

BIOTIME INC  
Form 4  
June 06, 2013

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**LICHTINGER PEDRO**

(Last) (First) (Middle)  
**1301 HARBOR BAY PARKWAY**  
  
(Street)  
**ALAMEDA, CA 94502**

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
**BIOTIME INC [BTX]**

3. Date of Earliest Transaction (Month/Day/Year)  
**06/04/2013**

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
|                                 |                                      |  | Code                           | V   | Amount  | (A) or (D)   | Price                             |
| Common Shares, no par value     | 06/04/2013                           |  | P                              |   | 120,000   | A  | (1)                               |
|                                 |                                      |  |                                |   | 126,250   | (2)  | D                                 |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

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| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) |     | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |                 |               |                            |
|--|--|--------------------------------------|--|--------------------------------|---|--|-----|---|-----------------|---------------|----------------------------|
|  |  |                                      |  | Code                           | V   | (A)  | (D) | Date Exercisable  | Expiration Date | Title         | Amount or Number of Shares |
| Option to Purchase Common Shares           | \$ 5   | 06/04/2013                           |  | P                              |   | 30,000   |     | 06/04/2013  | 06/04/2018      | Common Shares | 30,000                     |
| Option to Purchase Common Shares           | \$ 4.6   |                                      |  |                                |   |  |     | <u>(3)</u>  | 06/30/2017      | Common Shares | 20,000                     |
| Option to Purchase Common Shares           | \$ 5.13  |                                      |  |                                |   |  |     | <u>(4)</u>  | 06/30/2016      | Common Shares | 20,000                     |
| Option to Purchase Common Shares           | \$ 5.45  |                                      |  |                                |   |  |     | <u>(5)</u>  | 08/09/2015      | Common Shares | 20,000                     |
| Option to Purchase Common Shares           | \$ 3.45  |                                      |  |                                |   |  |     | <u>(6)</u>  | 08/10/2014      | Common Shares | 20,000                     |

## Reporting Owners

| Reporting Owner Name / Address                                   | Relationships |           |         |       |
|--|---------------|-----------|---------|-------|
|  | Director      | 10% Owner | Officer | Other |
| LICHTINGER PEDRO<br>1301 HARBOR BAY PARKWAY<br>ALAMEDA, CA 94502 | X             |           |         |       |

## Signatures

/s/ Pedro  
Lichtinger

06/06/2013

\*\*Signature of  
Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) On June 4, 2013, Mr. Lichtinger purchased 120,000 BioTime common shares and 30,000 options to purchase 30,000 common shares in a private transaction. Each common share and one quarter of each option were purchased for an aggregate price of \$4.155.
- (2) Does not include shares that may be acquired upon the exercise of certain stock options.
- (3) 5,000 options became exercisable on September 30, 2012; December 31, 2012; and March 31, 2013. 5,000 options will become exercisable on June 30, 2013 based upon continued service on the board of directors.
- (4) 5,000 options became exercisable on September 30, 2011; December 31, 2011; March 31, 2012; and June 30, 2012.
- (5) 5,000 options became exercisable on September 30, 2010; December 31, 2010; March 31, 2011; and June 30, 2011.
- (6) 5,000 options became exercisable on September 30, 2009; December 31, 2009; March 31, 2010; and June 30, 2010.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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