

SCHUMACHER RICHARD T  
Form 4  
July 20, 2018

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
*See* Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person \*  
SCHUMACHER RICHARD T

2. Issuer Name **and** Ticker or Trading  
Symbol  
PRESSURE BIOSCIENCES INC  
[PBIO]

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

(Last) (First) (Middle)  
130 LAKE RIDGE DRIVE  
(Street)

3. Date of Earliest Transaction  
(Month/Day/Year)  
07/18/2018

☒ Director ☐ 10% Owner  
☒ Officer (give title below) ☐ Other (specify below)  
President, CEO

TAUNTON, MA 02780

4. If Amendment, Date Original  
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3. Transaction<br>Code<br>(Instr. 8) | 4. Securities<br>Acquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|----------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------|-------------------------------------------------------------------|
|                                       |                                         |                                                             | Code                                 | V                                                                          | Amount                                                                                                             | (D)                                                                  | Price                                                             |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
**(e.g., puts, calls, warrants, options, convertible securities)**

| 1. Title of<br>Derivative<br>Security | 2. Conversion<br>or Exercise | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any | 4. Transaction<br>Code | 5. Number of<br>Derivative<br>Securities Acquired | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) | 7. Title a<br>Underlyi<br>(Instr. 3 a |
|---------------------------------------|------------------------------|-----------------------------------------|-----------------------------------------|------------------------|---------------------------------------------------|----------------------------------------------------------------|---------------------------------------|
|---------------------------------------|------------------------------|-----------------------------------------|-----------------------------------------|------------------------|---------------------------------------------------|----------------------------------------------------------------|---------------------------------------|

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| (Instr. 3)                    | Price of<br>Derivative<br>Security | (Month/Day/Year)          | (Instr. 8)<br>Code | (A) or Disposed of<br>(D)<br>(Instr. 3, 4, and 5) | (A)    | (D) | Date Exercisable          | Expiration<br>Date | Title         |
|-------------------------------|------------------------------------|---------------------------|--------------------|---------------------------------------------------|--------|-----|---------------------------|--------------------|---------------|
| Non-Qualified<br>Stock Option | \$ 3.4                             | 07/18/2018                | A                  | 25,000                                            |        |     | 08/18/2018 <sup>(1)</sup> | 07/18/2028         | Comm<br>Stock |
| Non-Qualified<br>Stock Option | \$ 18                              | 07/18/2018 <sup>(2)</sup> | D                  |                                                   | 2,500  |     | <sup>(2)</sup>            | 03/13/2019         | Comm<br>Stock |
| Non-Qualified<br>Stock Option | \$ 3.4                             | 07/18/2018 <sup>(2)</sup> | A                  | 2,500                                             |        |     | <sup>(2)</sup>            | 07/18/2028         | Comm<br>Stock |
| Non-Qualified<br>Stock Option | \$ 30                              | 07/18/2018 <sup>(2)</sup> | D                  |                                                   | 500    |     | <sup>(2)</sup>            | 09/12/2021         | Comm<br>Stock |
| Non-Qualified<br>Stock Option | \$ 3.4                             | 07/18/2018 <sup>(2)</sup> | A                  | 500                                               |        |     | <sup>(2)</sup>            | 07/18/2028         | Comm<br>Stock |
| Non-Qualified<br>Stock Option | \$ 18                              | 07/18/2018 <sup>(2)</sup> | D                  |                                                   | 1,000  |     | <sup>(2)</sup>            | 03/14/2022         | Comm<br>Stock |
| Non-Qualified<br>Stock Option | \$ 3.4                             | 07/18/2018 <sup>(2)</sup> | A                  | 1,000                                             |        |     | <sup>(2)</sup>            | 07/18/2028         | Comm<br>Stock |
| Non-Qualified<br>Stock Option | \$ 12                              | 07/18/2018 <sup>(2)</sup> | D                  |                                                   | 2,500  |     | <sup>(2)</sup>            | 05/15/2023         | Comm<br>Stock |
| Non-Qualified<br>Stock Option | \$ 3.4                             | 07/18/2018 <sup>(2)</sup> | A                  | 2,500                                             |        |     | <sup>(2)</sup>            | 07/18/2028         | Comm<br>Stock |
| Non-Qualified<br>Stock Option | \$ 9                               | 07/18/2018 <sup>(2)</sup> | D                  |                                                   | 10,000 |     | <sup>(2)</sup>            | 09/25/2024         | Comm<br>Stock |
| Non-Qualified<br>Stock Option | \$ 3.4                             | 07/18/2018 <sup>(2)</sup> | A                  | 10,000                                            |        |     | <sup>(2)</sup>            | 07/18/2028         | Comm<br>Stock |
| Non-Qualified<br>Stock Option | \$ 12                              | 07/18/2018 <sup>(2)</sup> | D                  |                                                   | 41,667 |     | <sup>(2)</sup>            | 01/01/2026         | Comm<br>Stock |
| Non-Qualified<br>Stock Option | \$ 3.4                             | 07/18/2018 <sup>(2)</sup> | A                  | 41,667                                            |        |     | <sup>(2)</sup>            | 07/18/2028         | Comm<br>Stock |
| Non-Qualified<br>Stock Option | \$ 8.4                             | 07/18/2018 <sup>(2)</sup> | D                  |                                                   | 25,000 |     | <sup>(2)</sup>            | 03/17/2027         | Comm<br>Stock |
| Non-Qualified<br>Stock Option | \$ 3.4                             | 07/18/2018 <sup>(2)</sup> | A                  | 25,000                                            |        |     | <sup>(2)</sup>            | 07/18/2028         | Comm<br>Stock |

## Reporting Owners

| Reporting Owner Name / Address | Relationships |           |         |       |
|--------------------------------|---------------|-----------|---------|-------|
|                                | Director      | 10% Owner | Officer | Other |

SCHUMACHER RICHARD T  
130 LAKE RIDGE DRIVE  
TAUNTON, MA 02780

X

President, CEO

## Signatures

/s/ Richard T.  
Schumacher

07/20/2018

\_\_Signature of Reporting  
Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Non-qualified options vest 1/36th per month for 36 months, effective on the day of grant.

The reported transactions involved an amendment of an outstanding stock option, resulting in the deemed cancellation of the old stock option and the grant of a replacement stock option, which includes a new exercise price of \$3.40 and a new expiration date of July 18, 2028.

(3) 2005 Equity Incentive Plan.

(4) 2013 Equity Incentive Plan.

(5) 2015 Nonqualified Stock Option Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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