Edgar Filing: Allison Transmission Holdings Inc - Form 4

Allison Transmission Holdings Inc Form 4 March 15 2016

| FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION | | | | | | | | OMB APPROVAL | | | |
|--|---|--|--|--|---|------------------------------------|---|---|--------------------|----------------|--|
| | UNITED | NITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 | | | | | | | 3235 | -0287 | |
| Check th if no lon subject t | ger STATEN | MENT OI | F CHANGES IN BENEFICIAL OWNERS | | | | NERSHIP OF | Expires: | Janua d average | ry 31, 2005 | |
| Section Form 4 of Form 5 | rsuant to S | SECURITIES Section 16(a) of the Securities Exchange Act of 1934, | | | | | burden he response | ours per | 0.5 | | |
| obligations may continue.Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 1(b). | | | | | | | | | | | |
| (Print or Type | Responses) | | | | | | | | | | |
| 1. Name and A Graziosi Da | 2. Issuer Name and Ticker or Trading Symbol | | | ling | 5. Relationship of Reporting Person(s) to Issuer | | | | | | |
| | | | Allison Transmission Holdings Inc [ALSN] | | | | (Check all applicable) | | | | |
| (Last) | (First) (| (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) | | | | Director X Officer (give helow) | |)% Owner ther (specify | | | |
| ONE ALLISON WAY | | | 03/11/2016 | | | | below) below) President, CFO and Treasurer | | | | |
| (Street) | | | 4. If Amendment, Date Original Filed(Month/Day/Year) | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person | | | | |
| INDIANA | POLIS, IN 46222 | | | | | | Form filed by Person | More than One | Reporting | | |
| (City) | (State) | (Zip) | Tab | le I - Non- | Derivative Secu | irities Ac | quired, Disposed | of, or Benefic | ially Owne | d | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemo Execution any (Month/Da | Date, if | 3. Transactic Code (Instr. 8) | 4. Securities onAcquired (A) of Disposed of (E (Instr. 3, 4 and (A) | or (\$))] [5) (] | Securities Beneficially Owned | 6. Ownership Form: Direct (D) or Indirec (I) (Instr. 4) | Indirect | al iip | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Transaction(s)

(Instr. 3 and 4)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2. | 3. Transaction Date | 3A. Deemed | 4. | 5. | 6. Date Exercisable and | 7. Title and Amount of | 8. Price |
|-------------|-------------|---------------------|--------------------|-----------|----------|-------------------------|------------------------|----------|
| Derivative | Conversion | (Month/Day/Year) | Execution Date, if | Transacti | orNumber | Expiration Date | Underlying Securities | Derivati |
| Security | or Exercise | | any | Code | of | (Month/Day/Year) | (Instr. 3 and 4) | Security |

or

Code V Amount (D) Price

| (Instr. 3) | Price of Derivative Security | | (Month/Day/Year) | (Instr. 8) | Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | | | | (Instr. 5 |
|----------------------------------|------------------------------------|------------|------------------|------------|---|---------------------|--------------------|-----------------|--|-----------|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |
| Dividend Equivalent Rights | <u>(1)</u> | 03/11/2016 | | А | 81 | <u>(1)</u> | <u>(1)</u> | Common Stock | 81 | \$ 0 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|--|---------------|------------|------------------------------|-------|--|--|--|
| | Director | 10% Owner | Officer | Other | | | |
| Graziosi David S. ONE ALLISON WAY INDIANAPOLIS, IN 46222 | | | President, CFO and Treasurer | | | | |
| Signatures | | | | | | | |
| /s/ Eric C. Scroggins, attorney-in-fact | | 03/15/2016 | 5 | | | | |

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The dividend equivalent rights accrued on previously awarded restricted stock units ("RSUs") and vest proportionately with the RSUs to
 (1) which they relate. Each dividend equivalent right is the economic equivalent of one share of Allison Transmission Holdings, Inc. common stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.