

NORDSTROM PETER E
Form 4/A
October 04, 2017

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
NORDSTROM PETER E

2. Issuer Name and Ticker or Trading Symbol
NORDSTROM INC [JWN]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
C/O NORDSTROM, INC., 1617
SIXTH AVENUE

(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
02/24/2017

Director 10% Owner
 Officer (give title below) Other (specify below)
Executive Vice President

SEATTLE, WA 98101

(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)
02/28/2017

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) | | |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|-----|-------|
| | | | | (A) or (D) | Code | V | Amount | (D) | Price |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security | 2. Conversion or Exercise | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any | 4. Transaction Code | 5. Number of Derivative Securities | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. D |
|---------------------------------|---------------------------|--------------------------------------|-----------------------------------|---------------------|------------------------------------|--|---|------|
|---------------------------------|---------------------------|--------------------------------------|-----------------------------------|---------------------|------------------------------------|--|---|------|

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| (Instr. 3) | Price of Derivative Security | (Month/Day/Year) | (Instr. 8) | Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | Code | V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
|--------------------------------------|------------------------------|------------------|------------|---|------|---|-----|-----|------------------|-----------------|--------------|----------------------------|
| Employee Stock Option (right to buy) | \$ 75.23 | 10/06/2015 | A | 3,044 <u>(1) (2)</u> | | | | | <u>(3)</u> | 02/24/2025 | Common Stock | 3,044 |
| Employee Stock Option (right to buy) | \$ 57.16 | 10/06/2015 | A | 4,021 <u>(4) (5)</u> | | | | | <u>(6)</u> | 03/03/2024 | Common Stock | 4,021 |
| Employee Stock Option (right to buy) | \$ 50.26 | 10/06/2015 | A | 6,590 <u>(7) (8)</u> | | | | | <u>(9)</u> | 03/04/2023 | Common Stock | 6,590 |
| Employee Stock Option (right to buy) | \$ 49.15 | 10/06/2015 | A | 4,517 <u>(10) (11)</u> | | | | | <u>(12)</u> | 02/22/2022 | Common Stock | 4,517 |
| Employee Stock Option (right to buy) | \$ 42.48 | 10/06/2015 | A | 4,609 <u>(13) (14)</u> | | | | | <u>(15)</u> | 02/25/2021 | Common Stock | 4,609 |
| Employee Stock Option (right to buy) | \$ 34.5 | 10/06/2015 | A | 5,137 <u>(16) (17)</u> | | | | | <u>(18)</u> | 02/26/2020 | Common Stock | 5,137 |
| Employee Stock Option (right to buy) | \$ 12.58 | 10/06/2015 | A | 7,821 <u>(19) (20)</u> | | | | | <u>(21)</u> | 02/27/2019 | Common Stock | 7,821 |
| Employee Stock | \$ 35.51 | 10/06/2015 | A | 3,412 <u>(22)</u> | | | | | <u>(24)</u> | 02/27/2018 | Common Stock | 3,412 |

Option
(right to
buy)

(23)

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|--------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| NORDSTROM PETER E C/O NORDSTROM, INC. 1617 SIXTH AVENUE SEATTLE, WA 98101 | X | | Executive Vice President | |

Signatures

Karen Ruby, Attorney-in-Fact for Peter E.
Nordstrom

10/04/2017

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents additional shares issuable under an option dated 2/24/2015 as a result of the adjustment of the underlying option in connection with the special dividend declared by the issuer on October 1, 2015.
 - (2) The original grant of the underlying option was reported on Form 4 dated 2/26/2015.
 - (3) Granted under the issuer's 2010 Equity Incentive Plan, exercisable in four equal annual installments commencing 2/24/2016.
 - (4) Represents additional shares issuable under an option dated 3/3/2014 as a result of the adjustment of the underlying option in connection with the special dividend declared by the issuer on October 1, 2015.
 - (5) The original grant of the underlying option was reported on Form 4 dated 3/5/2014.
 - (6) Granted under the issuer's 2010 Equity Incentive Plan, exercisable in four equal annual installments commencing 3/3/2015.
 - (7) Represents additional shares issuable under an option dated 3/4/2013 as a result of the adjustment of the underlying option in connection with the special dividend declared by the issuer on October 1, 2015.
 - (8) The original grant of the underlying option was reported on Form 4 dated 3/4/2013.
 - (9) Granted under the issuer's 2010 Equity Incentive Plan, exercisable in four equal annual installments commencing 3/4/2014.
 - (10) Represents additional shares issuable under an option dated 2/17/2012 as a result of the adjustment of the underlying option in connection with the special dividend declared by the issuer on October 1, 2015.
 - (11) The original grant of the underlying option was reported on Form 4 dated 2/22/2012.
 - (12) Granted under the issuer's 2010 Equity Incentive Plan, exercisable in four equal annual installments commencing 2/22/2013.
 - (13) Represents additional shares issuable under an option dated 2/25/2011 as a result of the adjustment of the underlying option in connection with the special dividend declared by the issuer on October 1, 2015.
 - (14) The original grant of the underlying option was reported on Form 4 dated 2/28/2011.
 - (15) Granted under the issuer's 2010 Equity Incentive Plan, exercisable in four equal annual installments commencing 2/25/2012.
 - (16) Represents additional shares issuable under an option dated 2/26/2010 as a result of the adjustment of the underlying option in connection with the special dividend declared by the issuer on October 1, 2015.
 - (17) The original grant of the underlying option was reported on Form 4 dated 3/1/2010.

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- (18) Granted under the issuer's 2004 Equity Incentive Plan, exercisable in four equal annual installments commencing 2/26/2011.
- (19) Represents additional shares issuable under an option dated 2/27/2009 as a result of the adjustment of the underlying option in connection with the special dividend declared by the issuer on October 1, 2015.
- (20) The original grant of the underlying option was reported on Form 4 dated 3/2/2009.
- (21) Granted under the issuer's 2004 Equity Incentive Plan, exercisable in four equal annual installments commencing 2/27/2010.
- (22) Represents additional shares issuable under an option dated 2/28/2008 as a result of the adjustment of the underlying option in connection with the special dividend declared by the issuer on October 1, 2015.
- (23) The original grant of the underlying option was reported on Form 4 dated 2/29/2008.
- (24) Granted under the issuer's 2004 Equity Incentive Plan, exercisable in four equal annual installments commencing 2/28/2009.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.