

HAYNE RICHARD A  
Form 5  
March 18, 2019

**FORM 5**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0362  
Expires: January 31, 2005  
Estimated average burden hours per response... 1.0

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).  
Form 3 Holdings Reported Form 4 Transactions Reported

**ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person \*  
HAYNE RICHARD A

2. Issuer Name and Ticker or Trading Symbol  
URBAN OUTFITTERS INC  
[URBN]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year)  
01/31/2019

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
CEO

5000 SOUTH BROAD STREET

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Reporting

(check applicable line)

PHILADELPHIA, PA 19112

Form Filed by One Reporting Person  
 Form Filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|--|--|---|
|                                 |                                      |  |                                | (A) or (D) Amount Price   |  |  |   |
| Common Shares                   | 08/29/2018                           | Â  | G                              | 973,463 A \$ 0  | 17,448,554   | D  | Â   |
| Common Share                    | 09/25/2018                           | Â  | G                              | 120,000 D \$ 0  | 17,328,554   | D  | Â   |
| Common Shares                   | 09/25/2018                           | Â  | G                              | 23,800 D \$ 0   | 17,304,754   | D  | Â   |
| Common Shares                   | 09/25/2018                           | Â  | G                              | 10,000 D \$ 0   | 17,294,754   | D  | Â   |
|                                 | Â                                    | Â  | (1)(2)                         | Â Â Â   | 23,486   | I (3)  |   |

Edgar Filing: HAYNE RICHARD A - Form 5

|               |            |   |        |        |   |      |           |           |   |
|---------------|------------|---|--------|--------|---|------|-----------|-----------|---|
| Common Shares |            |   |        |        |   |      |           |           | By Profit Sharing Fund (401(k) Plan)                |
| Common Shares | Â          | Â | (1)    | Â      | Â | Â    | 265,573   | I (4)     | By Trust  |
| Common Shares | 11/26/2018 | Â | G      | 27,300 | D | \$ 0 | 35,140    | I (3)     | By Hayne Foundation                                 |
| Common Shares | Â          | Â | (1)    | Â      | Â | Â    | 1,066,184 | I (5)     | By Spouse   |
| Common Shares | Â          | Â | (1)(2) | Â      | Â | Â    | 11,303    | I (6)     | By spouse through Profit Sharing Fund (401(k) Plan) |
| Common Shares | 04/05/2018 | Â | W      | 216    | A | \$ 0 | 5,100,796 | I (6) (7) | By spouse as Trustee                                |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 2270 (9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Amount or Number of Shares |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|-------------------------------|
| Performance Based Restricted Stock Unit    | Â  | Â                                    | Â  | (1)                            | Â   | Â (9) (9)  | Common Shares   | 13,334                        |
| Performance Based                          | Â  | Â                                    | Â  | (1)                            | Â   | Â (10) (10)  | Common Shares   | 30,000                        |

Restricted  
Stock Unit

## Reporting Owners

| Reporting Owner Name / Address                                       | Relationships |           |                          |       |
|--|---------------|-----------|--------------------------|-------|
|  | Director      | 10% Owner | Officer                  | Other |
| HAYNE RICHARD A<br>5000 SOUTH BROAD STREET<br>PHILADELPHIA, PA 19112 | Â X           | Â X       | Â CEO                    | Â     |
| Hayne Margaret<br>5000 SOUTH BROAD STREET<br>PHILADELPHIA, PA 19112  | Â X           | Â         | Â CEO,<br>Free<br>People | Â     |

## Signatures

/s/ Richard A. Hayne /s/ Margaret  
Hayne

03/18/2019

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Report of fiscal year-end holdings only.
  - (2) This line item reports the balance of shares in the Profit Sharing Trust after covering certain administrative costs of the Trustee as of the plan statement date of January 31, 2019.
  - (3) These shares are owned indirectly by Richard A. Hayne and indirectly by his spouse, Margaret Hayne. Margaret Hayne disclaims beneficial ownership of these shares, except to the extent of any pecuniary interest therein.  
  
These shares are held by two trusts, of which members of Richard A. Hayne's immediate family are among the beneficiaries. Mr. Hayne serves as trustee of each trust and disclaims beneficial ownership of the issuer's common shares held by the trusts, except to the extent of his pecuniary interest therein.
  - (4) These shares are owned indirectly by Richard A. Hayne and directly by his spouse, Margaret Hayne. Richard A. Hayne disclaims beneficial ownership of these shares, except to the extent of any pecuniary interest therein.
  - (5) These shares are owned indirectly by Richard A. Hayne and indirectly by his spouse, Margaret Hayne. Richard A. Hayne disclaims beneficial ownership of these shares, except to the extent of any pecuniary interest therein.
  - (6) These shares are owned by seven trusts, of which members of Margaret Hayne's immediate family are among the beneficiaries.
  - (7) Each PSU represents a contingent right to receive one of the issuer's common shares.  
  
One-third of the total number of PSUs are eligible to vest on each of August 25, 2019 and 2020, contingent on the continued employment of the reporting person through such date, the satisfaction of certain performance measures relating to the issuer's average operating profit margin for the fiscal years 2017, 2018, 2019 and 2020 and the satisfaction of the requirement that the average closing price of the issuer's common shares during the six-month period before the applicable vesting date be at least equal to the grant date price; provided, however, that on the three vesting dates, the number of PSUs that vest is limited to the number of PSUs multiplied by three times the FMV of the issuer's common shares on the grant date, divided by the FMV of the issuer's common shares on the day before the applicable vesting date. This limitation caps the number of shares that may be registered under the award at three times the FMV of the award on the grant date.
  - (8) One-third of the total number of PSUs are eligible to vest on each of February 28, 2019, 2020 and 2021, contingent on the continued employment of the reporting person through such date and the satisfaction of certain performance measures relating to the issuer's average operating profit margin for the fiscal years 2018, 2019, 2020 and 2021; provided, however, that on the three vesting dates, the number of PSUs that vest is limited to the number of PSUs multiplied by three times the fair market value of the issuer's common shares

## Edgar Filing: HAYNE RICHARD A - Form 5

on the grant date, divided by the FMV of the issuer's common shares on the day before the applicable vesting date. This limitation caps the number of shares that may be registered under the award at three times the FMV of the award on the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.