### Edgar Filing: ALBANY INTERNATIONAL CORP /DE/ - Form 4

### ALBANY INTERNATIONAL CORP /DE/

Form 4

November 12, 2013

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FORM	14 independent	ec cecupiru	70 A 1		TT A	NOEG			PPROVAL			
Washington, D.C. 20549								OMB Number:	3235-0287			
Check the if no lon	gar							Expires:	January 31, 2005			
subject t Section Form 4 o Form 5	o STATEMENT 16.	SEC	F CHANGES IN BENEFICIAL OWN SECURITIES					Estimated average burden hours per response 0.5				
obligation may con See Instruction 1(b).	Section 17(a) of the string of the string.	o Section 16(a) of Public Utility  h) of the Investn	Holdi	ing Com	npany	Act of	1935 or Section	1				
(Print or Type Responses)												
1. Name and A	Symbol					5. Relationship of Reporting Person(s) to Issuer						
			ALBANY INTERNATIONAL CORP /DE/ [AIN]					(Check all applicable)				
(Last)	3. Date of Earlie (Month/Day/Ye	3. Date of Earliest Transaction (Month/Day/Year)					Director 10% Owner Officer (give title Other (specify					
	NY INTERNATIONAI 6 AIRPORT DRIVE		· · · · · · · · · · · · · · · · · · ·				below) Assistant Secretary					
	4. If Amendmer Filed(Month/Day	nendment, Date Original onth/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person						
ROCHEST	ER, NH 03867						Form filed by M Person	ore than One Re	porting			
(City)	(State) (Zip)	Table I - N	lon-De	erivative S	Secur	ities Acq	uired, Disposed of,	or Beneficial	ly Owned			
1.Title of Security (Instr. 3)	any	tion Date, if Tran Code h/Day/Year) (Inst	3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)  (A) or Code V Amount (D) Price				5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)				
Class A Common Stock							2,108	I	by 401(k)			
Class A Common Stock							1,000	D				
Class A Common Stock (1)	11/11/2013	М		228	A	\$ 0 (1)	228 (1)	D (1)				
Class A	11/11/2013	D		228	D	\$	0	D (1)				

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Common Stock (1)					36.04	
Class A Common Stock (1)	11/11/2013	M	219	A	\$ 0 (1) 219 (1)	D (1)
Class A Common Stock (1)	11/11/2013	D	219	D	\$ 36.04 0	D (1)
Class A Common Stock (1)	11/11/2013	M	214	A	\$ 0 (1) 214 (1)	D (1)
Class A Common Stock (1)	11/11/2013	D	214	D	\$ 36.04 0	D (1)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Units (2)	<u>(2)</u>	11/11/2013		M	228	11/11/2009(2)(3)	(2)(3)	Class A Common Stock	227 (4)
Restricted Stock Units (2)	<u>(2)</u>	11/11/2013		M	219	11/11/2010(2)(5)	(2)(5)	Class A Common Stock	438 (4)
Restricted Stock Units (2)	(2)	11/11/2013		M	214	11/11/2011 <sup>(2)(6)</sup>	(2)(6)	Class A Common Stock	641 (4)

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 Phantom
 Class A

 Stock
 (7)
 03/01/2013(7)(8)
 (7)(8)
 Common 1,718

 Units (7)
 Stock
 Stock

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Gaug Joseph M C/O ALBANY INTERNATIONAL CORP. 216 AIRPORT DRIVE ROCHESTER, NH 03867

**Assistant Secretary** 

# **Signatures**

Joseph M. Gaug 11/12/2013

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Deemed acquisition and disposition to the issuer of shares of stock underlying Restricted Stock Units upon automatic vesting and cash
  (1) settlement of such Units (see footnote 2). No shares were actually issued to the reporting person, nor did the reporting person dispose of any shares.
- Restricted Stock Units granted pursuant to the Albany International Corp. 2003 Restricted Stock Unit Plan (the "Restricted Stock Unit Plan"). Each Restricted Stock Unit entitles the holder to receive the cash equivalent of one share of Class A Common Stock at the time of vesting or, in the event that the holder elects to defer payment, at such later time elected in accordance with the Restricted Stock Unit Plan.
- (3) 200 Restricted Stock Units (plus related dividend units) vest on each November 11, beginning November 11, 2009.
- (4) Includes dividend units accrued on Restricted Stock Units on October 7, 2013.
- (5) 200 Restricted Stock Units (plus related dividend units) vest on each November 11, beginning November 11, 2010.
- (6) 200 Restricted Stock Units (plus related dividend units) vest on each November 11, beginning November 11, 2011.
- Phantom Stock Units granted on February 14, 2013 pursuant to the Albany International Corp. 2011 Performance Phantom Stock Plan

  (7) (the "Phantom Stock Plan"). Each Phantom Stock Unit entitles the holder to receive the cash equivalent of one share of Class A Common Stock at the time of vesting.
- (8) 429 Phantom Stock Units will be settled and payable each year on or about March 1, beginning March 1, 2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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