vesting date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.