

CIRRUS LOGIC INC  
Form 4  
November 02, 2016

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
CASE THURMAN K

2. Issuer Name and Ticker or Trading Symbol  
CIRRUS LOGIC INC [CRUS]

5. Relationship of Reporting Person(s) to Issuer

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)

(Check all applicable)

800 WEST 6TH STREET

10/31/2016

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)

VP and CFO

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

AUSTIN, TX 78701

Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
				(A) or (D)	Price		
Common Stock	10/31/2016		M <sup>(1)</sup>	212	A \$ 23.34	21,783	D
Common Stock	10/31/2016		S <sup>(1)</sup>	212	D \$ 55.2146	21,571	D
Common Stock	10/31/2016		M <sup>(1)</sup>	5,000	A \$ 38.99	26,571	D
Common Stock	10/31/2016		S <sup>(1)</sup>	5,000	D \$ 54.3419	21,571	D
	10/31/2016		M <sup>(1)</sup>	10,000	A \$ 15.41	31,571	D

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Common Stock							
Common Stock	10/31/2016	S <sup>(1)</sup>	10,000	D	\$ 54.3173 (4)	21,571	D
Common Stock	10/31/2016	M <sup>(1)</sup>	7,000	A	\$ 5.25	28,571	D
Common Stock	10/31/2016	S <sup>(1)</sup>	7,000	D	\$ 54.3336 (5)	21,571	D
Common Stock	10/31/2016	S <sup>(1)</sup>	5,000	D	\$ 54.3468 (6)	16,571	D
Common Stock	10/31/2016	S <sup>(1)</sup>	181	D	\$ 55.0898 (7)	16,390	D
Common Stock	11/01/2016	M <sup>(1)</sup>	5,043	A	\$ 20.37	21,433	D
Common Stock	11/01/2016	S <sup>(1)</sup>	5,043	D	\$ 55	16,390	D
Common Stock	11/01/2016	M <sup>(1)</sup>	6,157	A	\$ 23.34	22,547	D
Common Stock	11/01/2016	S <sup>(1)</sup>	6,157	D	\$ 55	16,390	D
Common Stock	11/01/2016	S <sup>(1)</sup>	3,900	D	\$ 55	12,490	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)		
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number



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- (5) The price reported in Table I - Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$53.86 to \$55.65. The reporting person will provide full information regarding the number of shares bought as each separate price within the ranges set forth in this footnote to any security holder of Cirrus Logic, Inc. or the staff of the SEC upon request.
- (6) The price reported in Table I - Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$53.87 to \$55.65. The reporting person will provide full information regarding the number of shares bought as each separate price within the ranges set forth in this footnote to any security holder of Cirrus Logic, Inc. or the staff of the SEC upon request.
- (7) The price reported in Table I - Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$55.00 to \$55.65. The reporting person will provide full information regarding the number of shares bought as each separate price within the ranges set forth in this footnote to any security holder of Cirrus Logic, Inc. or the staff of the SEC upon request.
- (8) The option vested on a 4-year schedule: 25% of the shares became exercisable on 10/01/2009; the remaining 75% of the shares vested at a rate of 1/36th per month over the following 36 months so that the option was fully vested and exercisable on 10/01/2012. Only vested shares can be exercised under this option.
- (9) The option vested on a 4-year schedule: 25% of the shares became exercisable on 10/05/2012; the remaining 75% of the shares vested at a rate of 1/36th per month over the following 36 months so that the option was fully vested and exercisable on 10/05/2015. Only vested shares can be exercised under this option.
- (10) The option vests on a 4-year schedule: 25% of the shares became exercisable on 10/01/2015; the remaining 75% of the shares will vest at a rate of 1/36th per month over the following 36 months so that the option will be fully vested and exercisable on 10/01/2018. Only vested shares can be exercised under this option.
- (11) The option vests on a 4-year schedule: 25% of the shares became exercisable on 10/02/2014; the remaining 75% of the shares will vest at a rate of 1/36th per month over the following 36 months so that the option will be fully vested and exercisable on 10/02/2017. Only vested shares can be exercised under this option.
- (12) The option vested on a 4-year schedule: 25% of the shares became exercisable on 10/03/2013; the remaining 75% of the shares vested at a rate of 1/36th per month over the following 36 months so that the option was fully vested and exercisable on 10/03/2016. Only vested shares can be exercised under this option.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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