## Edgar Filing: Way Andrew James - Form 4

Way Andrew Form 4	w James										
March 06, 2	019										
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION								OMB			
Washington, D.C. 20549Check this box if no longer subject to Section 16.Section 16. 							Number:January 31.Expires:2005Estimated averageburden hours perresponse0.5				
(Print or Type	Responses)										
Way Andrew James Symbo			Symbol	er Name <b>and</b> Ticker or Trading an Corp [EXTN]				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Check					an applicable)			
4444 BRITTMOORE RD 03/04/2				th/Day/Year) 4/2019				_X_ Director 10% Owner _X_ Officer (give title Other (specify below) below) President & CEO			
			endment, Date Original nth/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_Form filed by One Reporting Person				
HOUSTON	I, TX 77041							Form filed by M Person	ore than One Re	porting	
(City)	(State)	(Zip)	Tab	le I - Non-I	Derivative S	Securi	ties Acqu	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Da (Month/Day/Year	) Executio any	ned n Date, if Day/Year)	3. Transactio Code (Instr. 8)	4. Securiti on(A) or Dis (Instr. 3, 4	sposed	of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	03/04/2019			Code V $F(1)$	Amount 60,753	(D) D	Price \$ 17.01	(Instr. 3 and 4) 405,490	D		
Common Stock	03/04/2019			A <u>(2)</u>	91,711	А	\$ 17.01	497,201	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Tit Amou Unde: Secur (Instr	int of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Way Andrew James 4444 BRITTMOORE RD HOUSTON, TX 77041	Х		President & CEO				
Signatures							
Valerie L. Banner, Attorney in fact		03/06/2019					

Date

\*\*Signature of Reporting Person

Attorney-in-fact

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Issuer withheld the maximum allowable shares to satisfy the tax withholding oblgations associated with the vesting of restricted shares.

The award represents a grant of restricted stock under the 2015 Externa Corporation Stock Incentive Plan that vests over a three-year period of employment at the rate of one-third per year beginning on the first anniversary of the date of grant, subject to accelerated

(2) period of employment at the rate of one-third per year beginning on the first anniversary of the date of grant, subject to accelerated vesting or forfeiture under certain conditions as set out in a Change of Control Agreement, Severance Benefit Agreement and Award Notice entered into by the issuer and the reporting person.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.