

CHAI TRUST CO LLC

Form 4

November 27, 2018

**FORM 4**
**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

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Number: 3235-0287  
Expires: January 31,  
2005  
Estimated average  
burden hours per  
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
CHAI TRUST CO LLC

(Last) (First) (Middle)

TWO NORTH RIVERSIDE  
PLAZA, SUITE 600

(Street)

CHICAGO, IL 60606

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol

Exterran Corp [EXTN]

3. Date of Earliest Transaction  
(Month/Day/Year)

11/23/2018

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_\_\_\_ Officer (give title below) \_\_\_\_ Other (specify below)

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
\_X\_ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) |        |            | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |                              |
|---------------------------------|--------------------------------------|----------------------------------------------------|--------------------------------|-------------------------------------------------------------------|--------|------------|-----------------------------------------------------------------------------------------------|----------------------------------------------------------|-------------------------------------------------------|------------------------------|
|                                 |                                      |                                                    | Code                           | V                                                                 | Amount | (A) or (D) | Price                                                                                         |                                                          |                                                       |                              |
| Common Stock                    | 11/23/2018                           |                                                    | P                              |                                                                   | 38,600 | A          | \$ 21.916<br>(1)                                                                              | 761,226                                                  | I                                                     | By EGI-Fund C, L.L.C.<br>(4) |
| Common Stock                    | 11/26/2018                           |                                                    | P                              |                                                                   | 28,057 | A          | \$ 22.3844<br>(2)                                                                             | 789,283                                                  | I                                                     | By EGI-Fund C, L.L.C.<br>(4) |
| Common Stock                    | 11/27/2018                           |                                                    | P                              |                                                                   | 58,247 | A          | \$ 22.4753<br>(3)                                                                             | 847,530                                                  | I                                                     | By EGI-Fund C, L.L.C.<br>(4) |

By  
EGI-Fund  
C, L.L.C.  
(4)

By  
EGI-Fund  
C, L.L.C.  
(4)

By  
EGI-Fund  
C, L.L.C.  
(4)

# Edgar Filing: CHAI TRUST CO LLC - Form 4

|                 |           |   |                                                              |
|-----------------|-----------|---|--------------------------------------------------------------|
| Common<br>Stock | 447,567   | I | By<br>EGI-Fund<br>(05-07)<br>Investors,<br>L.L.C. <u>(5)</u> |
| Common<br>Stock | 332,327   | I | By<br>EGI-Fund<br>(08-10)<br>Investors,<br>L.L.C. <u>(6)</u> |
| Common<br>Stock | 908,742   | I | By<br>EGI-Fund<br>(11-13)<br>Investors,<br>L.L.C. <u>(7)</u> |
| Common<br>Stock | 1,849,806 | I | By<br>EGI-Fund<br>B, L.L.C.<br><u>(8)</u>                    |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2. Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4. Transaction<br>Code<br>(Instr. 8) | 5. Number<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) | 7. Title and<br>Amount of<br>Underlying<br>Securities<br>(Instr. 3 and 4) | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Nu<br>Deriv<br>Secur<br>Bene<br>Own<br>Follo<br>Repo<br>Trans<br>(Instr |
|-----------------------------------------------------|--------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|--------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------|---------------------------------------------------------------------------|-----------------------------------------------------|----------------------------------------------------------------------------|
|                                                     |                                                                    |                                         |                                                             | Code                                 | V (A) (D)                                                                                                          | Date<br>Exercisable                                            | Expiration<br>Date                                                        | Title                                               | Amount<br>or<br>Number<br>of<br>Shares                                     |

## Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

Reporting Owners

CHAI TRUST CO LLC  
TWO NORTH RIVERSIDE PLAZA  
SUITE 600  
CHICAGO, IL 60606

## Signatures

Chai Trust Company, LLC; By: /s/ Philip G. Tinkler, Chief Financial Officer

11/27/2018

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) The price reported in Column 4 is a weighted average price. The shares were purchased in multiple transactions at prices ranging from \$21.495 to \$22.00, inclusive. The reporting person undertakes to provide to Exterran Corporation, any security holder of Exterran Corporation, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares purchased at each separate price within the ranges set forth in footnotes (1) through (3).
- (2) The price reported in Column 4 is a weighted average price. The shares were purchased in multiple transactions at prices ranging from \$22.26 to \$22.50, inclusive.
- (3) The price reported in Column 4 is a weighted average price. The shares were purchased in multiple transactions at prices ranging from \$22.41 to \$22.50, inclusive.
- (4) Chai Trust Company, LLC is the managing member of EGI-Fund C, L.L.C. and accordingly may be deemed to beneficially own these shares of Common Stock held directly by EGI-Fund C, L.L.C.
- (5) Chai Trust Company, LLC is the non-member manager of EGI-Fund (05-07) Investors, L.L.C. and accordingly may be deemed to beneficially own these shares of Common Stock held directly by EGI-Fund (05-07) Investors, L.L.C.
- (6) Chai Trust Company, LLC is the non-member manager of EGI-Fund (08-10) Investors, L.L.C. and accordingly may be deemed to beneficially own these shares of Common Stock held directly by EGI-Fund (08-10) Investors, L.L.C.
- (7) Chai Trust Company, LLC is the non-member manager of EGI-Fund (11-13) Investors, L.L.C. and accordingly may be deemed to beneficially own these shares of Common Stock held directly by EGI-Fund (11-13) Investors, L.L.C.
- (8) Chai Trust Company, LLC is the managing member of EGI-Fund B, L.L.C. and accordingly may be deemed to beneficially own these shares of Common Stock held directly by EGI-Fund B, L.L.C.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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