

DEERE & CO

Form 3

November 02, 2016

FORM 3UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB
Number: 3235-0104Expires: January 31,
2005Estimated average
burden hours per
response... 0.5**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF
SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

Â Howze Marc A

(Last)

(First)

(Middle)

2. Date of Event Requiring
Statement

(Month/Day/Year)

11/01/2016

3. Issuer Name **and** Ticker or Trading Symbol
DEERE & CO [DE]4. Relationship of Reporting
Person(s) to Issuer5. If Amendment, Date Original
Filed(Month/Day/Year)

ONE JOHN DEERE PLACE

(Street)

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer ☐ Other
(give title below) (specify below)
Sr. VP & Chief Admin Offcr6. Individual or Joint/Group
Filing(Check Applicable Line)
☒ Form filed by One Reporting
Person
☐ Form filed by More than One
Reporting Person

MOLINE,Â ILÂ 61265

(City)

(State)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned1. Title of Security
(Instr. 4)2. Amount of Securities
Beneficially Owned
(Instr. 4)3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

\$1 Par Common Stock

1,306 ⁽¹⁾

D

Â

\$1 Par Common Stock

4,926.725 ⁽²⁾

I

By 401(k) Plan

Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.****Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**1. Title of Derivative Security
(Instr. 4)2. Date Exercisable and
Expiration Date
(Month/Day/Year)3. Title and Amount of
Securities Underlying
Derivative Security
(Instr. 4)4. Conversion
or Exercise
Price of
Derivative5. Ownership
Form of
Derivative
Security:6. Nature of Indirect
Beneficial
Ownership
(Instr. 5)

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Market Priced Employee Stock Options <u>(3)</u> <u>(4)</u>	12/05/2008	12/05/2017	Common Stock	6,624	\$ 88.82	D	Â
Market Priced Employee Stock Options <u>(3)</u> <u>(4)</u>	12/08/2011	12/08/2020	Common Stock	7,731	\$ 80.61	D	Â
Market Priced Employee Stock Options <u>(3)</u> <u>(4)</u>	12/14/2012	12/14/2021	Common Stock	7,996	\$ 74.24	D	Â
Market Priced Employee Stock Options <u>(3)</u> <u>(4)</u>	12/12/2013	12/12/2022	Common Stock	8,343	\$ 86.36	D	Â
Market Priced Employee Stock Options <u>(3)</u> <u>(4)</u>	12/11/2014	12/11/2023	Common Stock	12,124	\$ 87.46	D	Â
Market Priced Employee Stock Options <u>(3)</u> <u>(4)</u>	12/10/2015	12/10/2024	Common Stock	14,619	\$ 88.185	D	Â
Market Priced Employee Stock Options <u>(3)</u> <u>(4)</u>	12/09/2016	12/09/2025	Common Stock	8,585	\$ 79.24	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Howze Marc A ONE JOHN DEERE PLACE MOLINE, IL 61265	Â	Â	Â Sr. VP & Chief Admin Offer	Â

Signatures

/s/ Paul Wilczynski, Assistant Secretary, Deere & Company, Under Power of Attorney

11/02/2016

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 1,306 restricted stock units granted under the John Deere Omnibus Equity and Incentive Plan and to be settled solely in shares.
- (2) Represents units in the issuer stock fund of the Deere & Company 401(k) Savings and Investment Plan. The information is based on a plan statement dated as of September 30, 2016 when the per unit value was \$124.02.
- (3) All options include the ability to withhold shares upon the exercise of the option to satisfy minimum required income tax obligations.
- (4) The options become exercisable in three approximately equal annual installments one, two and three years after grant. The date listed in the initial exercisable installment date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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