

BERKSHIRE HATHAWAY INC  
Form 5  
January 20, 2017

# FORM 5

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).  
Form 3 Holdings Reported Form 4 Transactions Reported

## ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person \*  
BUFFETT WARREN E

2. Issuer Name and Ticker or Trading Symbol  
BERKSHIRE HATHAWAY INC  
[BRK.A]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year)  
12/31/2016

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
Chairman and CEO

3555 FARNAM STREET

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Reporting

(check applicable line)

OMAHA, NE 68131

Form Filed by One Reporting Person  
 Form Filed by More than One Reporting Person

(City) (State) (Zip)

### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|--|--|-----------------------------------|
|                                 |                                      |  |                                | (A) Amount or (D) Price   |  |  |                                   |
| Class B Common Stock            | 09/06/2016 <sup>(1)</sup>            | Â  | G                              | 46,500 D \$ 0   | 98,406   | D  | Â                                 |
| Class B Common Stock            | 09/06/2016 <sup>(1)</sup>            | Â  | G                              | 8,231 D \$ 0  | 90,175   | D  | Â                                 |
| Class B Common Stock            | 09/28/2016 <sup>(1)</sup>            | Â  | G                              | 10,085 D \$ 0   | 80,090   | D  | Â                                 |

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|                            |                           |   |   |     |   |      |        |   |   |
|----------------------------|---------------------------|---|---|-----|---|------|--------|---|---|
| Class B<br>Common<br>Stock | 09/28/2016 <sup>(1)</sup> | Â | G | 345 | D | \$ 0 | 79,745 | D | Â |
| Class B<br>Common<br>Stock | 10/26/2016 <sup>(1)</sup> | Â | G | 100 | D | \$ 0 | 79,645 | D | Â |
| Class B<br>Common<br>Stock | 11/03/2016 <sup>(1)</sup> | Â | G | 100 | D | \$ 0 | 79,545 | D | Â |
| Class B<br>Common<br>Stock | 12/19/2016 <sup>(2)</sup> | Â | G | 100 | D | \$ 0 | 79,445 | D | Â |
| Class B<br>Common<br>Stock | 12/19/2016 <sup>(2)</sup> | Â | G | 100 | D | \$ 0 | 79,345 | D | Â |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) |                            |   |   |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|----------------------------|---|---|
|  |  |                                      |  |                                |   | Date Exercisable   | Expiration Date   | Title                                      | Amount or Number of Shares |   |   |
|  |  |                                      |  |                                |   | (A) (D)  |   |  |                            |   |   |
| Class A<br>Common<br>Stock                 | Â  | Â                                    | Â  | Â                              | Â   | Â  | Â   | Â  | See footnote (3)           | Â | Â |

## Reporting Owners

| Reporting Owner Name / Address         | Relationships |           |                    |       |
|--|---------------|-----------|--------------------|-------|
|  | Director      | 10% Owner | Officer            | Other |
| BUFFETT WARREN E<br>3555 FARNAM STREET | Â X           | Â X       | Â Chairman and CEO | Â     |

OMAHA, NE 68131

## Signatures

/s/ Warren E.  
Buffett

01/20/2017

\_\_Signature of  
Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each of these reported transactions was a gift from Warren E. Buffett to a charitable organization.
- (2) Each of these reported transactions was a gift from Warren E. Buffett to an individual.
- (3) Each share of Class A Common Stock is convertible at any time at the option of the holder into 1,500 shares of Class B Common Stock. In accordance with the instructions to Form 5, my holdings of shares of Class A Common Stock are reported in Table II.
- (4) Not applicable.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.