

CERUS CORP
Form 3
October 25, 2016

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Â Jayaraman Vivek K
(Last) (First) (Middle)

2. Date of Event Requiring Statement

(Month/Day/Year)
10/19/2016

3. Issuer Name and Ticker or Trading Symbol
CERUS CORP [CERS]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

(Check all applicable)

C/O CERUS CORPORATION,Â 2550 STANWELL DRIVE

(Street)

CONCORD,Â CAÂ 94520

(City) (State) (Zip)

Director 10% Owner
 Officer Other
(give title below) (specify below)
Chief Commercial Officer

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)

2. Amount of Securities Beneficially Owned (Instr. 4)

3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)

4. Nature of Indirect Beneficial Ownership (Instr. 5)

No securities are beneficially owned.

0

D Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and Expiration Date (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. Conversion or Exercise Price of Derivative

5. Ownership Form of Derivative Security:

6. Nature of Indirect Beneficial Ownership (Instr. 5)

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Option (Right to Buy)	Â (1)	08/31/2026	Common Stock	250,000	\$ 6.36	D	Â
Restricted Stock Units	Â (2)	Â (2)	Common Stock	75,000	\$ (3)	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Jayaraman Vivek K C/O CERUS CORPORATION 2550 STANWELL DRIVE CONCORD, CA 94520	Â	Â	Â Chief Commercial Officer	Â

Signatures

/s/ Vivek Jayaraman 10/24/2016

Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) One eighth (1/8th) of the shares subject to the Option shall vest six (6) months after August 29, 2016 and one forty-eighth (1/48th) of the shares subject to the Option shall vest on each monthly anniversary of the vesting commencement date thereafter.
- (2) The restricted stock unit vests in four equal annual installments, with the first installment vesting on August 29, 2017.
- (3) Each restricted stock unit represents a contingent right to receive one (1) share of Cerus Common Stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.