Edgar Filing: Heath Brian Michael - Form 4

Form 4	Viichael										
November 16									OMB A	PPROVAL	
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMIS Washington, D.C. 20549							COMMISSION	OMB 3235-02 Number:			
Check this if no longe subject to Section 16	er STATE									January 31 2005 average	
Form 4 or Form 5 obligation may contin <i>See</i> Instruct 1(b).	Filed pu ^s Section 17	bursuant to Section 16(a) of the Securities Exchange Act of 1934, 7(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940									
(Print or Type R	esponses)										
1. Name and Address of Reporting Person <u>*</u> Heath Brian Michael			2. Issuer Name and Ticker or Trading Symbol AMERIPRISE FINANCIAL INC [AMP]					5. Relationship of Reporting Person(s) to Issuer			
								(Check all applicable)			
(Last) 50591 AMEI CENTER	3. Date of Earliest Transaction (Month/Day/Year) 11/14/2005					Director 10% Owner XOfficer (give title Other (specify below) Pres - U.S. Advisor Group					
CLIVILK	(Street)) 4. If Amendment, Date Original Filed(Month/Day/Year)					 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 				
MINNEAPO	DLIS, MN 5547	4						Person	More than One Ro	eporting	
(City)	(State)	(Zip)	Table	e I - Non-Do	erivative S	Securi	ties Ac	quired, Disposed o	f, or Beneficia	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction Da (Month/Day/Yea	Yansaction Date 2A. Deemed bnth/Day/Year) 2A. Deemed Execution Date, if any (Month/Day/Year)			4. Securi onAcquired Disposed (Instr. 3,	l (A) c l of (D))	Securities Beneficially Owned	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)			
Common Stock (1)	11/14/2005			А	2,227	А	\$0	26,108	D		
Common Stock								81	I	By 401(k) Plan (2)	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Edgar Filing: Heath Brian Michael - Form 4

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration Date (Month/Day/Year)		Amou Unde Secur	le and unt of rlying rities : 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owna Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships						
F	Director	10% Owner	Officer	Other			
Heath Brian Michael 50591 AMERIPRISE FINANCIAL CENTER MINNEAPOLIS, MN 55474			Pres - U.S. Advisor Group				
Signatures							
/s/ Julie E. Ramacher for Brian Michael Heath	11/1	6/2005					
**Signature of Reporting Person	Ι	Date					

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted stock award, granted under the Ameriprise Financial 2005 Incentive Compensation Plan. These restrictions on the shares lapse on November 14, 2010.

Estimate of the number of shares held in reporting person's account in the Ameriprise Financial Stock Fund under the Ameriprise Financial 401(k) Plan as a result of the transfer of the reporting person's account balance in the Ameriprise Financial Stock Fund under

(2) the American Express Company Incentive Savings Plan following the separation of Ameriprise Financial, Inc. from the ownership of American Express Company. The Ameriprise Financial 401(k) Plan uses unit accounting and the number of shares that a participant is deemed to hold varies with the unit price of the Ameriprise Financial Stock Fund.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.