#### RANKIN VICTOIRE G

Form 4 April 03, 2019

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

if no longer subject to Section 16. Form 4 or

Check this box

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \*

RANKIN VICTOIRE G

2. Issuer Name and Ticker or Trading

Symbol

**HYSTER-YALE MATERIALS** HANDLING, INC. [HY]

(First) 5875 LANDERBROOK DRIVE 3. Date of Earliest Transaction

(Month/Day/Year) 04/01/2019

(Street) 4. If Amendment, Date Original

(Middle)

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

**OMB APPROVAL** 

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Director 10% Owner Officer (give title \_\_X\_ Other (specify below) below)

Member of a Group

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

#### MAYFIELD HEIGHTS, OH 44124

(City)	(State)	(Zip) Ta	ble I - Non	-Derivativ	e Sec	urities Acqu	iired, Disposed o	of, or Benefic	ially Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr. 8)	4. Securit or Dispos (Instr. 3,	sed of		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Class A Common Stock	04/01/2019		P	609 (1)	A	\$ 64.5419	93,468	I	Trustee of a Trust for the benefit of Victoire G. Rankin
Class A Common Stock	04/01/2019		P	870 <u>(1)</u>	A	\$ 64.5419	59,936	I	Spouse is a Trustee of a Trust for the benefit of Bruce T. Rankin (2)

Class A Common Stock	04/01/2019	P	46 <u>(1)</u>	A	\$ 64.54 ( <u>3)</u>	16,198	I	Spouse serves as trustee of Trust fbo Clara LT Rankin representing interest in shares held by RA5
Class A Common Stock	04/01/2019	P	2 (1)	A	\$ 64.54 ( <u>3)</u>	649	I	Represents spouse's proportionate interest in shares held by Rankin Associates V
Class A Common Stock	04/01/2019	P	47 <u>(1)</u>	A	\$ 64.54 (3)	41,506	I	Spouse serves as trustee for GSTs for the benefit of Helen Butler
Class A Common Stock	04/01/2019	P	47 <u>(1)</u>	A	\$ 64.54 ( <u>3)</u>	41,506	I	Spouse serves as trustee for the GSTs for the benefit of Clara Williams
Class A Common Stock	04/01/2019	P	48 (1)	A	\$ 64.54 ( <u>3)</u>	41,554	I	Spouse serves as trustee for GSTs for the benefit of Helen Butler
Class A Common Stock	04/01/2019	P	48 (1)	A	\$ 64.54 ( <u>3)</u>	41,554	I	Spouse serves as trustee for the GSTs for the benefit of Clara Williams
Class A Common Stock	04/01/2019	P	2 (1)	A	\$ 64.54 ( <u>3)</u>	607	I	Spouse's brother's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	04/01/2019	P	2 (1)	A	\$ 64.54 ( <u>3)</u>	606	I	Reporting person's proportionate interest in shares held by

								Rankin Associates VI
Class A Common Stock	04/01/2019	P	1 (1)	A	\$ 64.54 ( <u>3)</u>	280	I	Spouse's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock						21,920	I	Represents Spouse's proportionate limited partnership interest in shares held by AMR Associates LP
Class A Common Stock						9,600	I	spouse serves as Trustee of Trusts for the benefit of each of grantor's grandchildren
Class A Common Stock						14,752	I	Held in an Individual Retirement Account for the benefit of the Reporting Person's spouse (2)
Class A Common Stock						152,301	I	spouse serves as Trustee of a Trust for the benefit of Alfred M. Rankin, Jr (2)
Class A Common Stock						10,271	I	Spouse's proportionate limited partnership interest in shares held by RA1 (2)
Class A Common						541	I	Spouses's proportionate

Stock			limited parternship interest in shares held by AMR RAII (2)
Class A Common Stock	19	Ĭ	Spouse's proporationate partnership interest in RAIV (2)
Class A Common Stock	1,087	I	Spouses proportionate limited partnership interest in shares held by Rankin Associates IV, L.P
Class A Common Stock	1,975	I	spouse's proportionate interest in shares held by Rankin Management, Inc. ("RMI")
Class A Common Stock	77	I	spouse's proportionate interest in shares held by RAV held by Rankin Management, Inc.
Class A Common Stock	95	I	spouse's proportionate interest in shares held by RAVI held by Rankin Management, Inc.
Class A Common Stock	18,625	I	spouse serves as Trustee of Trusts for the benefit of the

