GOMACH DAVID G

Form 4 May 22, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB APPROVAL OMB

3235-0287 Number:

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January 31, 2005

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if no longer subject to Section 16. Form 4 or Form 5

obligations

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type	Responses)						
1. Name and a	Symbol	KETAXE	nd Ticker or Trading SS HOLDINGS INC	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
	(First) (KETAXESS HOL PARK AVENUE	(Month	/Day/Year)	Transaction	_X Director Officer (give below)	Owner er (specify	
NEW YOR	(Street)		nendment, I onth/Day/Ye	Date Original ear)	6. Individual or Jo Applicable Line) _X_ Form filed by O Form filed by M	•	rson
(City)	(State)	(Zip) Ta	ble I - Non	-Derivative Securities Acc	Person		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		3. Transacti Code	4. Securities Acquired (A oror Disposed of (D) (Instr. 3, 4 and 5)	• ′ •	6. Ownership Form:	7. Nature Indirect Beneficial

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	(A) or			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock, par value \$0.003 per share	05/18/2018		S	2,599	D	\$ 204.9481	23,455	I	By Trust	
Common Stock, par value \$0.003 per share	05/18/2018		S	2,401	D	\$ 205.6837 (2)	21,054	I	By Trust	
	05/18/2018		S	553	D		20,501	I	By Trust	

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Common Stock, par value \$0.003 per share					\$ 202.1845 (3)			
Common Stock, par value \$0.003 per share	05/18/2018	S	500	D	\$ 203.543 (4)	20,001	I	By Trust
Common Stock, par value \$0.003 per share	05/18/2018	S	800	D	\$ 204.5388 (5)	19,201	I	By Trust
Common Stock, par value \$0.003 per share	05/18/2018	S	700	D	\$ 205.5778 <u>(6)</u>	18,501	I	By Trust
Common Stock, par value \$0.003 per share						259	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivativ Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	5	ate	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title Amount or Number	

9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

Shares

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

GOMACH DAVID G C/O MARKETAXESS HOLDINGS INC. 299 PARK AVENUE NEW YORK, NY 10171



Signatures

/s/ Ori Solomon, as Attorney-in-Fact for David G. Gomach

05/22/2018

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The transaction was executed in multiple trades at prices ranging from \$204.53 to \$205.50. The price above reflects the weighted average (1) sales price. Detailed information regarding the number of shares sold at each separate price will be provided upon request by the Commission staff, the Issuer or a security holder of the Issuer.
- The transaction was executed in multiple trades at prices ranging from \$205.54 to \$205.92. The price above reflects the weighted average (2) sales price. Detailed information regarding the number of shares sold at each separate price will be provided upon request by the Commission staff, the Issuer or a security holder of the Issuer.
- The transaction was executed in multiple trades at prices ranging from \$202.00 to \$202.80. The price above reflects the weighted average (3) sales price. Detailed information regarding the number of shares sold at each separate price will be provided upon request by the Commission staff, the Issuer or a security holder of the Issuer.
- The transaction was executed in multiple trades at prices ranging from \$203.065 to \$203.84. The price above reflects the weighted (4) average sales price. Detailed information regarding the number of shares sold at each separate price will be provided upon request by the
- (4) average sales price. Detailed information regarding the number of shares sold at each separate price will be provided upon request by the Commission staff, the Issuer or a security holder of the Issuer.
- The transaction was executed in multiple trades at prices ranging from \$204.09 to \$205.00. The price above reflects the weighted average (5) sales price. Detailed information regarding the number of shares sold at each separate price will be provided upon request by the Commission staff, the Issuer or a security holder of the Issuer.
- The transaction was executed in multiple trades at prices ranging from \$205.43 to \$205.92. The price above reflects the weighted average (6) sales price. Detailed information regarding the number of shares sold at each separate price will be provided upon request by the Commission staff, the Issuer or a security holder of the Issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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