

SORENSON RONALD JAMES
Form 4
January 02, 2019

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
SORENSON RONALD JAMES

2. Issuer Name and Ticker or Trading Symbol
REAVES UTILITY INCOME FUND [UTG]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)
10/31/2017

____ Director
____ Officer (give title below) 10% Owner
____ Other (specify below)
Officer of Investment Advisor

C/O W. H. REAVES & CO.,
INC., 10 EXCHANGE PLACE,
18TH FLOOR

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

JERSEY CITY, NJ 07302

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Reaves Utility Income Fund	10/31/2017		J ⁽¹⁾		127.611	A	\$ 30.8198
Reaves Utility Income Fund	11/30/2017		J ⁽¹⁾		128.5652	A	\$ 30.7501
Reaves Utility	12/29/2017		J ⁽¹⁾		128.4821	A	\$ 30.93
							24,708.6535
							24,837.2187
							24,965.7008

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Income Fund							
Reaves Utility Income Fund	01/16/2018	<u>J(1)</u>	130.2416	A	\$ 30.6699	25,095.9424	D
Reaves Utility Income Fund	02/28/2018	<u>J(1)</u>	139.8102	A	\$ 28.8803	25,235.7526	D
Reaves Utility Income Fund	03/29/2018	<u>J(1)</u>	145.5035	A	\$ 27.7499	25,381.2561	D
Reaves Utility Income Fund	04/30/2018	<u>J(1)</u>	152.5746	A	\$ 28.2801	25,533.8307	D
Reaves Utility Income Fund	05/31/2018	<u>J(1)</u>	153.8727	A	\$ 28.2101	25,687.7034	D
Reaves Utility Income Fund	06/29/2018	<u>J(1)</u>	151.2612	A	\$ 28.8699	25,838.9646	D
Reaves Utility Income Fund	07/31/2018	<u>J(1)</u>	146.4207	A	\$ 29.9999	25,985.3853	D
Reaves Utility Income Fund	08/31/2018	<u>J(1)</u>	143.8928	A	\$ 30.6961	26,129.2781	D
Reaves Utility Income Fund	09/28/2018	<u>J(1)</u>	145.5433	A	\$ 30.5199	26,274.8214	D
Reaves Utility Income Fund	10/31/2018	<u>J(1)</u>	148.4453	A	\$ 30.0901	26,423.2667	D
Reaves Utility Income	11/30/2018	<u>J(1)</u>	145.8903	A	\$ 30.7899	26,569.157	D

Fund

Reaves

Utility Income Fund 12/31/2018 P 1,000 A \$ 29.4627 27,569.157 D

Fund

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Owned Following Reporting Transaction (Instr. 6)
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Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

SORENSON RONALD JAMES
C/O W. H. REAVES & CO., INC.
10 EXCHANGE PLACE, 18TH FLOOR
JERSEY CITY, NJ 07302

Officer of Investment Advisor

Signatures

Ronald James 01/02/2018
Sorenson

**Signature of Reporting Person Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Reaves Utility Income Fund cash dividend reinvestment

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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