MKS INSTRUMENTS INC

Form 4 May 17, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

OMB APPROVAL

response...

Section 16. Form 4 or Form 5 obligations

Check this box

if no longer

subject to

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

05/16/2016

Stock

may continue.

See Instruction

| 1. Name and Address of Reporting Person ** Quirk Brian Charles | | | 2. Issuer Name and Ticker or Trading Symbol | | | | | | 5. Relationship of Reporting Person(s) to Issuer | | | |
|--|--------------------------------|-----------|--|----------------------------|-----------------------------------|-----------|---|---|---|------------------|--------------|--|
| | | | | MKS INSTRUMENTS INC [MKSI] | | | | | (Check all applicable) | | | |
| (Last) | (First) (| Middle) | 3. Date of Earliest Transaction | | | | | | | | | |
| 2 TECH DE | (Month/Day/Year) 05/16/2016 | | | | | | Director 10% Owner Nother (specify below) Other (specify below) Sr. VP, Global Operations | | | | | |
| (Street) | | | 4. If Amendment, Date Original | | | | | 6. Individual or Joint/Group Filing(Check | | | | |
| Filed(Mo | | | | d(Month/Day/Year) | | | | | Applicable Line) _X_ Form filed by One Reporting Person | | | |
| ANDOVER, MA 01810 | | | | | | | | | Form filed by More than One Reporting Person | | | |
| (City) | (State) | (Zip) | Tabl | e I - No | n-D | erivative | Secur | ities Acq | uired, Disposed o | f, or Beneficial | ly Owned | |
| 1.Title of | 2. Transaction Dat | e 2A. Dee | med | 3. | | 4. Securi | ties A | cquired | 5. Amount of | 6. Ownership | 7. Nature of | |
| Security | (Month/Day/Year) | Execution | xecution Date, if | | Transaction(A) or Disposed of (D) | | | | Securities | Form: Direct | Indirect | |
| (Instr. 3) | | | | Code (Instr. 3, 4 and 5) | | | 5) | Beneficially | (D) or | Beneficial | | |
| | | (Month/ | Day/Year) | (Instr. | 8) | | | | Owned | Indirect (I) | Ownership | |
| | | | | | | | | | Following | (Instr. 4) | (Instr. 4) | |
| | | | | | | | (A) | | Reported Transaction(s) | | | |
| | | | | | | | or | | (Instr. 3 and 4) | | | |
| _ | | | | Code | V | Amount | (D) | Price | (22327.5 tille 1) | | | |
| Common | 05/16/2016 | | | Q (1) | | 1.000 | D | \$ | 13 200 518 | D | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $S^{(1)}$

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

13,209.518

36.25

D

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1,000

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| 1. Title of | 2. | 3. Transaction Date | 3A. Deemed | 4. | 5. | 6. Date Exer | cisable and | 7. Title | e and | 8. Price of | 9. Nu |
|-------------|-------------|---------------------|--------------------|------------|------------|---------------------|--------------------|----------|----------|-------------|--------|
| Derivative | Conversion | (Month/Day/Year) | Execution Date, if | Transacti | onNumber | Expiration D | ate | Amou | nt of | Derivative | Deriv |
| Security | or Exercise | | any | Code | of | (Month/Day/ | Year) | Under | lying | Security | Secui |
| (Instr. 3) | Price of | | (Month/Day/Year) | (Instr. 8) | Derivative | e | | Securi | ties | (Instr. 5) | Bene |
| | Derivative | | | | Securities | | | (Instr. | 3 and 4) | | Owne |
| | Security | | | | Acquired | | | | | | Follo |
| | · | | | | (A) or | | | | | | Repo |
| | | | | | Disposed | | | | | | Trans |
| | | | | | of (D) | | | | | | (Instr |
| | | | | | (Instr. 3, | | | | | | Ì |
| | | | | | 4, and 5) | | | | | | |
| | | | | | | | | | | | |
| | | | | | | | | | Amount | | |
| | | | | | | Date Exercisable | Expiration Date | Title 1 | or | | |
| | | | | | | | | | Number | | |
| | | | | | | | | | of | | |
| | | | | Code V | (A) (D) | | | | Shares | | |

Reporting Owners

Relationships Reporting Owner Name / Address

> Director 10% Owner Officer Other

Quirk Brian Charles

2 TECH DRIVE, SUITE 201 Sr. VP, Global Operations

ANDOVER, MA 01810

Signatures

/s/ M. Kathryn Rickards, 05/17/2016 attorney-in-fact

> **Signature of Reporting Person Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This transaction was effected pursuant to a Rule 10b5-1 trading plan previously adopted by the reporting person.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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