

Edgar Filing: CORNERSTONE TOTAL RETURN FUND INC - Form NSAR-A

CORNERSTONE TOTAL RETURN FUND INC

Form NSAR-A

August 23, 2017

PAGE 1
000 A000000 06/30/2017
000 C000000 0000033934
000 D000000 N
000 E000000 NF
000 F000000 Y
000 G000000 N
000 H000000 N
000 I000000 6.1
000 J000000 A
001 A000000 CORNERSTONE TOTAL RETURN FUND, INC.
001 B000000 811-02363
001 C000000 8666686558
002 A000000 48 WALL STREET, 22ND FLOOR
002 B000000 NEW YORK
002 C000000 NY
002 D010000 10005
003 000000 N
004 000000 N
005 000000 N
006 000000 N
007 A000000 N
007 B000000 0
008 A000001 CORNERSTONE ADVISORS, INC.
008 B000001 A
008 C000001 801-60024
008 D010001 ASHEVILLE
008 D020001 NC
008 D030001 28803
010 A000001 AST FUND SOLUTIONS, LLC
010 C010001 NEW YORK
010 C020001 NY
010 C030001 10005
012 A000001 AMERICAN STOCK TRANSFER & TRUST CO, LLC
012 B000001 84-00416
012 C010001 BROOKLYN
012 C020001 NY
012 C030001 11219
013 A000001 TAIT, WELLER & BAKER LLP
013 B010001 PHILADELPHIA
013 B020001 PA
013 B030001 19103
014 A000001 AST INVESTOR SERVICES LLC
014 B000001 8-68694
015 A000001 U.S. BANK, N.A.
015 B000001 C
015 C010001 CINCINNATI
015 C020001 OH
015 C030001 45202
015 E010001 X
018 000000 Y
019 A000000 Y
PAGE 2
019 B000000 2
019 C000000 CORNERSTON
020 A000001 INTERACTIVE BROKERS
020 B000001 26-2292821
020 C000001 5
021 000000 5

Edgar Filing: CORNERSTONE TOTAL RETURN FUND INC - Form NSAR-A

| | | | |
|-----|---------|---------------------|------|
| 022 | A000001 | INTERACTIVE BROKERS | |
| 022 | B000001 | 26-2292821 | |
| 022 | C000001 | | 1854 |
| 022 | D000001 | | 693 |
| 023 | C000000 | | 1854 |
| 023 | D000000 | | 693 |
| 024 | 000000 | N | |
| 026 | A000000 | N | |
| 026 | B000000 | Y | |
| 026 | C000000 | N | |
| 026 | D000000 | Y | |
| 026 | E000000 | N | |
| 026 | F000000 | N | |
| 026 | G010000 | N | |
| 026 | G020000 | N | |
| 026 | H000000 | N | |
| 027 | 000000 | N | |
| 028 | A010000 | | 0 |
| 028 | A020000 | | 0 |
| 028 | A030000 | | 0 |
| 028 | A040000 | | 0 |
| 028 | B010000 | | 0 |
| 028 | B020000 | | 0 |
| 028 | B030000 | | 0 |
| 028 | B040000 | | 0 |
| 028 | C010000 | | 0 |
| 028 | C020000 | | 0 |
| 028 | C030000 | | 0 |
| 028 | C040000 | | 0 |
| 028 | D010000 | | 0 |
| 028 | D020000 | | 0 |
| 028 | D030000 | | 0 |
| 028 | D040000 | | 0 |
| 028 | E010000 | | 0 |
| 028 | E020000 | | 0 |
| 028 | E030000 | | 0 |
| 028 | E040000 | | 0 |
| 028 | F010000 | | 0 |
| 028 | F020000 | | 0 |
| 028 | F030000 | | 0 |
| 028 | F040000 | | 0 |
| 028 | G010000 | | 0 |
| 028 | G020000 | | 0 |
| 028 | G030000 | | 0 |
| 028 | G040000 | | 0 |
| | PAGE | 3 | |
| 028 | H000000 | | 0 |
| 030 | A000000 | | 0 |
| 030 | B000000 | | 0.00 |
| 030 | C000000 | | 0.00 |
| 031 | A000000 | | 0 |
| 031 | B000000 | | 0 |
| 032 | 000000 | | 0 |
| 033 | 000000 | | 0 |
| 035 | 000000 | | 0 |
| 036 | B000000 | | 0 |
| 038 | 000000 | | 0 |
| 042 | A000000 | | 0 |
| 042 | B000000 | | 0 |
| 042 | C000000 | | 0 |
| 042 | D000000 | | 0 |
| 042 | E000000 | | 0 |

Edgar Filing: CORNERSTONE TOTAL RETURN FUND INC - Form NSAR-A

042 F000000 0
 042 G000000 0
 042 H000000 0
 043 000000 0
 044 000000 0
 045 000000 Y
 046 000000 N
 047 000000 Y
 048 000000 1.000
 048 B010000 0
 048 B020000 0.000
 049 000000 N
 050 000000 N
 051 000000 N
 052 000000 N
 053 A000000 N
 054 A000000 Y
 054 B000000 Y
 054 C000000 N
 054 D000000 N
 054 E000000 N
 054 F000000 N
 054 G000000 Y
 054 H000000 Y
 054 I000000 N
 054 J000000 Y
 054 K000000 N
 054 L000000 N
 054 M000000 Y
 054 N000000 N
 054 O000000 N
 055 A000000 N
 055 B000000 N
 056 000000 N
 057 000000 N
 PAGE 4
 058 A000000 N
 059 000000 Y
 060 A000000 Y
 060 B000000 Y
 061 000000 0
 062 A000000 N
 066 A000000 Y
 066 B000000 N
 066 C000000 Y
 066 D000000 N
 066 E000000 N
 066 F000000 N
 066 G000000 N
 067 000000 N
 068 A000000 N
 068 B000000 N
 069 000000 N
 070 A010000 Y
 070 A020000 N
 070 B010000 N
 070 B020000 N
 070 C010000 N
 070 C020000 N
 070 D010000 N
 070 D020000 N
 070 E010000 N

