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PEOPLES BANCORP OF NORTH CAROLINA INC

Form 5

February 10, 2016

OMB APPROVAL FORM 5 **OMB**

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 Check this box if

no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

Reported Form 4

1(b).

Transactions Reported

1. Name and Address of Reporting Person *

| HOWARD DOUGLAS S | | Symbol PEOPLES BANCORP OF NORTH CAROLINA INC [PEBK] | | | | Iss | Issuer (Check all applicable) | | | | |
|--------------------------------------|--------------------------------------|--|--------------------|----------------------------------|--------------------------------|------------------------------|--------------------------------|--|--|---|--|
| (Last) | (First) | | | /Day/Year) | r's Fiscal Year Ended | | | X Director 10% Owner Officer (give title Other (specify below) | | | |
| 518 WEST | T C STREET | | | | | | | | | | |
| | (Street) | | | nendment, Date onth/Day/Year) | e Original | | 6. 1 | Individual or Joint. | /Group Repor | ting | |
| NEWTON | , NC 28658 | | | | | | _ | _ Form Filed by One _ Form Filed by Mor son | 1 0 | | |
| (City) | (State) | (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned | | | | | | | | | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | Execution D any | Execution Date, if | | 4. Securi or Dispos (Instr. 3, | sed of 4 and (A) or | | 5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| Common Stock | 01/29/2015 | Â | | L(1) | 66 | A | \$ 18.9699 | 14,894.9319 | D | Â | |
| Common Stock | 02/02/2015 | Â | | L(1) | 38 | A | \$ 18.9699 | 14,932.9319 | D | Â | |
| Common Stock | 02/19/2015 | Â | | A(2) | 375 | A | \$ 18 | 15,307.9319 | D | Â | |
| Common Stock | 02/27/2015 | Â | | <u>L(1)</u> | 38 | A | \$ 18.6999 | 15,345.9319 | D | Â | |
| | 04/24/2015 | Â | | <u>L(1)</u> | 61 | A | \$ 18.67 | 15,406.9319 | D | Â | |

3235-0362

January 31,

2005

1.0

Number:

Expires:

response...

5. Relationship of Reporting Person(s) to

Estimated average

burden hours per

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| Common Stock | | | | | | | | | |
|---|------------|---|-------------|-------|----------|---------------|-------------|---|----------------------------|
| Common Stock | 05/05/2015 | Â | L(1) | 37 | A | \$ 18.6393 | 15,443.9319 | D | Â |
| Common Stock | 06/02/2015 | Â | L(1) | 38 | A | \$ 18.4899 | 15,481.9319 | D | Â |
| Common Stock | 07/30/2015 | Â | L(1) | 109 | A | \$ 18.4199 | 15,590.9319 | D | Â |
| Common Stock | 08/27/2015 | Â | <u>L(1)</u> | 37 | A | \$ 18.98 | 15,627.9319 | D | Â |
| Common Stock | 10/22/2015 | Â | L(1) | 70 | A | \$ 18.5699 | 15,697.9319 | D | Â |
| Common Stock | 10/30/2015 | Â | <u>L(1)</u> | 36 | A | \$ 19.4499 | 15,733.9319 | D | Â |
| Common Stock | 12/31/2015 | Â | <u>L(1)</u> | 37 | A | \$ 19.4174 | 15,770.9319 | D | Â |
| Common Stock | Â | Â | Â | Â | Â | Â | 450 | I | shares owned by wife |
| Reminder: Report on a separate line for each class of | | | Persons v | ation | SEC 2270 | | | | |

securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 2270 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | ate | 7. Titl Amou Under Securi (Instr. | nt of lying | 8. Price of Derivative Security (Instr. 5) | 9. of D So Bo O En Is Fi (In |
|---|---|--------------------------------------|---|---|---|---------------------|--------------------|---|--|---|---|
| | | | | | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |

Reporting Owners

Relationships Reporting Owner Name / Address

Director 10% Owner Officer Other

2 Reporting Owners

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HOWARD DOUGLAS S 518 WEST C STREET Â X Â Â Â NEWTON, NCÂ 28658

Signatures

Douglas S. Howard 02/10/2016

**Signature of Date
Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) shares acquired through Deferral Plan
- (2) Restricted Stock Units granted 2-19-15, based on \$18.00 per share.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3