

CHAN KELLY L  
Form 4  
February 10, 2011

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
CHAN KELLY L

2. Issuer Name and Ticker or Trading Symbol  
CATHAY GENERAL BANCORP  
[CATY]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)  
02/08/2011

Director  10% Owner  
 Officer (give title below)  Other (specify below)

777 NORTH BROADWAY

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

LOS ANGELES, CA 90012

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
|                                 |                                      |  |                                | (A) or (D)  | Price   |  |                                   |
|                                 |                                      |  |                                | Code  | V   | Amount   |                                   |
| Common Stock                    |                                      |  |                                |   |   | 90,680   | D                                 |
| Common Stock                    |                                      |  |                                |   |   | 9,800  | I By spouse                       |
| Common Stock                    |                                      |  |                                |   |   | 58,288   | I Living Trust                    |
| Common Stock                    | 02/08/2011                           |  | J <sup>(1)</sup>               | 144   | D   | \$ 0 13,040  | I As custodian for son            |
| Common Stock                    |                                      |  |                                |   |   | 27,096   | I Partner - Chansons              |

|                 |         |   |  |
|-----------------|---------|---|--|
| Common<br>Stock | 200,000 | I | Properties<br>Trustee -<br>WHFC<br>Trust |
|-----------------|---------|---|--|

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2. Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4. Transaction<br>Code<br>(Instr. 8) | 5. Number<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) | 8. De<br>Se<br>(In |                                     |
|---|--|---|---|--------------------------------------|--|--|---|--------------------|-------------------------------------|
|   |  |   |   |                                      |  | Date Exercisable   | Expiration<br>Date  | Title              | Amount<br>or<br>Number<br>of Shares |
|   |  |   |   |                                      |  | Code   | V   | (A)                | (D)                                 |
| Stock<br>Option                                     | \$ 16.275  |   |   |                                      |  | 02/21/2003 <sup>(2)</sup>                                      | 02/21/2012  | Common<br>Stock    | 2,000                               |
| Stock<br>Option                                     | \$ 19.925  |   |   |                                      |  | 01/16/2004 <sup>(2)</sup>                                      | 01/16/2013  | Common<br>Stock    | 3,800                               |
| Stock<br>Option                                     | \$ 24.8  |   |   |                                      |  | 11/20/2004 <sup>(2)</sup>                                      | 11/20/2013  | Common<br>Stock    | 11,000                              |
| Stock<br>Option                                     | \$ 37  |   |   |                                      |  | 02/17/2006 <sup>(2)</sup>                                      | 02/17/2015  | Common<br>Stock    | 3,500                               |
| Stock<br>Option                                     | \$ 36.24   |   |   |                                      |  | 01/25/2007 <sup>(2)</sup>                                      | 01/25/2016  | Common<br>Stock    | 3,500                               |
| Stock<br>Option                                     | \$ 23.37   |   |   |                                      |  | 02/21/2009 <sup>(3)</sup>                                      | 02/21/2018  | Common<br>Stock    | 3,500                               |

## Reporting Owners

| Reporting Owner Name / Address | Relationships |           |         |       |
|--------------------------------|---------------|-----------|---------|-------|
|                                | Director      | 10% Owner | Officer | Other |
|                                | X             |           |         |       |

CHAN KELLY L  
777 NORTH BROADWAY  
LOS ANGELES, CA 90012

## Signatures

Kelly L. Chan

02/10/2011

    Signature of  
Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Transferred to child who reached the age of majority.
- (2) The option is fully exercisable.
- (3) The option vests in 5 equal annual installments beginning 2/21/09.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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