MOOG INC. Form 4 April 12, 2017

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

January 31, Expires: Estimated average burden hours per

**OMB** 

Number:

response...

**OMB APPROVAL** 

3235-0287

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Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \* TRABERT MARK JOSEPH

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

(First)

MOOG INC. [MOGA/MOGB]

(Check all applicable)

(Last)

(Middle)

3. Date of Earliest Transaction (Month/Day/Year)

Director 10% Owner X\_ Officer (give title Other (specify below)

6. Individual or Joint/Group Filing(Check

SENECA ST AND JAMISON RD

04/10/2017

Vice President

(Street) 4. If Amendment, Date Original

Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

#### EAST AURORA, NY 14052

(City)	(State)	Zip) Table	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed o	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired ransaction(A) or Disposed of (D) ode (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	or (D)	Price	(Instr. 3 and 4)		
Class A Common	04/10/2017		M	2,000	A	\$ 41.82	2,000	D	
Class A Common	04/10/2017		F	1,523 (1)	D	\$ 67.28	477	D	
Class A Common	04/10/2017		M	4,000	A	\$ 36.41	4,477	D	
Class A Common	04/10/2017		F	2,844 (2)	D	\$ 67.28	1,633	D	
Class B Common							541	I	401 (k)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	Secu Acqu (A) o Disp (D)	rities aired or osed of r. 3, 4,	6. Date Exerc Expiration D (Month/Day/	ate	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
SAR (4)	\$ 41.82	04/10/2017		M		2,000	<u>(5)</u>	11/30/2021	Class A Common	2,000
SAR (4)	\$ 36.41	04/10/2017		M		4,000	<u>(5)</u>	11/27/2022	Class A Common	4,000
SAR (4)	\$ 61.69						<u>(5)</u>	11/11/2023	Class A Common	2,000
SAR (4)	\$ 74.38						<u>(5)</u>	11/11/2024	Class A Common	2,000
SAR (6)	\$ 63.04						<u>(5)</u>	11/17/2025	Class A Common	3,333
SAR (6)	\$ 65.9						<u>(5)</u>	11/17/2025	Class B Common	6,667
SAR (6)	\$ 71.65						<u>(5)</u>	11/15/2026	Class B Common	10,000

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
- 5	Director	10% Owner	Officer	Other			
TRABERT MARK JOSEPH							
SENECA ST AND JAMISON RD			Vice President				
EAST AURORA, NY 14052							

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## **Signatures**

Timothy P. Balkin, as Power of Attorney for Mark Joseph Trabert

04/12/2017

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- This represents the difference between the number of SARs exercised (2,000) and the number of shares issued as a result of the exercise (477). The number of shares to be issued under a SAR exercise is determined by multiplying the number of SARs being exercised by the difference between the FMV on the date of exercise (\$67.28) and the exercise price (\$41.82). Additional shares are then withheld to satisfy the Company's tax withholding obligations.
- This represents the difference between the number of SARs exercised (4,000) and the number of shares issued as a result of the exercise (1,156). The number of shares to be issued under a SAR exercise is determined by multiplying the number of SARs being exercised by the difference between the FMV on the date of exercise (\$67.28) and the exercise price (\$36.41). Additional shares are then withheld to satisfy the Company's tax withholding obligations.
- (3) Reflects shares held in Moog Inc. Retirement Savings Plan as of the most recent statement to participants.
- (4) Stock Appreciation Right (SAR) granted under the 2008 Appreciation Rights Plan.
- (5) SARs become exercisable ratably over three years beginning on the first anniversary from the date of grant.
- (6) Stock Appreciation Rights (SAR) granted under the Moog Inc. 2014 Long Term Incentive Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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