

INFORMATICA CORP
Form 3
August 13, 2014

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL
OMB Number: 3235-0104
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

<p>1. Name and Address of Reporting Person *</p> <p>Â Race Charles</p> <p>(Last) (First) (Middle)</p> <p>C/O INFORMATICA CORPORATION,Â 2100 SEAPORT BLVD</p> <p>(Street)</p> <p>REDWOOD CITY,Â CAÂ 94063</p> <p>(City) (State) (Zip)</p>	<p>2. Date of Event Requiring Statement</p> <p>(Month/Day/Year)</p> <p>08/04/2014</p>	<p>3. Issuer Name and Ticker or Trading Symbol</p> <p>INFORMATICA CORP [INFA]</p>	<p>4. Relationship of Reporting Person(s) to Issuer</p> <p>(Check all applicable)</p> <p><input type="checkbox"/> Director <input type="checkbox"/> 10% Owner</p> <p><input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other</p> <p>(give title below) (specify below)</p> <p>EVP Worldwide Field Operations</p>	<p>5. If Amendment, Date Original Filed(Month/Day/Year)</p>	<p>6. Individual or Joint/Group Filing(Check Applicable Line)</p> <p><input checked="" type="checkbox"/> Form filed by One Reporting Person</p> <p><input type="checkbox"/> Form filed by More than One Reporting Person</p>
--	---	---	---	---	--

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	62,977 ⁽¹⁾	D	Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative	5. Ownership Form of Derivative Security:	6. Nature of Indirect Beneficial Ownership (Instr. 5)
---	---	--	---	---	--

Edgar Filing: INFORMATICA CORP - Form 3

	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Non-qualified stock option (right to buy)	Â <u>(2)</u>	05/03/2017	Common Stock	782	\$ 25.46	D	Â
Non-qualified stock option (right to buy)	06/02/2011 ⁽³⁾	05/02/2018	Common Stock	2,500	\$ 54.93	D	Â
Non-qualified stock option (right to buy)	01/08/2012 ⁽³⁾	12/08/2018	Common Stock	18,000	\$ 43.8	D	Â
Non-qualified stock option (right to buy)	03/01/2012 ⁽³⁾	02/01/2019	Common Stock	20,000	\$ 44.06	D	Â
Non-qualified stock option (right to buy)	09/01/2012 ⁽³⁾	08/01/2019	Common Stock	6,667	\$ 29.28	D	Â
Non-qualified stock option (right to buy)	01/17/2013 ⁽³⁾	12/17/2019	Common Stock	37,500	\$ 30.33	D	Â
Non-qualified stock option (right to buy)	03/01/2013 ⁽³⁾	02/01/2020	Common Stock	30,000	\$ 37.43	D	Â
Non-qualified stock option (right to buy)	09/01/2014 ⁽³⁾	08/01/2021	Common Stock	100,000	\$ 31.36	D	Â
Performance-based restricted stock units ⁽⁴⁾	02/01/2014 ⁽⁵⁾	Â <u>(6)</u>	Common Stock	10,000	\$ 0	D	Â
Performance-based restricted stock units ⁽⁴⁾	02/01/2014 ⁽⁷⁾	Â <u>(6)</u>	Common Stock	15,000	\$ 0	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Race Charles C/O INFORMATICA CORPORATION 2100 SEAPORT BLVD REDWOOD CITY, CA 94063	Â	Â	Â EVP Worldwide Field Operations	Â

Signatures

/s/ Edwin White, Attorney-in-fact for
Charles Race

08/13/2014

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Certain of these securities are restricted stock units. Each unit represents the Reporting Person's right to receive one share of Common Stock, subject to the applicable vesting schedule.

Edgar Filing: INFORMATICA CORP - Form 3

- (2) All options subject to this grant are fully vested and exercisable.
- (3) These options vest at the rate of 1/48th of the shares subject to such options per month with the first month vesting as of the date listed.
- (4) These securities are performance-based restricted stock units. Each unit represents the Reporting Person's right to receive one share of common stock upon achievement of certain performance criteria.

This performance-based restricted stock unit ("PSRU") award is eligible to vest upon achievement of certain performance criteria based on license bookings. The actual PRSU award earned will vest as to 1/2 of the shares on each anniversary of the original vesting date. The

- (5) number of shares reflected is at the 100% award amount. No PRSUs will be earned below the minimum threshold of performance. At or above the minimum threshold of performance, the actual number of shares earned may range from 50% to 150% of the 100% award amount.
- (6) Expiration is not applicable for performance-based restricted stock.

This performance-based restricted stock unit ("PSRU") award is eligible to vest upon achievement of certain performance criteria based on quarterly license bookings. The actual PRSU award earned will vest as to 1/2 of the shares on each anniversary of the original vesting

- (7) date. The number of shares reflected is at the 100% award amount. No PRSUs will be earned below the minimum threshold for performance. At or above the minimum threshold of performance, the actual number of shares earned may range from 50% to 133% of the 100% award amount.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.