

CONKLIN BRET A
Form 4
March 07, 2019

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
CONKLIN BRET A

2. Issuer Name **and** Ticker or Trading
Symbol
HORACE MANN EDUCATORS
CORP /DE/ [HMN]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)
1 HORACE MANN PLAZA
(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
03/05/2019

____ Director ____ 10% Owner
____ Officer (give title ____ Other (specify
below) below)
EVP & CFO

SPRINGFIELD, IL 62715

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
X Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock <u>(1)</u>	03/05/2019		A	V Amount (A) or (D) Price 2,310 A \$ 0	43,310.298 (2)	D	
Common Stock <u>(3)</u>	03/05/2019		A	2,933.076 A \$ 0	46,243.374 (4)	D	
Common Stock	03/05/2019		M	7,488 A \$ 0	53,731.374 (5)	D	
Common Stock	03/05/2019		S	3,443 D \$ 39.09 (6)	50,288.374 (7)	D	
Common Stock					3,927.293	I	Horace Mann

401(k)
Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount Underlying Security (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (right to buy)	\$ 38.99	03/05/2019		A	21,636			08/08/1988 ⁽⁸⁾	03/05/2029	Common Stock	2
Employee Stock Option (right to buy)	\$ 17.32	03/05/2019		M		7,488		08/08/1988	03/09/2019	Common Stock	7

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
CONKLIN BRET A 1 HORACE MANN PLAZA SPRINGFIELD, IL 62715			EVP & CFO	

Signatures

Linea K. Michael, Attorney in Fact for Bret A.
Conklin

03/07/2019

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) The acquisition of Common Stock reported hereby is in the form of restricted stock units which will vest in three equal annual installments beginning March 5, 2020.
- (2) Represents 29,562.292 vested restricted stock units, 9,349.961 deferred restricted stock units and 4,398.045 shares of Common Stock.
- (3) The acquisition of Common Stock reported hereby is in the form of restricted stock units which are fully vested.
- (4) Represents 32,495.368 vested restricted stock units, 9,349.961 deferred restricted stock units and 4,398.045 shares of Common Stock.
- (5) Represents 32,495.368 vested restricted stock units, 9,349.961 deferred restricted stock units and 11,886.045 shares of Common Stock.
- (6) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$39.00 to \$39.35.
- (7) Represents 32,495.368 restricted stock units, 9,349.961 deferred restricted stock units and 8,443.045 shares of Common Stock.
- (8) The option vests in four equal annual installments beginning on March 5, 2020.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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