HALOZYME THERAPEUTICS INC

Form 4

October 02, 2007

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer

subject to Section 16. Form 4 or Form 5

obligations

may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

(Last)

1. Name and Address of Reporting Person * Lim Jonathan E

(First)

2. Issuer Name and Ticker or Trading Symbol

HALOZYME THERAPEUTICS

INC [HALO]

3. Date of Earliest Transaction (Month/Day/Year)

09/28/2007

(Middle)

5. Relationship of Reporting Person(s) to

OMB

Number:

Expires:

response...

Estimated average

burden hours per

OMB APPROVAL

3235-0287

January 31,

2005

0.5

Issuer

(Check all applicable)

_X__ Director 10% Owner X_ Officer (give title _ Other (specify below)

President, CEO

C/O HALOZYME THERAPEUTICS, INC., 11588 SORRENTO VALLEY ROAD, SUITE 17

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

SAN DIEGO, CA 92121

| (City) | (State) | Zip) Table | e I - Non-Do | erivative S | ecurit | ties Acq | uired, Disposed of | f, or Beneficial | y Owned |
|--------------------------------------|---|---|--|-------------|------------------|--|---|------------------|---------|
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transactio Code (Instr. 8) | · / | | 5. Amount of Securities Beneficially Owned Following | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | |
| | | | Code V | Amount | (A) or (D) | Price | Reported Transaction(s) (Instr. 3 and 4) | | |
| Common Stock | 09/28/2007 | | M(1) | 25,000 | A | \$ 0.39 | 698,310 | D | |
| Common Stock | 09/28/2007 | | S(1) | 100 | D | \$ 8.93 | 698,210 | D | |
| Common Stock | 09/28/2007 | | S(1) | 700 | D | \$ 8.92 | 697,510 | D | |
| Common Stock | 09/28/2007 | | S <u>(1)</u> | 201 | D | \$ 8.91 | 697,309 | D | |

Edgar Filing: HALOZYME THERAPEUTICS INC - Form 4

| Common Stock | 09/28/2007 | S <u>(1)</u> | 600 | D | \$ 8.9 | 696,709 | D |
|-----------------|------------|--------------|-------|---|------------|---------|---|
| Common Stock | 09/28/2007 | S <u>(1)</u> | 1,299 | D | \$ 8.89 | 695,410 | D |
| Common Stock | 09/28/2007 | S <u>(1)</u> | 700 | D | \$ 8.88 | 694,710 | D |
| Common Stock | 09/28/2007 | S(1) | 600 | D | \$ 8.85 | 694,110 | D |
| Common Stock | 09/28/2007 | S(1) | 400 | D | \$ 8.84 | 693,710 | D |
| Common Stock | 09/28/2007 | S <u>(1)</u> | 1,880 | D | \$ 8.83 | 691,830 | D |
| Common Stock | 09/28/2007 | S <u>(1)</u> | 1,100 | D | \$ 8.82 | 690,730 | D |
| Common Stock | 09/28/2007 | S <u>(1)</u> | 1,610 | D | \$ 8.81 | 689,120 | D |
| Common Stock | 09/28/2007 | S <u>(1)</u> | 3,010 | D | \$ 8.8 | 686,110 | D |
| Common Stock | 09/28/2007 | S <u>(1)</u> | 900 | D | \$ 8.79 | 685,210 | D |
| Common Stock | 09/28/2007 | S <u>(1)</u> | 600 | D | \$ 8.78 | 684,610 | D |
| Common Stock | 09/28/2007 | S <u>(1)</u> | 600 | D | \$ 8.77 | 684,010 | D |
| Common Stock | 09/28/2007 | S <u>(1)</u> | 1,350 | D | \$ 8.76 | 682,660 | D |
| Common Stock | 09/28/2007 | S(1) | 1,500 | D | \$ 8.75 | 681,160 | D |
| Common Stock | 09/28/2007 | S <u>(1)</u> | 224 | D | \$ 8.74 | 680,936 | D |
| Common Stock | 09/28/2007 | S <u>(1)</u> | 276 | D | \$ 8.73 | 680,660 | D |
| Common Stock | 09/28/2007 | S <u>(1)</u> | 950 | D | \$ 8.72 | 679,710 | D |
| Common Stock | 09/28/2007 | S <u>(1)</u> | 3,500 | D | \$ 8.71 | 676,210 | D |
| Common Stock | 09/28/2007 | S <u>(1)</u> | 700 | D | \$ 8.7 | 675,510 | D |
| Common Stock | 09/28/2007 | S <u>(1)</u> | 500 | D | \$ 8.69 | 675,010 | D |
| | 09/28/2007 | S(1) | 300 | D | | 674,710 | D |

Edgar Filing: HALOZYME THERAPEUTICS INC - Form 4

| Common Stock | | | | | \$ 8.68 | |
|-----------------|------------|--------------|-----|---|--------------------|---|
| Common Stock | 09/28/2007 | S <u>(1)</u> | 400 | D | \$ 8.67 674,310 | D |
| Common Stock | 09/28/2007 | S(1) | 400 | D | \$ 8.66 673,910 | D |
| Common Stock | 09/28/2007 | S <u>(1)</u> | 100 | D | \$ 8.65 673,810 | D |
| Common Stock | 09/28/2007 | S(1) | 300 | D | \$ 8.63 673,510 | D |
| Common Stock | 09/28/2007 | S <u>(1)</u> | 200 | D | \$ 8.59 673,310 | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactio Code (Instr. 8) | 5. Number of ctionDerivative Securities 3) Acquired (A) or Disposed of (D) (Instr. 3, 4, | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | |
|---|---|---|---|--|--|--------|--|--------------------|---|-------------------------------------|
| | | | | Code V | and s | | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Option to Purchase Common | \$ 0.39 | 09/28/2007 | | M(1) | | 25,000 | 11/11/2003 | 11/11/2013 | Common Stock | 25,000 |

Reporting Owners

Stock

| Reporting Owner Name / Address | Relationships | | | | | | | |
|---|---------------|-----------|----------------|-------|--|--|--|--|
| | Director | 10% Owner | Officer | Other | | | | |
| Lim Jonathan E C/O HALOZYME THERAPEUTICS, INC. 11588 SORRENTO VALLEY ROAD, SUITE 17 | X | | President, CEO | | | | | |
| SAN DIEGO, CA 92121 | | | | | | | | |

Reporting Owners 3

Signatures

/s/ Jonathan E. 10/01/2007 Lim

**Signature of Date
Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares purchased and sold, as applicable, pursuant to 10b5-1 Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 4