### Edgar Filing: NINIVAGGI DANIEL A - Form 3

NINIVAGGI DANIEL A Form 3 April 05, 2010

## FORM 3

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**OMB APPROVAL** 

**OMB** Number:

3235-0104

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**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

NINIVAGGI DANIEL A

C/O ICAHN ENTERPRISES

L.P., Â 767 FIFTH AVENUE,

(Street)

(Last)

47TH FLOOR

(First) (Middle)

Statement

(Month/Day/Year)

04/05/2010

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

ICAHN ENTERPRISES L.P. [IEP]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

(Check all applicable)

Director

10% Owner

\_X\_\_ Officer Other (give title below) (specify below) President of General Partner 6. Individual or Joint/Group

Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

NEW YORK. NYÂ 10153

(City) (State) (Zip)

1. Title of Security (Instr. 4)

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership

Table I - Non-Derivative Securities Beneficially Owned

4. Nature of Indirect Beneficial Ownership

Form: (Instr. 5) Direct (D)

or Indirect (I) (Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02)

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#### Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security 2. Date Exercisable and

(Instr. 4)

**Expiration Date** 

(Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security

4. Conversion or Exercise Price of

5. Ownership Form of Derivative

6. Nature of Indirect Beneficial

Ownership

(Instr. 5)

Date Exercisable Expiration Date

Title

(Instr. 4)

Amount or Number of Derivative Security: Security Direct (D)

1

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				Shares		or Indirect (I) (Instr. 5)	
Class A Option to buy Depositary Units	02/11/2010	12/31/2014	Depositary Units (1)	100,000 (2)	\$ 45.6	D	Â
Class B Option to buy Depositary Units	02/11/2010	12/31/2014	Depositary Units (1)	100,000	\$ 55.6	D	Â

## **Reporting Owners**

Reporting Owner Name / Address	Relationships				
. 9	Director	10% Owner	Officer	Other	
NINIVAGGI DANIEL A C/O ICAHN ENTERPRISES L.P. 767 FIFTH AVENUE, 47TH FLOOR NEW YORK. NY 10153	Â	Â	President of General Partner	Â	

# **Signatures**

DANIEL A.
NINIVAGGI

\*\*Signature of Reporting

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Depositary Units representing limited partner interests in Icahn Enterprises L.P.
- (2) The Class A option shall vest: (i) as to 33,334 Depositary Units, on December 31, 2010; (ii) as to 33,333 Depositary Units, on December 31, 2011; and (iii) as to the balance of 33,333 Depositary Units, on December 31, 2012.
- (3) The Class B option shall vest: (i) as to 33,334 Depositary Units, on December 31, 2010; (ii) as to 33,333 Depositary Units, on December 31, 2012.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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