

COMFORT SYSTEMS USA INC

Form 4

March 07, 2017

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

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**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Shaeff Julie

2. Issuer Name and Ticker or Trading Symbol  
COMFORT SYSTEMS USA INC  
[FIX]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction  
(Month/Day/Year)

\_\_\_ Director \_\_\_ 10% Owner  
 Officer (give title below) \_\_\_ Other (specify below)

Chief Accounting Officer

C/O COMFORT SYSTEMS USA, INC., 675 BERING DR. SUITE 400

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
\_\_\_ Form filed by More than One Reporting Person

HOUSTON, TX 77057

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
				(A) or (D)	Price		
				Code	V	Amount	
Common Stock	03/06/2017		M	A	2,600	\$ 13.15	D
Common Stock	03/06/2017		M	A	2,800	\$ 11	D
Common Stock	03/06/2017		M	A	2,000	\$ 12.46	D
Common Stock	03/06/2017		M	A	2,000	\$ 13.87	D
Common Stock	03/06/2017		M	A	4,000	\$ 13.86	D

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Common Stock	03/06/2017		M	2,000	A	\$ 16.15	47,287	D
Common Stock	03/06/2017		S	15,400	D	\$ 36.84 <u>(1)</u>	31,887	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	Amount or Number of Shares
Option to Buy	\$ 13.15	03/06/2017		M	2,600	04/01/2011 <sup>(2)</sup> 03/26/2018	Common Stock	2,600
Option to Buy	\$ 11	03/06/2017		M	2,800	04/01/2012 <sup>(3)</sup> 03/26/2019	Common Stock	2,800
Option to Buy	\$ 12.46	03/06/2017		M	2,000	04/01/2013 <sup>(4)</sup> 03/25/2020	Common Stock	2,000
Option to Buy	\$ 13.87	03/06/2017		M	2,000	04/01/2014 <sup>(5)</sup> 03/24/2021	Common Stock	2,000
Option to Buy	\$ 13.86	03/06/2017		M	4,000	04/01/2016 <sup>(6)</sup> 03/20/2023	Common Stock	4,000
Option to Buy	\$ 16.15	03/06/2017		M	2,000	04/01/2016 <sup>(7)</sup> 03/19/2024	Common Stock	2,000

## Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other  
Chief Accounting Officer

Shaeff Julie  
C/O COMFORT SYSTEMS USA, INC.  
675 BERING DR. SUITE 400  
HOUSTON, TX 77057

## Signatures

/s/ Trent T. McKenna, by power of  
attorney

03/07/2017

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents the average price of shares sold; full information regarding the number of shares sold and specific prices will be made available upon request to the Company's Office of the General Counsel.
- (2) Stock options were granted on 3/26/2008, vested equally over a three-year period, and were fully vested on 4/1/2011.
- (3) Stock options were granted on 3/26/2009, vested equally over a three-year period, and were fully vested on 4/1/2012.
- (4) Stock options were granted on 3/25/2010, vested equally over a three-year period, and were fully vested on 4/1/2013.
- (5) Stock options were granted on 3/24/2011, vested equally over a three-year period, and were fully vested on 4/1/2014.
- (6) Stock options were granted on 3/20/2013, vested equally over a three-year period, and were fully vested on 4/1/2016.
- (7) Stock options were granted on 3/19/2014, will vest equally over a three-year period, were vested as to two-thirds of the total number of options granted on 4/1/2016, and will be fully vested on 4/1/2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.