#### **BRISTOL MYERS SQUIBB CO**

Form 4

February 03, 2016

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** Number:

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0.5

Check this box if no longer

January 31, Expires: 2005

**OMB APPROVAL** 

subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Estimated average burden hours per response...

Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \*

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

CAMPBELL LEWIS B

Symbol

(Check all applicable)

BRISTOL MYERS SQUIBB CO

[BMY]

(First)

(Month/Day/Year)

X\_ Director 10% Owner Officer (give title Other (specify below)

**BRISTOL-MYERS SQUIBB** COMPANY, 345 PARK AVENUE

(Street)

02/01/2016

(Middle)

4. If Amendment, Date Original

3. Date of Earliest Transaction

6. Individual or Joint/Group Filing(Check Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

(Instr. 4)

Person

NEW YORK, NY 10154

(State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)

(City)

2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) 5. Amount of Securities Beneficially

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial Ownership

(Month/Day/Year)

(Instr. 3, 4 and 5) (Instr. 8)

> Following Reported Transaction(s)

Owned

or (Instr. 3 and 4) Code V Amount (D) Price

(A)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control

SEC 1474 (9-02)

(Instr. 4)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

number.

1. Title of Derivative Conversion 3. Transaction Date 3A. Deemed

5. Number of (Month/Day/Year) Execution Date, if TransactionDerivative

6. Date Exercisable and **Expiration Date** 

7. Title and Amount of **Underlying Securities** 

#### Edgar Filing: BRISTOL MYERS SQUIBB CO - Form 4

| Security (Instr. 3)        | or Exercise Price of Derivative Security |            | any<br>(Month/Day/Year) | Code (Instr. 8) | Securities (M<br>Acquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4, and 5) |                   | (Month/Day/Year) |                    | (Instr. 3 and 4)                        |                                  |
|----------------------------|--|------------|-------------------------|-----------------|---|-------------------|------------------|--------------------|---|----------------------------------|
|                            |  |            |                         | Code V          | (A) (   | D) Date<br>Exerci | isable           | Expiration<br>Date | Title                                   | Amount or<br>Number of<br>Shares |
| Deferred<br>Share<br>Units | (1)                                      | 02/01/2016 |                         | A               | 2,795.133   |                   | (1)              | <u>(1)</u>         | Common<br>Stock,<br>\$0.10 par<br>value | 2,795.133                        |

# **Reporting Owners**

| Reporting Owner Name / Address   | Relationships |           |         |       |  |  |  |
|--|---------------|-----------|---------|-------|--|--|--|
|  | Director      | 10% Owner | Officer | Other |  |  |  |
| CAMPBELL LEWIS B<br>BRISTOL-MYERS SQUIBB COMPANY<br>45 PARK AVENUE<br>NEW YORK, NY 10154 | X             |           |         |       |  |  |  |

## **Signatures**

В

/s/ Katherine Kelly, attorney-in-fact for Lewis B.
Campbell
02/03/2016

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each Deferred Share Unit will be converted into a share of common stock upon settlement. The Deferred Share Units become settleable when the reporting person ceases to be a director or at a future date previously specified by the reporting person.
- (2) Includes deferred compensation and dividends reinvested under the 1987 Deferred Compensation Plan for Non-Employee Directors.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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