Edgar Filing: KERYX BIOPHARMACEUTICALS INC - Form 3

KERYX BIOPHARMACEUTICALS INC

Form 3

April 08, 2016

UNITED STATES SECURITIES AND EXCHANGE COMMISSION FORM 3 Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0104

Expires:

response...

January 31, 2005

0.5

Estimated average burden hours per

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

SECURITIES

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person *

GILMAN STEVEN C

(Last)

(First) (Middle)

Statement

(Month/Day/Year)

03/31/2016

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

KERYX BIOPHARMACEUTICALS INC [KERX]

4. Relationship of Reporting Person(s) to Issuer

Officer

5. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting

Filed(Month/Day/Year)

C/O KERYX BIOPHARMACEUTICALS, INC., ONE MARINA PARK DRIVE, 12TH FLOOR

(Street)

(Check all applicable)

10% Owner __X__ Director Other (give title below) (specify below)

BOSTON, MAÂ 02210

(City) (State) (Zip)

Form filed by More than One Reporting Person

Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)

2. Amount of Securities Beneficially Owned

(Instr. 4)

Ownership Form:

4. Nature of Indirect Beneficial Ownership (Instr. 5)

Direct (D) or Indirect (I) (Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying **Derivative Security** (Instr. 4)

5. 4. Ownership Conversion or Exercise Form of Price of Derivative

6. Nature of Indirect Beneficial Ownership

(Instr. 5)

Derivative Security:

1

Edgar Filing: KERYX BIOPHARMACEUTICALS INC - Form 3

	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Stock Options (Right to Buy)	03/31/2017(1)	03/31/2026	Common Stock	50,000	\$ 4.67	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships				
• 0	Director	10% Owner	Officer	Other	
GILMAN STEVEN C					
C/O KERYX BIOPHARMACEUTICALS, INC.	â v	Â	â	â	
ONE MARINA PARK DRIVE, 12TH FLOOR	АЛ	Α	А	A	
BOSTON, MA 02210					

Signatures

/s/ Brian Adams,
Attorney-in-Fact

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The options vest as follows: 16,667 on March 31, 2017; 16,667 on March 31, 2018; and 16,666 on March 31, 2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2