Edgar Filing: CSW INDUSTRIALS, INC. - Form 3

CSW INDUSTRIALS, INC. Form 3 December 12, 2016 FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 OMB approval OMB approval

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person <u>*</u> Sweezey Jay Kent		2. Date of Event Requiring Statement (Month/Day/Year)	3. Issuer Name and Ticker or Trading Symbol CSW INDUSTRIALS, INC. [CSWI]				
(Last) (Fin	rst) (Middle)	12/09/2016	4. Relationship of Reporting Person(s) to Issuer		5. If Amendment, Date Original Filed(Month/Day/Year)		
5240 LBJ FREE 500	WAY, SUITE		(Check al	l applicable)	r nod(nond/bay/rod)		
(Str	reet)		Director Officer (give title below)	10% O Other (specify below	Filing(Check Applicable Line)		
DALLAS, TXÂ	75240				Person Form filed by More than One Reporting Person		
(City) (Sta	ate) (Zip)	Table I - N	on-Derivativ	e Securitie	es Beneficially Owned		
1.Title of Security (Instr. 4)		2. Amount of Beneficially (Instr. 4)	Owned C I I C C	Ownership	4. Nature of Indirect Beneficial Ownership (Instr. 5)		
Reminder: Report on owned directly or ind	-	ach class of securities benefici	ially SEC	C 1473 (7-02)			
	information cont required to resp	spond to the collection of ained in this form are not ond unless the form displ MB control number.					
Table	Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)						

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and		3. Title and Amount of		4.	5.	6. Nature of Indirect
	Expiration Date		Securities Underlying		Conversion	Ownership	Beneficial Ownership
	(Month/Day/Year)		Derivative Security		or Exercise	Form of	(Instr. 5)
	Date Exercisable	Expiration Date	(Instr. 4) Title	Amount or Number of Shares	Price of Derivative Security	Derivative Security: Direct (D) or Indirect (I)	

3235-0104

January 31,

2005

0.5

Number:

Expires:

response...

Estimated average burden hours per

(Instr. 5)

Reporting Owners

Reporting Owner Name / Address	Relationships				
	Director 10% Owner		Officer	Other	
Sweezey Jay Kent 5240 LBJ FREEWAY, SUITE 500 DALLAS, TX 75240	Â	Â	Â	Â	
Signatures					
/s/Luke E. Alverson, Attorney in Fact	12/12/2016				
**Signature of Reporting Person		Date			

Explanation of Responses:

No securities are beneficially owned

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number. dth: 1; border-left-width: 0; border-right-width: 1; border-bottom-width: 1">5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)6. Date Exercisable and Expiration Date (Month/Day/Year)7. Title and Amount of Underlying Securities (Instr. 3 and 4)8. Price of Derivative Security (Instr. 5)9. Number of Derivative Security: Beneficially Owned Following Reported Transaction(s) (Instr. 4)10. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 4)11. Nature of Indirect Beneficial Ownership (Instr. 4)CodeV(A)(D)Date ExercisableExpiration DateTitleAmount or Number of Shares

Reporting Owners

Reporting Owner Name / Address	Relationships					
, e e	Director	10% Owner	Officer	Other		
PIETRONTONE ANTHONY JR 103 JFK PARKWAY SHORT HILLS, NJ 07078			PAO & Corporate Controller			
Signatures						
/s/ Kristin R. Kaldor for Anthony filed	Pietronto	ne, Jr. by PO	A previously	03/13/2018		
**Signature of Reporting Person				Date		

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Acquired pursuant to a dividend reinvestment feature of the issuer's stock incentive plan in connection with restricted stock units held by the reporting person.
- (2) To satisfy tax withholding obligations relating to the vesting of shares of Common Stock previously awarded, shares were withheld from the vested amount.
- (3) Held in the issuer's employee stock purchase plan (ESPP) as of 3/13/2018.

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