

ARRIS GROUP INC
Form 4
December 29, 2015

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
COPPOCK RONALD

(Last) (First) (Middle)
3871 LAKEFIELD DR.
(Street)

SUWANEE, GA 30024

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
ARRIS GROUP INC [ARRS]

3. Date of Earliest Transaction
(Month/Day/Year)
12/10/2015

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

____ Director _____ 10% Owner
____ Officer (give title below) _____ Other (specify below)
PRESIDENT, SALES AND MARKETING

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V Amount (D) Price			
Common Stock ⁽¹⁾	12/24/2015		M	40,887 A \$ 0	78,750	D	
Common Stock	12/24/2015		G	V 300 D \$ 0	37,863	D	
Restricted stock (T) 140327 ⁽²⁾	12/24/2015		F	2,391 ⁽³⁾ D \$ 0	12,565	D	
Restricted stock (T) 140327 ⁽²⁾	12/24/2015		M	2,594 ⁽⁴⁾ D \$ 0	9,970	D	
	12/24/2015		F		16,647	D	

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Restricted stock (T) 150330 <u>(2)</u>			2,268 <u>(3)</u>		\$	29.41	
Restricted stock (T) 150330 <u>(2)</u>	12/24/2015	M	2,461 <u>(4)</u>	D	\$ 0	14,186	D
Restricted stock (T) 130329 <u>(2)</u>	12/24/2015	F	2,724 <u>(3)</u>	D	\$ 29.41	2,955	D
Restricted Stock (T) 130329 <u>(2)</u>	12/24/2015	M	2,955 <u>(4)</u>	D	\$ 0	5,678	D
Restricted stock (P) 130329 <u>(5)</u>	12/24/2015	F	21,784 <u>(3)</u>	D	\$ 29.41	23,646	D
Restricted stock (P) 130329 <u>(5)</u>	12/24/2015	M	23,646 <u>(4)</u>	D	\$ 0	0	D
Restricted stock (T) 120328 <u>(2)</u>	12/24/2015	F	4,025 <u>(3)</u>	D	\$ 29.41	4,368	D
Restricted stock (T) 120328 <u>(2)</u>	12/24/2015	M	4,368 <u>(4)</u>	D	\$ 29.41	0	D
Restricted stock (T) 130712 <u>(2)</u>	12/24/2015	F	4,480 <u>(3)</u>	D	\$ 29.41	14,204	D
Restricted stock (T) 130712 <u>(2)</u>	12/24/2015	M	4,863 <u>(4)</u>	D	\$ 0	9,341	D
Restricted stock (P) 140327 <u>(5)</u>						39,880	D
Restricted stock (P) 150330 <u>(5)</u>						37,830	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Owned Following Transaction (Instr. 5)
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Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
COPPOCK RONALD 3871 LAKEFIELD DR. SUWANEE, GA 30024			PRESIDENT, SALES AND MARKETING	

Signatures

/s/ Lawrence A. Margolis, 12/29/2015
 Attorney-in-Fact Date
__Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) In connection with the planned transaction between ARRIS Group, Inc. and Pace plc, pursuant to Section 4985 of the Code, an excise tax may be imposed on the ARRIS officers. To avoid the excise tax becoming due on such equity awards, the ARRIS board of directors approved the accelerated vesting of certain equity awards. The number here represents shares of ARRIS acquired pursuant to the accelerated vesting.
- (2) Represents a restricted stock grant which vests in four equal annual installments beginning one year from the grant date.
- (3) Represents shares withheld to cover payroll tax liability on vesting of previously granted restricted shares
- (4) Represents the vested portion of award grant converting to common stock.
- (5) Represents a restricted stock grant that is performance-based and can vest between 0 and 200% of the grant amount based on the Company's shareholder return as compared to the NASDAQ Composite shareholder return over a three-year measurement period. Amount shown reflects maximum vesting of the award at the 200% level.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.