

AMERICAN STATES WATER CO
Form 4
August 16, 2006

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
WICKS FLOYD E

(Last) (First) (Middle)

1647 POSILIPO LANE APT. E

(Street)

SANTA BARBARA, CA 93108

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
AMERICAN STATES WATER CO [AWR]

3. Date of Earliest Transaction (Month/Day/Year)
08/15/2006

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

 Director 10% Owner
 Officer (give title below) Other (specify below)
CEO and President

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	(A) or (D)	Price	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
Common Stock	08/15/2006		M	4,500	A	\$ 23.43	6,458.549	D	
Common Stock	08/15/2006		M	26,650	A	\$ 23.15	33,108.549	D	
Common Stock	08/15/2006		S	100	D	\$ 36.59	33,008.549	D	
Common Stock	08/15/2006		S	200	D	\$ 36.6	32,808.549	D	
Common Stock	08/15/2006		S	300	D	\$ 36.61	32,508.549	D	

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Common Stock	08/15/2006	S	200	D	\$ 36.63	32,308.549	D
Common Stock	08/15/2006	S	300	D	\$ 36.65	32,008.549	D
Common Stock	08/15/2006	S	300	D	\$ 36.66	31,708.549	D
Common Stock	08/15/2006	S	100	D	\$ 36.67	31,608.549	D
Common Stock	08/15/2006	S	500	D	\$ 36.68	31,108.549	D
Common Stock	08/15/2006	S	1,400	D	\$ 36.69	29,708.549	D
Common Stock	08/15/2006	P	500	D	\$ 36.7	29,208.549	D
Common Stock	08/15/2006	S	700	D	\$ 36.71	28,508.549	D
Common Stock	08/15/2006	S	600	D	\$ 36.72	27,908.549	D
Common Stock	08/15/2006	S	2,500	D	\$ 36.73	25,408.549	D
Common Stock	08/15/2006	S	200	D	\$ 36.74	25,208.549	D
Common Stock	08/15/2006	S	1,000	D	\$ 36.75	24,208.549	D
Common Stock	08/15/2006	S	1,200	D	\$ 36.76	23,008.549	D
Common Stock	08/15/2006	S	1,400	D	\$ 36.77	21,608.549	D
Common Stock	08/15/2006	S	1,600	D	\$ 36.78	20,008.549	D
Common Stock	08/15/2006	S	3,050	D	\$ 36.79	16,958.549	D
Common Stock	08/15/2006	S	6,000	D	\$ 36.8	10,958.549	D
Common Stock	08/15/2006	S	100	D	\$ 36.81	10,858.549	D
Common Stock	08/15/2006	S	300	D	\$ 36.82	10,558.549	D
Common Stock	08/15/2006	S	300	D	\$ 36.83	10,258.549	D
	08/15/2006	S	100	D		10,158.549	D

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Common Stock					\$			
					36.84			
Common Stock	08/15/2006		S	200	D	\$	9,958.549	D
						36.85		
Common Stock	08/15/2006		S	300	D	\$	9,658.549	D
						36.86		
Common Stock	08/15/2006		S	300	D	\$	9,358.549	D
						36.87		
Common Stock	08/15/2006		S	500	D	\$	8,858.549 ⁽³⁾	D
						36.88		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	Amount or Number of Shares	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	
Employee Stock Option (right to acquire)	\$ 23.43	08/15/2006		M	4,500	<u>(1)</u>	02/03/2012	Common Stock	4,500
Employee Stock Option (right to acquire)	\$ 23.15	08/15/2006		M	26,650	<u>(2)</u>	12/31/2012	Common Stock	26,650

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other

WICKS FLOYD E
1647 POSILIPO LANE APT. E
SANTA BARBARA, CA 93108

CEO and President

Signatures

/s/ Floyd E.
Wicks

08/16/2006

**Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The Option became exercisable as to 7,425 of the 22,500 total number of shares subject to the option on February 3, 2003, an additional 7,425 on February 3, 2004 and an additional 7,650 on February 3, 2005.
- (2) The Option became exercisable as to 8,795 of the 26,650 total number of shares subject to the option on December 31, 2003, an additional 8,795 on December 31, 2004 and an additional 9,060 on December 31, 2005.
- (3) Filing 1 of 2

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.