Solera National Bancorp, Inc.

Form 3

October 30, 2014

## FORM 3

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *  FENTON ROBERT J			2. Date of Event Requiring Statement (Month/Day/Year)		3. Issuer Name and Ticker or Trading Symbol Solera National Bancorp, Inc. [OTCQB:SLRK]				
(Last)	(First)	(Middle)	07/15/2014		4. Relationship of Reporting Person(s) to Issuer			5. If Amendment, Date Original Filed(Month/Day/Year)	
319 S. SHER	IDAN BL	VD.			(a			, , , , , , , , , , , , , , , , , , ,	
	(Street)				(Check all applicable)			6. Individual or Joint/Group	
LAKEWOOD, CO 80226					_X_ Director 10% Owner _X_ Officer Other (give title below) (specify below)  President, CEO		Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person		
(City)	(State)	(Zip)	Table I - Non-Derivative Securities Beneficially Owned						
1.Title of Securi (Instr. 4)	ity			2. Amount of Beneficially (Instr. 4)		3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Na Owne (Instr	•	
Common Sto	ock, \$0.01 l	Par Value		74,375		D (1)	Â		
Common Sto	ock, \$0.01 l	Par Value		250		I	By S	Son	
Common Sto	ock, \$0.01	par value		250		I	Ву Г	Daughter	
Common Sto	ock, \$0.01 l	Par Value		250		I	ВуГ	Daughter	
Common Sto	ock, \$0.01 l	Par Value		250		I	By S	Son	
Reminder: Report on a separate line for each class of securities beneficiowned directly or indirectly.				ially	SEC 1473 (7-02	2)			
	Person	ns who res <sub>l</sub>	oond to the c	ollection of					

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of	5. Ownership Form of Derivative	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	
Common Stock Warrants (Right to Buy)	09/10/2007	09/10/2017	Common Stock	16,181	\$ 10	D	Â

### **Reporting Owners**

Reporting Owner Name / Address	Relationships						
1 0	Director 10% Owner Officer		Officer	Other			
FENTON ROBERT J 319 S. SHERIDAN BLVD. LAKEWOOD, CO 80226	ÂΧ	Â	President, CEO	Â			

# **Signatures**

Robert J. Fenton 10/30/2014

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares owned jointly with spouse.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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