

Sibley Tarrant L.
Form 3
June 01, 2018

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

| | | | | |
|---|---------|--------------------------------------|--|--|
| 1. Name and Address of Reporting Person * | | 2. Date of Event Requiring Statement | 3. Issuer Name and Ticker or Trading Symbol | |
| Sibley Tarrant L. | | (Month/Day/Year) | HUSBRO INC [HAS] | |
| (Last) | (First) | (Middle) | 4. Relationship of Reporting Person(s) to Issuer | 5. If Amendment, Date Original Filed(Month/Day/Year) |
| | | 05/22/2018 | | |
| C/O HUSBRO, INC., 1011 NEWPORT AVENUE | | | (Check all applicable) | |
| (Street) | | | <input type="checkbox"/> Director | <input type="checkbox"/> 10% Owner |
| PAWTUCKET, RI 02861 | | | <input checked="" type="checkbox"/> Officer | <input type="checkbox"/> Other |
| (City) | (State) | (Zip) | (give title below) | (specify below) |
| | | | SVP, Chief Legal Officer & Sec | |
| | | | 6. Individual or Joint/Group Filing(Check Applicable Line) | |
| | | | <input checked="" type="checkbox"/> Form filed by One Reporting Person | |
| | | | <input type="checkbox"/> Form filed by More than One Reporting Person | |

Table I - Non-Derivative Securities Beneficially Owned

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|--|---|--|---|
| Common Stock (Par Value \$.50 per share) | 22,786.9 ⁽¹⁾ | D | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | 4. Conversion or Exercise Price of Derivative Security | 5. Ownership Form of Derivative Security: Direct (D) | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|--|--|---|--|--|---|
|--|--|---|--|--|---|

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| | Date Exercisable | Expiration Date | | Amount or Number of Shares | | or Indirect (I) (Instr. 5) | |
|--|------------------|-----------------|--------------|----------------------------|----------|----------------------------|---|
| Stock Option (Right to Buy) ⁽²⁾ | 02/12/2017 | 02/12/2021 | Common Stock | 2,260 | \$ 52.11 | D | Â |
| Stock Option (Right to Buy) ⁽²⁾ | 02/11/2018 | 02/11/2022 | Common Stock | 5,498 | \$ 61.77 | D | Â |
| Stock Option (Right to Buy) ⁽²⁾ | Â ⁽³⁾ | 02/23/2023 | Common Stock | 4,936 | \$ 74.42 | D | Â |
| Stock Option (Right to Buy) ⁽²⁾ | Â ⁽⁴⁾ | 02/21/2024 | Common Stock | 4,213 | \$ 98.8 | D | Â |
| Stock Option (Right to Buy) ⁽²⁾ | Â ⁽⁵⁾ | 02/20/2021 | Common Stock | 4,588 | \$ 98.1 | D | Â |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|----------------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Sibley Tarrant L. C/O HASBRO, INC. 1011 NEWPORT AVENUE PAWTUCKET, RI 02861 | Â | Â | Â SVP, Chief Legal Officer & Sec | Â |

Signatures

Tarrant L. Sibley 06/01/2018
 **Signature of Date
 Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The Amount of Securities Beneficially Owned includes 1,840 shares of unvested restricted stock units.
- (2) These options were granted pursuant to an employee stock option plan in accordance with Rule 16b-3 and have tandem tax withholding rights.
- (3) 3,308 of the option are exercisable currently and 1,628 of the options become exercisable on February 23, 2019 subject to the optionee's continued employment through those dates.
- (4) 1,405 of the options are currently exercisable and 1,404 of the options become exercisable on each February 21, 2019 and February 21, 2020 subject to the optionee's continued employment through those dates.
- (5) 1,531 of the options become exercisable on February 20, 2019, 1,528 of the options will become exercisable on February 20, 2020 and 1,529 of the options will become exercisable on February 20, 2021 subject to the optionee's continued employment through those dates.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.