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HERTZ GLOBAL HOLDINGS INC

Form 3/A

November 07, 2013

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

SECURITIES

response...

(Print or Type Responses)

1. Name and Address of Reporting

Person *

Rosenberg David J

(Last)

(First)

(Month/Day/Year)

10/01/2013

HERTZ GLOBAL HOLDINGS INC [HTZ]

HERTZ GLOBAL HOLDINGS,

INC., 225 BRAE **BOULEVARD**

(Street)

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Statement

4. Relationship of Reporting

Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

10/07/2013

(Check all applicable)

Director _X__ Officer

10% Owner

Other (give title below) (specify below) SVP and Interim CFO

6. Individual or Joint/Group

Filing(Check Applicable Line) _X_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

PARK RIDGE. NJÂ 07656

(City) (State)

(Instr. 4)

(Zip)

(Middle)

2. Amount of Securities

Beneficially Owned (Instr. 4)

3. Ownership

Table I - Non-Derivative Securities Beneficially Owned

4. Nature of Indirect Beneficial Ownership (Instr. 5)

Form:

Direct (D) or Indirect (I)

(Instr. 5)

Â

Common Stock $7,368 \stackrel{(1)}{=}$

1. Title of Security

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. Conversion or Exercise Price of

5. Ownership Form of Derivative

6. Nature of Indirect Beneficial

Ownership

(Instr. 5)

Security:

Derivative

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Employee Stock Options (Right to Purchase)	03/12/2014	03/12/2020	Common Stock	3,084	\$ 9.99	D	Â
Employee Stock Options (Right to Purchase)	03/01/2014	03/12/2024	Common Stock	1,978	\$ 14.6	D	Â
Employee Stock Options (Right to Purchase)	03/01/2015	03/12/2024	Common Stock	1,978	\$ 14.6	D	Â
Price Vesting Units	(2)	(2)	Common Stock	7,315	\$ <u>(2)</u>	D	Â
Performance Stock Units	(3)	(3)	Common Stock	7,346	\$ <u>(3)</u>	D	Â
Performance Stock Units	(4)	(4)	Common Stock	3,148	\$ (4)	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships				
	Director	10% Owner	Officer	Other	
Rosenberg David J HERTZ GLOBAL HOLDINGS, INC. 225 BRAE BOULEVARD PARK RIDGE, NJ 07656	Â	Â	SVP and Interim CFO	Â	

Signatures

William Langston, By Power of Attorney on behalf of David
Rosenberg

11/07/2013

**Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations, See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Includes (i) 1,615 restricted stock units, each representing a contingent right to receive one share of HTZ Common Stock, which will vest on March 1, 2014, (ii) 5,441 restricted stock units, each representing a contingent right to receive one share of HTZ Common Stock, 2,770 of which will vest on March 6, 2014 and 2,771 of which will vest on March 6, 2015 and (iii) 302 shares acquired through Employee Stock Purchase Plan.

Date

- Each Price Vesting Unit (PVU) represents a contingent right to receive 1 share of HTZ common stock. The PVUs vest in 2 equal tranches on the third and fourth anniversaries of the date of grant, respectively. The first tranche will vest on March 6, 2015, contingent upon (a) the Recipient's continued employment and (b) the average of the closing prices of HTZ shares on the 20 trading days ending on the vesting date being at least \$16,5899; if such 20 day average is less than \$16,5899 but greater than \$14,4260, then a prorated portion of the
- vesting date being at least \$16.5899; if such 20 day average is less than \$16.5899 but greater than \$14.4260, then a prorated portion of the tranche will vest; if such 20 day average is less than or equal to \$14.4260, the tranche will be forfeited. The second tranche will vest on March 6, 2016, contingent upon (a) the Recipient's continued employment and (b) the average of the closing prices of HTZ shares on the 20 trading days ending on the vesting date being at least \$18.0325; if such 20 day average is less than \$18.0325 but greater than \$14.4260, then a prorated portion of the tranch

(3)

Reporting Owners 2

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Each Performance Stock Unit represents a contingent right to receive one share of HTZ common stock. The Performance Stock Units vest in three equal tranches, contingent upon (a) the recipient's continued employment and (b) EBITDA of the issuer during the 2013 and 2014 fiscal years. The each tranche consists of 1/3 of the grant and vest on February 28, 2014, February 28, 2015 and February 28, 2016, respectively.

Each Performance Stock Unit represents a contingent right to receive one share of HTZ common stock. The Performance Stock Units vest in three equal tranches, contingent upon (a) the recipient's continued employment and (b) EBITDA margin of the issuer during the 2013 fiscal year. The each tranche consists of 1/3 of the grant and vest on February 28, 2014, February 28, 2015 and February 28, 2016, respectively.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.