

HP INC

Form 3

November 12, 2015

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Â LORES ENRIQUE

(Last)

(First)

(Middle)

2. Date of Event Requiring Statement

(Month/Day/Year)

11/01/2015

3. Issuer Name and Ticker or Trading Symbol
HP INC [HPQ]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

(Check all applicable)

☐ Director ☐ 10% Owner☒ Officer ☐ Other
(give title below) (specify below)

President, PSS

6. Individual or Joint/Group Filing(Check Applicable Line)

☒ Form filed by One Reporting Person☐ Form filed by More than One Reporting PersonC/O HP INC.,Â 1501 PAGE
MILL ROAD

(Street)

PALO ALTO,Â CAÂ 94304

(City)

(State)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned1. Title of Security
(Instr. 4)2. Amount of Securities Beneficially Owned
(Instr. 4)3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)4. Nature of Indirect Beneficial Ownership
(Instr. 5)

Common Stock

0.562

D

Â

Common Stock

980

I

By Andbank

Common Stock

3,165

I

By Joint Fund Account with Spouse

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			
Employee Stock Option (right to buy)	04/21/2009 ⁽¹⁾	04/21/2016 ⁽³⁾	Common Stock	20,000	\$ 48.45	D	Â
Employee Stock Option (right to buy)	12/06/2013 ⁽¹⁾	12/06/2020 ⁽³⁾	Common Stock	45,000	\$ 13.83	D	Â
Employee Stock Option (right to buy)	12/11/2014 ⁽²⁾	12/11/2021 ⁽³⁾	Common Stock	25,000	\$ 26.99	D	Â
Employee Stock Option (right to buy)	09/17/2015 ⁽¹⁾	12/10/2022 ⁽³⁾	Common Stock	30,000	\$ 37.36	D	Â
Employee Stock Option (right to buy)	10/30/2016 ⁽⁴⁾	10/30/2023 ⁽³⁾	Common Stock	217,822	\$ 26.96	D	Â
Restricted Stock Units	Â ⁽⁷⁾	Â ⁽⁷⁾	Common Stock	6,978.8919	\$ ⁽⁵⁾	D	Â
Restricted Stock Units	Â ⁽⁶⁾⁽⁸⁾	Â ⁽⁸⁾	Common Stock	4,333.1832	\$ ⁽⁵⁾	D	Â
Restricted Stock Units	Â ⁽⁶⁾⁽⁹⁾	Â ⁽⁹⁾	Common Stock	6,807.8919	\$ ⁽⁵⁾	D	Â
Restricted Stock Units	Â ⁽¹⁰⁾	Â ⁽¹⁰⁾	Common Stock	153,202.1323	\$ ⁽⁵⁾	D	Â
Restricted Stock Units	Â ⁽¹¹⁾	Â ⁽¹¹⁾	Common Stock	64,911	\$ ⁽⁵⁾	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
LORES ENRIQUE C/O HP INC. 1501 PAGE MILL ROAD PALO ALTO, CA 94304	Â	Â	Â President, PSS	Â

Signatures

Katie Colendich as Attorney-in-Fact for Enrique
Lores

11/12/2015

Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This option became exercisable beginning on this date.
- (2) This option will become exercisable in three equal annual installments beginning on this date.
- (3) This option is no longer exercisable beginning on this date.
- (4) The performance contingent stock options will vest 33.3% on each of the first two anniversaries of the grant date, and 33.4% on the third anniversary, in each case subject to the satisfaction of certain stock price performance conditions being met within five years following the grant date.
- (5) Each restricted stock unit represents a contingent right to receive one share of the Issuer's common stock.
- (6) On 07/29/15 the Issuer announced approved amendments to certain outstanding long-term incentive awards that were originally scheduled to vest between 09/18/15 and 12/31/15, to provide for the accelerated vesting on 09/17/15.
- (7) On 07/26/13 the reporting person was granted 20,000 restricted stock units ("RSUs"), 6,666 of which vested on 07/26/14, 6,667 of which vested on 07/26/15, and 6,667 of which will vest on 07/26/16. Dividend equivalent rights accrue with respect to these RSUs when and as dividends are paid on the Issuer's common stock. The number of derivative securities in column 5 includes 311.8919 vested dividend equivalent rights accrued but not released since the grant date.
- (8) On 12/11/13 the reporting person was granted 12,500 RSUs, 4,166 of which vested on 12/11/14, 4,167 of which vested early on 09/17/15, and 4,167 of which will vest on 12/11/16. Dividend equivalent rights accrue with respect to these RSUs when and as dividends are paid on the Issuer's common stock. The number of derivative securities in column 5 includes 166.1832 vested dividend equivalent rights accrued but not released since the grant date.
- (9) On 12/10/14 the reporting person was granted 10,000 RSUs, 3,333 of which vested early on 09/17/15, 3,333 of which will vest on 12/10/16, and 3,334 of which will vest on 12/10/17. Dividend equivalent rights accrue with respect to these RSUs when and as dividends are paid on the Issuer's common stock. The number of derivative securities in column 5 includes 140.8919 vested dividend equivalent rights accrued but not released since the grant date.
- (10) On 03/18/15 the reporting person was granted 151,378 RSUs, 50,459 of which will vest on each of 03/18/16 and 03/18/17, and 50,460 of which will vest on 03/18/18. Dividend equivalent rights accrue with respect to these RSUs when and as dividends are paid on the Issuer's common stock. The number of derivative securities in column 5 includes 1,824.132 vested dividend equivalent rights accrued but not released since the grant date.
- (11) On 10/30/15 the reporting person was granted 64,911 RSUs, 21,637 of which will vest on each of 10/30/16, 10/30/17, and 10/30/18. Dividend equivalent rights accrue with respect to these RSUs when and as dividends are paid on the Issuer's common stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.