Centre Partners V, L.P. Form 4

Check this box

if no longer

subject to

Section 16.

Form 4 or

#### FORM 4

November 19, 2018

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287

Expires: January 31, 2005

Estimated average burden hours per response... 0.5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person <u>\*</u> Centre Partners V, L.P.

2. Issuer Name **and** Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

LIFETIME BRANDS, INC [LCUT]

3. Date of Earliest Transaction

(Month/Day/Year) 11/15/2018

(Check all applicable)

\_\_\_\_ Director \_\_X\_\_ 10% Owner \_\_\_\_ Officer (give title \_\_\_\_ Other (specify below)

C/O CENTRE PARTNERS MANAGEMENT LLC, 825 THIRD AVENUE, 40TH FLOOR

(First)

(Middle)

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_\_\_ Form filed by One Reporting Person \_X\_ Form filed by More than One Reporting

Person

NEW YORK, NY 10022

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned						ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	omr Dispo (Instr. 3,	sed of 4 and (A) or	` ′	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock, par value \$0.01, per share	11/15/2018		P	1,116 (1)	A	\$ 10.4848 (2)	5,622,445 (3)	I	See footnotes (4) (5) (6)
Common Stock, par value \$0.01, per share	11/19/2018		P	9,337 (1)	A	\$ 10.7796	5,631,782 (3)	I	See footnotes (4) (5) (6)

7,086  $D_{(6)(8)}$ 

Common Stock, par value \$0.01, per share

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative	2. Conversion	3. Transaction Date (Month/Day/Year)		4. Transactio	5. orNumber	6. Date Exer Expiration D		7. Title Amour		8. Price of Derivative	9. Nu Deriv
Security	or Exercise		any	Code	of	(Month/Day	/Year)	Underl	ying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securit	ies	(Instr. 5)	Bene
	Derivative				Securities	;		(Instr. 3	3 and 4)		Owne
	Security				Acquired						Follo
					(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
									Amount		
								,	or		
						Date	Expiration		Number		
						Exercisable	Date		of		
				Code V	(A) (D)				Shares		

Relationships

# **Reporting Owners**

Reporting Owner Name / Address	Kelationships			
·	Director	10% Owner	Officer	Other
Centre Partners V, L.P. C/O CENTRE PARTNERS MANAGEMENT LLC 825 THIRD AVENUE, 40TH FLOOR NEW YORK, NY 10022		X		
Centre Capital Investors V LP C/O CENTRE PARTNERS MANAGEMENT LLC 825 THIRD AVENUE, 40TH FLOOR NEW YORK, NY 10022		X		
Centre Partners V LLC C/O CENTRE PARTNERS MANAGEMENT LLC 825 THIRD AVENUE, 40TH FLOOR NEW YORK, NY 10022		X		
		X		

Reporting Owners 2

JRJ V LP C/O CENTRE PARTNERS MANAGEMENT LLC 825 THIRD AVENUE, 40TH FLOOR NEW YORK, NY 10022	
Harwich Road V LP C/O CENTRE PARTNERS MANAGEMENT LLC 825 THIRD AVENUE, 40TH FLOOR NEW YORK, NY 10022	X
JRJ Inc. C/O CENTRE PARTNERS MANAGEMENT LLC 825 THIRD AVENUE, 40TH FLOOR NEW YORK, NY 10022	X
Harwich Road Inc. C/O CENTRE PARTNERS MANAGEMENT LLC 825 THIRD AVENUE, 40TH FLOOR NEW YORK, NY 10022	X
POLLACK BRUCE G C/O CENTRE PARTNERS MANAGEMENT LLC 825 THIRD AVENUE, 40TH FLOOR NEW YORK, NY 10022	X
JAFFE DAVID C/O CENTRE PARTNERS MANAGEMENT LLC 825 THIRD AVENUE, 40TH FLOOR NEW YORK, NY 10022	X

# **Signatures**

Centre Partners V, L.P., By: Centre Partners V LLC, Its: General Partner, By: /s/ William Tomai, Authorized Person				
**Signature of Reporting Person	Date			
Centre Capital Investors V LP, By: /s/ Bruce G. Pollack, Authorized Person	11/19/2018			
**Signature of Reporting Person	Date			
Centre Partners V LLC, By: /s/ William Tomai, Authorized Person				
**Signature of Reporting Person	Date			
JRJ V LP, By: JRJ Inc., Its: General Partner, By: /s/ Bruce G. Pollack, President				
**Signature of Reporting Person	Date			
Harwich Road V LP, By: Harwich Road Inc., Its: General Partner, By: /s/ David L. Jaffe, President	11/19/2018			
**Signature of Reporting Person	Date			
JRJ Inc., By: /s/ Bruce G. Pollack, President				
**Signature of Reporting Person	Date			
Harwich Road Inc., By: /s/ David L. Jaffe, President				
**Signature of Reporting Person	Date			
/s/ Bruce G. Pollack	11/19/2018			

Signatures 3

#### Edgar Filing: Centre Partners V, L.P. - Form 4

\*\*Signature of Reporting Person

Date

/s/ David L. Jaffe

11/19/2018

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares of common stock purchased in open market transactions by Centre Capital Investors V, L.P. ("Centre Investors"). Centre Partners V, L.P. ("Centre Partners LP") is the sole general partner of Centre Investors.
- The price reported in Column 4 is a weighted average price. The reporting persons undertake to provide to the Issuer, any security holder (2) of the Issuer or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth herein.
- (3) Includes 5,593,116 shares of common stock directly held by Taylor Parent, LLC ("Taylor Parent").
  - CP Taylor GP, LLC ("CP Taylor") has the authority to appoint the board of directors of Taylor Parent. Centre Partners, L.P. is the sole member of CP Taylor and the general partner of Centre Investors. Centre Partners V LLC ("Centre Partners") is the general partner of
- (4) Centre Partners LP. JRJ V LP ("JRJ LP") and Harwich Road V LP ("Harwich Road LP") are co-managers of Centre Partners. JRJ Inc. ("JRJ") is the general partner of JRJ LP. Harwich Road Inc. ("Harwich Road") is the general partner of Harwich Road LP. Bruce Pollack is the president of JRJ. David Jaffe is the president of Harwich Road. (Cont'd in FN 5)
- (Cont'd from FN 4) As such, Centre Partners LP, Centre Partners, JRJ LP, Harwich Road LP, JRJ, Harwich Road, Bruce Pollack and David Jaffe may be deemed to beneficially own the shares of the Issuer owned directly by Centre Investors and CP Taylor, Centre Partners LP, Centre Partners, JRJ LP, Harwich Road LP, JRJ, Harwich Road, Bruce Pollack and David Jaffe may be deemed to beneficially own the shares of the Issuer owned directly by Taylor Parent.
- (6) Each of the Reporting Persons disclaims beneficial ownership of the shares of the Issuer except to the extent of their respective pecuniary interest therein.
- The price reported in Column 4 is a weighted average price. The reporting persons undertake to provide to the Issuer, any security holder (7) of the Issuer or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth herein.
- (8) These shares are directly owned by Mr. Pollack.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.