Edgar Filing: CORNERSTONE TOTAL RETURN FUND INC - Form NSAR-A

| | | | |
|-------|---------|---|--------|
| 070 | E020000 | N | |
| 070 | F010000 | N | |
| 070 | F020000 | N | |
| 070 | G010000 | N | |
| 070 | G020000 | N | |
| 070 | H010000 | N | |
| 070 | H020000 | N | |
| 070 | I010000 | N | |
| 070 | I020000 | N | |
| 070 | J010000 | Y | |
| 070 | J020000 | N | |
| 070 | K010000 | Y | |
| 070 | K020000 | Y | |
| 070 | L010000 | Y | |
| 070 | L020000 | Y | |
| 070 | M010000 | Y | |
| 070 | M020000 | N | |
| 070 | N010000 | Y | |
| 070 | N020000 | N | |
| 070 | O010000 | Y | |
| 070 | O020000 | N | |
| 070 | P010000 | Y | |
| 070 | P020000 | N | |
| 070 | Q010000 | N | |
| 070 | Q020000 | N | |
| | PAGE | 5 | |
| 070 | R010000 | N | |
| 070 | R020000 | N | |
| 071 | A000000 | | 59227 |
| 071 | B000000 | | 72901 |
| 071 | C000000 | | 169394 |
| 071 | D000000 | | 35 |
| 072 | A000000 | 6 | |
| 072 | B000000 | | 0 |
| 072 | C000000 | | 2536 |
| 072 | D000000 | | 0 |
| 072 | E000000 | | 0 |
| 072 | F000000 | | 849 |
| 072 | G000000 | | 67 |
| 072 | H000000 | | 0 |
| 072 | I000000 | | 20 |
| 072 | J000000 | | 15 |
| 072 | K000000 | | 0 |
| 072 | L000000 | | 19 |
| 072 | M000000 | | 43 |
| 072 | N000000 | | 4 |
| 072 | O000000 | | 0 |
| 072 | P000000 | | 0 |
| 072 | Q000000 | | 23 |
| 072 | R000000 | | 11 |
| 072 | S000000 | | 11 |
| 072 | T000000 | | 0 |
| 072 | U000000 | | 0 |
| 072 | V000000 | | 0 |
| 072 | W000000 | | 9 |
| 072 | X000000 | | 1071 |
| 072 | Y000000 | | 0 |
| 072 | Z000000 | | 1465 |
| 072AA | 000000 | | 8419 |
| 072BB | 000000 | | 0 |
| 072CC | 010000 | | 4944 |
| 072CC | 020000 | | 0 |

Edgar Filing: CORNERSTONE TOTAL RETURN FUND INC - Form NSAR-A

| | |
|-------------|--------|
| 072DD010000 | 1465 |
| 072DD020000 | 0 |
| 072EE000000 | 8420 |
| 073 A010000 | 0.1100 |
| 073 A020000 | 0.0000 |
| 073 B000000 | 0.6400 |
| 073 C000000 | 0.6200 |
| 074 A000000 | 0 |
| 074 B000000 | 0 |
| 074 C000000 | 0 |
| 074 D000000 | 0 |
| 074 E000000 | 0 |
| 074 F000000 | 122802 |
| 074 G000000 | 0 |
| 074 H000000 | 0 |

PAGE 6

| | |
|-------------|-------------------------------------|
| 074 I000000 | 46151 |
| 074 J000000 | 156 |
| 074 K000000 | 0 |
| 074 L000000 | 54 |
| 074 M000000 | 11 |
| 074 N000000 | 169174 |
| 074 O000000 | 36 |
| 074 P000000 | 176 |
| 074 Q000000 | 0 |
| 074 R010000 | 0 |
| 074 R020000 | 0 |
| 074 R030000 | 0 |
| 074 R040000 | 85 |
| 074 S000000 | 0 |
| 074 T000000 | 168877 |
| 074 U010000 | 13198 |
| 074 U020000 | 0 |
| 074 V010000 | 12.80 |
| 074 V020000 | 0.00 |
| 074 W000000 | 0.0000 |
| 074 X000000 | 379 |
| 074 Y000000 | 0 |
| 075 B000000 | 171194 |
| 076 000000 | 15.76 |
| 077 A000000 | Y |
| 077 C000000 | Y |
| 077 Q010000 | Y |
| 078 000000 | N |
| 080 C000000 | 0 |
| 081 B000000 | 0 |
| 082 B000000 | 0 |
| 083 B000000 | 0 |
| 084 B000000 | 0 |
| 086 A010000 | 133 |
| 086 A020000 | 1726 |
| 086 B010000 | 0 |
| 086 B020000 | 0 |
| 086 C010000 | 0 |
| 086 C020000 | 0 |
| 086 D010000 | 0 |
| 086 D020000 | 0 |
| 086 E010000 | 0 |
| 086 E020000 | 0 |
| 086 F010000 | 0 |
| 086 F020000 | 0 |
| 087 A010000 | CORNERSTONE TOTAL RETURN FUND, INC. |

Edgar Filing: CORNERSTONE TOTAL RETURN FUND INC - Form NSAR-A

087 A020000 21924U300

087 A030000 CRF

088 A030000 N

088 B030000 N

088 C030000 N

PAGE 7

088 D030000 N

SIGNATURE FRANK J. MARESCA

TITLE TREASURER