#### STEVENS MARK A

Form 4

November 14, 2017

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** 3235-0287

**OMB APPROVAL** 

Number:

January 31,

2005

0.5

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obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

C/O NVIDIA

(Print or Type Responses)

1. Name and Address of Reporting Person \* STEVENS MARK A

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

(Last) (First)

(Middle)

NVIDIA CORP [NVDA] 3. Date of Earliest Transaction

(Check all applicable)

(Month/Day/Year)

11/13/2017

\_X\_\_ Director 10% Owner Officer (give title Other (specify

**CORPORATION. 2788 SAN** TOMAS EXPRESSWAY

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

SANTA CLARA, CA 95051

| (City)                               | (State)                                 | (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |  |   |                                |             |  |  |   |
|--------------------------------------|---|--|--|---|--------------------------------|-------------|--|--|---|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year)                            | 3.<br>Transactic<br>Code<br>(Instr. 8) | 4. Securit<br>or(A) or Di<br>(Instr. 3, | sposed<br>4 and :<br>(A)<br>or | of (D)      | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
| Common<br>Stock                      | 11/13/2017                              |  | M                                      | 35,000                                  | A                              | \$<br>17.78 | 72,382   | D  |   |
| Common<br>Stock                      | 11/13/2017                              |  | M                                      | 23,635                                  | A                              | \$<br>12.08 | 96,017   | D  |   |
| Common<br>Stock                      | 11/13/2017                              |  | M                                      | 42,775                                  | A                              | \$<br>14.63 | 138,792  | D  |   |
| Common<br>Stock                      | 11/13/2017                              |  | M                                      | 19,532                                  | A                              | \$<br>18.82 | 158,324  | D  |   |
| Common<br>Stock                      |   |  |  |   |                                |             | 1,824,352  | I  | By Trust  |

#### Edgar Filing: STEVENS MARK A - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4. 5. Number of TransactionDerivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) |         | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |                                     | 8<br>I<br>S<br>( |
|---|---|---|---|---|---------|--|--------------------|---|-------------------------------------|------------------|
|   |   |   |   | Code V  | (A) (D) | Date<br>Exercisable                                      | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of Shares |                  |
| Director<br>Stock<br>Option<br>(Right to<br>Buy)    | \$ 17.78  | 11/13/2017                              |   | M   | 35,000  | <u>(2)</u>   | 05/18/2021         | Common<br>Stock   | 35,000                              |                  |
| Director<br>Stock<br>Option<br>(Right to<br>Buy)    | \$ 12.08  | 11/13/2017                              |   | M   | 23,635  | (2)  | 05/17/2022         | Common<br>Stock   | 23,635                              |                  |
| Director<br>Stock<br>Option<br>(Right to<br>Buy)    | \$ 14.63  | 11/13/2017                              |   | M   | 42,775  | (2)  | 05/15/2023         | Common<br>Stock   | 42,775                              |                  |
| Director<br>Stock<br>Option<br>(Right to<br>Buy)    | \$ 18.82  | 11/13/2017                              |   | M   | 19,352  | (2)  | 05/26/2024         | Common<br>Stock   | 19,352                              |                  |

# **Reporting Owners**

| Reporting Owner Name / Address | Relationships |           |         |       |  |  |
|--------------------------------|---------------|-----------|---------|-------|--|--|
|                                | Director      | 10% Owner | Officer | Other |  |  |
| STEVENS MARK A                 | X             |           |         |       |  |  |
| C/O NVIDIA CORPORATION         |               |           |         |       |  |  |

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2788 SAN TOMAS EXPRESSWAY SANTA CLARA, CA 95051

## **Signatures**

/s/ Rebecca Peters, Attorney-in-Fact for Mark A. Stevens

11/14/2017

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Held by The 3rd Millennium Trust, of which Mr. Stevens and his wife are co-trustees.
- (2) Fully vested.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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