LRAD Corp Form 4 March 16, 2017

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** 3235-0287 Number:

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Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person \* Osgood Richard Hoe III

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

(Check all applicable)

Issuer

(Last)

(First) (Middle) LRAD Corp [LRAD]

X\_ Director 10% Owner

20 HUNTER RANCH RD.

3. Date of Earliest Transaction (Month/Day/Year)

03/14/2017

Officer (give title Other (specify below)

6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year)

4. If Amendment, Date Original

(Instr. 8)

Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

NAPA, CA 94558

Security

(Instr. 3)

(City) (State) (Zip) 1.Title of 2. Transaction Date 2A. Deemed

(Street)

4. Securities (Month/Day/Year) Execution Date, if TransactionAcquired (A) or Code Disposed of (D)

5. Amount of 6. Ownership 7. Nature of Securities Form: Direct Indirect Beneficially (D) or Indirect Beneficial Owned Ownership Following (Instr. 4) (Instr. 4)

Reported (A) Transaction(s) or (Instr. 3 and 4)

Code V Amount (D) Price

(Instr. 3, 4 and 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Month/Day/Year)

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of 3. Transaction Date 3A. Deemed 5. Number of 6. Date Exercisable and 7. Title and Amount of 8. 1 4. Derivative Conversion (Month/Day/Year) Execution Date, if TransactionDerivative **Expiration Date Underlying Securities** Security or Exercise Code Securities (Month/Day/Year) (Instr. 3 and 4) (Instr. 3) Price of (Month/Day/Year) (Instr. 8) Acquired (A)

De

Sec

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#### Edgar Filing: LRAD Corp - Form 4

|                              | Derivative<br>Security |            |      |   | or Dispose<br>(D)<br>(Instr. 3, 4<br>and 5) |     |                     |                    |                 |                                     |
|------------------------------|------------------------|------------|------|---|---|-----|---------------------|--------------------|-----------------|-------------------------------------|
|                              |                        |            | Code | V | (A)   | (D) | Date<br>Exercisable | Expiration<br>Date | Title           | Amount<br>or<br>Number<br>of Shares |
| Restricted<br>Stock<br>Units | (1)                    | 03/14/2017 | A    |   | 25,000                                      |     | (2)                 | (2)                | Common<br>Stock | 25,000                              |
| Restricted<br>Stock<br>Units | (1)                    | 03/14/2017 | A    |   | 25,000                                      |     | (3)                 | (3)                | Common<br>Stock | 25,000                              |

# **Reporting Owners**

| Reporting Owner Name / Address                                  | Relationships |           |               |  |  |  |  |
|---|---------------|-----------|---------------|--|--|--|--|
| Reporting Owner France / Francess                               | Director      | 10% Owner | Officer Other |  |  |  |  |
| Osgood Richard Hoe III<br>20 HUNTER RANCH RD.<br>NAPA, CA 94558 | X             |           |               |  |  |  |  |

## **Signatures**

/s/ Katherine H. McDermott,
Attorney-in-fact
03/16/2017

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each Restricted Stock Unit (RSU) represents a contingent right to receive one share of LRAD common stock.
- (2) RSUs will vest on May 17, 2017. Expiration date is not applicable to RSUs.
- (3) RSUs will vest on March 14, 2018. Expiration date is not applicable to RSUs.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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