

EATON VANCE CORP  
Form 4  
November 04, 2008

**FORM 4**

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**HIGDON LEO I JR**

(Last) (First) (Middle)

**THE EATON VANCE BUILDING, 255 STATE STREET**

(Street)

**BOSTON, MA 021092617**

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
**EATON VANCE CORP [EV]**

3. Date of Earliest Transaction (Month/Day/Year)  
**11/03/2008**

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
				(A) or (D) Code V Amount (D) Price			
Eaton Vance Corp. Non-voting Common Stock					11,032	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474 (9-02)

Edgar Filing: EATON VANCE CORP - Form 4

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	Am or Num of S
				Code V (A) (D)		Date Exercisable Expiration Date	Title	
Option (right to buy)	\$ 21.99	11/03/2008		A	5,800	11/03/2008 11/03/2018	Eaton Vance Corp. Non-voting Common Stock	5,
Phantom Stock	Ⓛ	11/03/2008		A	2,200	11/03/2010 <sup>(1)</sup> 11/03/2010 <sup>(1)</sup>	Eaton Vance Corp. Non-voting Common Stock	2,
Option (right to buy)	\$ 10.58					<sup>(2)</sup> 02/01/2010	Eaton Vance Corp. Non-voting Common Stock	5,
Option (right to buy)	\$ 13.56					<sup>(2)</sup> 12/15/2010	Eaton Vance Corp. Non-voting Common Stock	7,
Option (right to buy)	\$ 17.83					<sup>(2)</sup> 12/21/2011	Eaton Vance Corp. Non-voting Common Stock	12
Option (right to buy)	\$ 15.05					<sup>(2)</sup> 12/20/2012	Eaton Vance Corp. Non-voting	12

Option (right to buy)	Exercise Price	Exercise Date	Expiration Date	Common Stock
Option (right to buy)	\$ 18.14	(2)	12/19/2013	Eaton Vance Corp. Non-voting Common Stock 12
Option (right to buy)	\$ 25.39	(2)	12/17/2014	Eaton Vance Corp. Non-voting Common Stock 12
Option (right to buy)	\$ 27.58	(2)	12/16/2015	Eaton Vance Corp. Non-voting Common Stock 8,
Option (right to buy)	\$ 30.11		11/01/2006 11/01/2016	Eaton Vance Corp. Non-voting Common Stock 8,
Option (right to buy)	\$ 48.39		11/01/2007 11/01/2017	Eaton Vance Corp. Non-voting Common Stock 6,

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
HIGDON LEO I JR THE EATON VANCE BUILDING 255 STATE STREET BOSTON, MA 021092617	X			

## Signatures

By: Katie McManus, Attorney in Fact 11/04/2008

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each share of Phantom Stock is the economic equivalent of one share of non-voting common stock. The shares of Phantom Stock become payable in cash at the earlier of the second anniversary of the grant date or the date of the non-employee director's termination.
- (2) These options vest over a 4 year period at 25% per year.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.