

ARRIS GROUP INC
Form 4
December 29, 2015

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Brennan James R

(Last) (First) (Middle)
3871 LAKEFIELD DRIVE
(Street)

SUWANEE, GA 30024

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
ARRIS GROUP INC [ARRS]

3. Date of Earliest Transaction
(Month/Day/Year)
12/24/2015

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

____ Director _____ 10% Owner
____ Officer (give title below) _____ Other (specify below)
SVP, GLOBAL SUPPLY CHAIN

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) | |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|---|
| | | | Code | V | Amount or Price | | | |
| Common Stock | 12/24/2015 | | M | | 24,808 (1) | A | \$ 0 43,627 | D |
| Restricted stock (P) 130712 (2) | 12/24/2015 | | F | | 14,524 (1) | D | \$ 29.41 17,736 | D |
| Restricted stock (P) 130712 (2) | 12/24/2015 | | M | | 17,736 (3) | D | \$ 0 0 | D |
| Restricted stock (T) 140327 (4) | 12/24/2015 | | F | | 2,041 (1) | D | \$ 29.41 11,556 | D |

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| | | | | | | | |
|--|------------|---|----------------------|---|----------|--------|---|
| Restricted stock (T) 140327 ⁽⁴⁾ | 12/24/2015 | M | 2,492 ⁽³⁾ | D | \$ 0 | 9,064 | D |
| Restricted stock (T) 150330 ⁽⁴⁾ | 12/24/2015 | F | 1,936 ⁽¹⁾ | D | \$ 29.41 | 15,259 | D |
| Restricted stock (T) 150330 ⁽⁴⁾ | 12/24/2015 | M | 2,363 ⁽³⁾ | D | \$ 0 | 12,896 | D |
| Restricted stock (T) 130712 ⁽⁴⁾ | 12/24/2015 | F | 1,816 ⁽¹⁾ | D | \$ 29.41 | 6,247 | D |
| Restricted stock (T) 130712 ⁽⁴⁾ | 12/24/2015 | M | 2,217 ⁽³⁾ | D | \$ 0 | 4,030 | D |
| Restricted stock (P) 140327 ⁽²⁾ | | | | | | 36,260 | D |
| Restricted stock (P) 150330 ⁽²⁾ | | | | | | 34,390 | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Beneficially Owned Following Transaction (Instr. 3 and 4) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|--|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|--------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Brennan James R 3871 LAKEFIELD DRIVE SUWANEE, GA 30024 | | | SVP, GLOBAL SUPPLY CHAIN | |

Signatures

/s/ Lawrence A. Margolis,
Attorney-in-Fact

12/29/2015

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares withheld to cover payroll tax liability on vesting of previously granted restricted shares.
Represents a restricted stock grant that is performance-based and can vest between 0 and 200% of the grant amount based on the
 - (2) Company's shareholder return as compared to the NASDAQ Composite shareholder return over a three-year measurement period.
Amount shown reflects maximum vesting of the award at the 200% level.
 - (3) Represents the vested portion of award grant converting to common stock.
 - (4) Represents a restricted stock grant which vests in four equal annual installments beginning one year from the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.