

HORNSTRA PETER E

Form 4

February 20, 2019

**FORM 4****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
*See Instruction*  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person \*  
HORNSTRA PETER E

2. Issuer Name **and** Ticker or Trading  
Symbol  
THERMO FISHER SCIENTIFIC  
INC. [TMO]

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

(Last) (First) (Middle)  
168 THIRD AVENUE  
(Street)

3. Date of Earliest Transaction  
(Month/Day/Year)  
02/15/2019

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_\_\_\_X\_\_\_\_ Officer (give title \_\_\_\_ Other (specify  
below) below)  
VP & Chief Accounting Officer

WALTHAM, MA 02451

4. If Amendment, Date Original  
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
\_\_\_\_X\_\_\_\_ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting  
Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3. Transaction<br>Code<br>(Instr. 8) | 4. Securities Acquired<br>(A) or Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |          |
|---------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|-------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------|-------------------------------------------------------------------|----------|
|                                       |                                         |                                                             | Code                                 | V                                                                       | Amount                                                                                                             | (A)<br>or<br>(D)                                                     | Price                                                             |          |
| Common<br>Stock                       | 02/15/2019                              |                                                             | S <sup>(1)</sup>                     |                                                                         | 857                                                                                                                | D                                                                    | \$ 250                                                            | 17,057 D |
| Common<br>Stock                       | 02/15/2019                              |                                                             | M                                    |                                                                         | 1,650                                                                                                              | A                                                                    | \$<br>129.77                                                      | 18,707 D |
| Common<br>Stock                       | 02/15/2019                              |                                                             | S <sup>(1)</sup>                     |                                                                         | 1,650                                                                                                              | D                                                                    | \$ 250                                                            | 17,057 D |
| Common<br>Stock                       | 02/15/2019                              |                                                             | M                                    |                                                                         | 638                                                                                                                | A                                                                    | \$<br>124.28                                                      | 17,695 D |
| Common<br>Stock                       | 02/15/2019                              |                                                             | S <sup>(1)</sup>                     |                                                                         | 638                                                                                                                | D                                                                    | \$ 250                                                            | 17,057 D |

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|              |            |                  |       |   |           |        |   |           |
|--------------|------------|------------------|-------|---|-----------|--------|---|-----------|
| Common Stock | 02/15/2019 | M                | 2,775 | A | \$ 131.07 | 19,832 | D |           |
| Common Stock | 02/15/2019 | S <sup>(1)</sup> | 2,775 | D | \$ 250    | 17,057 | D |           |
| Common Stock |            |                  |       |   |           | 533.87 | I | By 401(k) |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Amount or Number of Shares |
|--------------------------------------------|--------------------------------------------------------|--------------------------------------|----------------------------------------------------|--------------------------------|-----------------------------------------------------------------------------------------|----------------------------------------------------------|---------------------------------------------------------------|-------------------------------|
|                                            |                                                        |                                      |                                                    | Code                           | V (A) (D)                                                                               | Date Exercisable                                         | Expiration Date                                               | Title                         |
| Stock Option (Right to Buy)                | \$ 129.77                                              | 02/15/2019                           |                                                    | M                              | 1,650                                                                                   | <u>(2)</u>                                               | 02/24/2023                                                    | Common Stock 1,650            |
| Stock Option (Right to Buy)                | \$ 124.28                                              | 02/15/2019                           |                                                    | M                              | 638                                                                                     | <u>(3)</u>                                               | 02/26/2021                                                    | Common Stock 638              |
| Stock Option (Right to Buy)                | \$ 131.07                                              | 02/15/2019                           |                                                    | M                              | 2,775                                                                                   | <u>(4)</u>                                               | 02/25/2022                                                    | Common Stock 2,775            |

## Reporting Owners

| Reporting Owner Name / Address | Relationships |           |         |       |
|--------------------------------|---------------|-----------|---------|-------|
|                                | Director      | 10% Owner | Officer | Other |

HORNSTRA PETER E  
168 THIRD AVENUE  
WALTHAM, MA 02451

VP & Chief  
Accounting  
Officer

## Signatures

/s/ Melodie T. Morin, Attorney-in-Fact for Peter E.  
Hornstra

02/20/2019

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on November 6, 2018.
- (2) The option vests in four equal annual installments beginning on February 24, 2017.
- (3) The options vested in four equal installments on February 26, 2015, 2016, 2017, and 2018.
- (4) The option vests in four equal annual installments beginning on February 25, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.  
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