			Estate of Alfred M. Rankin, Sr (2)
Class A Common Stock	42,666	I	Spouse is a Trustee of a Trust for the benefit of BT Rankin, Trust's proportionate interest of RA1
Class A Common Stock	25,099	I	Spouse is a Trustee of a Trust for the benefit of BT Rankin, Trust proporationate interest in RAII (2)
Class A Common Stock	46,182	I	Spouse is a Trustee of a Trust for the benefit of BT Rankin, Trust's proportionate interest of RA4 (2)
Class A Common Stock	16,065	I	spouse serves as the Trustee of the Trust which is held for the benefit of Clara LT Rankin
Reminder: Report on a separate line for each class of securities ben	eficially owned directly or indirectly.  Persons who respond to the college.	notion of	SEC 1474
	information contained in this formation contained in this formation required to respond unless the formation displays a currently valid OMB contained in the co	n are not orm	(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exercisable and	7. Title and Amount of	8. Price of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transaction	onNumber	Expiration Date	Underlying Securities	Derivative
Security	or Exercise		any	Code	of	(Month/Day/Year)	(Instr. 3 and 4)	Security
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivativ	e		(Instr. 5)
	Derivative				Securities			

number.

	Security			Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)				
		Code	V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Class B Common Stock	(4)				<u>(4)</u>	<u>(4)</u>	Class A Common Stock	25,524
Class B Common Stock	<u>(4)</u>				<u>(4)</u>	<u>(4)</u>	Class A Common Stock	9,600
Class B Common Stock	<u>(4)</u>				<u>(4)</u>	<u>(4)</u>	Class A Common Stock	14,160
Class B Common Stock	(4)				<u>(4)</u>	<u>(4)</u>	Class A Common Stock	17,556
Class B Common Stock	<u>(4)</u>				<u>(4)</u>	<u>(4)</u>	Class A Common Stock	18,373

Class B Common Stock	<u>(4)</u>	<u>(4)</u>	<u>(4)</u>	Class A Common Stock	541
Class B Common Stock	<u>(4)</u>	<u>(4)</u>	<u>(4)</u>	Class A Common Stock	31
Class B Common Stock	( <u>4</u> )	<u>(4)</u>	<u>(4)</u>	Class A Common Stock	1,721
Class B Common Stock	<u>(4)</u>	<u>(4)</u>	<u>(4)</u>	Class A Common Stock	1,975
Class B Common Stock	<u>(4)</u>	<u>(4)</u>	<u>(4)</u>	Class A Common Stock	18,625
Class B Common Stock	<u>(4)</u>	<u>(4)</u>	<u>(4)</u>	Class A Common Stock	76,330
Class B Common Stock	<u>(4)</u>	<u>(4)</u>	<u>(4)</u>	Class A Common Stock	25,099

Class B Common Stock	(4)	<u>(4)</u>	<u>(4)</u>	Class A Common 73,166 Stock
Class B Common Stock	<u>(4)</u>	<u>(4)</u>	<u>(4)</u>	Class A Common 747 Stock
Class B Common Stock	<u>(4)</u>	<u>(4)</u>	<u>(4)</u>	Class A Common 6,889 Stock
Class B Common Stock	<u>(4)</u>	<u>(4)</u>	<u>(4)</u>	Class A Common 6,889 Stock
Class B Common Stock	<u>(4)</u>	<u>(4)</u>	<u>(4)</u>	Class A Common 21,006 Stock

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

RANKIN VICTOIRE G 5875 LANDERBROOK DRIVE MAYFIELD HEIGHTS, OH 44124

Member of a Group

Reporting Owners 8

### **Signatures**

/s/ Suzanne S. Taylor, attorney-in-fact

04/03/2019

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares purchased pursuant to 10b5-1 plan
- (2) Reporting Person disclaims beneficial ownership of all such shares.
- (3) 2019-Apr-1-Weighted Average Share Price represents average price between \$64.20 and \$64.99.
- (4) N/A
  - BTR RA4-Represents the proportionate limited partnership interest in shares held by Rankin Associates IV, L.P. Reporting Person's
- (5) spouse serves as the Trustee of the Trust which is held for the benefit of Bruce T Rankin. Reporting person disclaims beneficial ownership of all such shares.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 9