

GERWITZ HERMAN MARK  
Form 5  
February 13, 2018

# FORM 5

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

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Form 3 Holdings Reported Form 4 Transactions Reported

**ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person \*  
GERWITZ HERMAN MARK

2. Issuer Name and Ticker or Trading Symbol  
NOCOPI TECHNOLOGIES  
INC/MD/ [NNUP]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year)  
12/31/2017

Director  10% Owner  
 Officer (give title below)  Other (specify below)

C/O NOCOPI TECHNOLOGIES,  
INC., 480 SHOEMAKER ROAD,  
SUITE 104

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Reporting

(check applicable line)

KING OF PRUSSIA, PA 19406

Form Filed by One Reporting Person  
 Form Filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) |         | 5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---------|--|--|---|
|                                 |                                      |  |                                | (A) or (D)  | Amount  | Price  |  |   |
| Common stock, \$.01 par value   | 12/31/2017                           |  |                                |   |         | 6,000  | I  | IRA   |
| Common stock,                   | 06/07/2017                           |  | P                              |   | 130,000 | \$ 0.048   | D  |   |

|                                |            |   |   |         |   |           |         |   |                 |
|--------------------------------|------------|---|---|---------|---|-----------|---------|---|-----------------|
| Common stock, \$0.01 par value | 11/27/2017 | Â | P | 100,000 | A | \$ 0.0395 | 475,049 | D | Â               |
| Common stock, \$0.01 par value | Â          | Â | Â | Â       | Â | Â         | 72,500  | I | Daughter        |
| Common stock, \$0.01 par value | Â          | Â | Â | Â       | Â | Â         | 50,000  | I | M. Gerwitz UGMA |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 2270 (9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|
|  |  |                                      |  |                                | (A) (D)   | Date Exercisable Expiration Date                         | Title Amount Number Shares                                    |
| Convertible debenture                      | \$ 0.025   | Â                                    | Â  | Â                              | Â Â   | 07/08/2018 07/08/2018                                    | Common stock 213,32   |
| Common stock warrants                      | \$ 0.02  | Â                                    | Â  | Â                              | Â Â   | 07/08/2016 07/08/2021                                    | Common stock 26,66  |

## Reporting Owners

| Reporting Owner Name / Address   | Relationships |           |         |       |
|--|---------------|-----------|---------|-------|
|  | Director      | 10% Owner | Officer | Other |
| GERWITZ HERMAN MARK<br>C/O NOCOPI TECHNOLOGIES, INC.,<br>480 SHOEMAKER ROAD, SUITE 104 | Â X           | Â         | Â       | Â     |

