### Edgar Filing: AMERICAN STATES WATER CO - Form 4

#### AMERICAN STATES WATER CO

Form 4

December 21, 2015

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** Number:

Expires:

3235-0287

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

January 31, 2005

0.5

Estimated average

**OMB APPROVAL** 

response...

burden hours per

if no longer subject to Section 16. Form 4 or

Check this box

Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

**SECURITIES** 

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

See Instruction

1. Name and Address of Reporting Person \* TANG EVA G

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to

Issuer

below)

Symbol

AMERICAN STATES WATER CO

(Check all applicable)

[AWR]

(Last) (First) (Middle)

(Street)

3. Date of Earliest Transaction (Month/Day/Year)

Director 10% Owner Other (specify X\_ Officer (give title

6. Individual or Joint/Group Filing(Check

(Zip)

12/17/2015

Sr. Vice President & CFO

4. If Amendment, Date Original

Applicable Line)

\_X\_ Form filed by One Reporting Person

Filed(Month/Day/Year)

Form filed by More than One Reporting

Person

**HACIENDA** HEIGHTS, CA 91745-3833

ilLiGiiib,	C11717 15 5055
(City)	(State)

1544 MANOR GATE DRIVE

Tabla	I Non	Dorivotivo	Socurities	Acquired	Disposed of	f. or Beneficiall	borry O
- i abie	I - NON	ı-Derivative	Securiues	A cautrea.	. Disbosea oi	i, or Beneticiali	v Ownea

1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	, ,			5. Amount of Securities Beneficially Owned Following	Ownership Form: Direct (D)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)	(I) (Instr. 4)	
Common Shares	12/01/2015		A	23.6037 (1)	A	\$ 42.01	23,083.1561	D	
Common Shares	12/17/2015		M	1,100	A	\$ 19.31	24,183.1561	D	
Common Shares	12/17/2015		S	1,100	D	\$ 42.75	23,083.1561	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form

SEC 1474

(9-02)

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# displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date		4.	5. Number	6. Date Exerci		7. Title and	
Derivative	Conversion	(Month/Day/Year)	Execution Date, if		prof Derivative	*		Underlying S	
Security (Instr. 3)	or Exercise Price of		any (Month/Day/Year)	Code (Instr. 8)	Securities Acquired	(Month/Day/Y	ear)	(Instr. 3 and	4)
(Ilisu. 3)	Derivative		(Monui/Day/Tear)	(Ilisti. o)	(A) or				
	Security				Disposed of				
					(D)				
					(Instr. 3, 4,				
					and 5)				
									Amount
						Date	Expiration		or
						Exercisable	Date	Title	Number
				C + V	(A) (D)				of
				Code V	(A) (D)				Shares
Employee									
Stock								C	
Options	\$ 19.31	12/17/2015		M	1,100	01/01/2008	01/01/2017	Common	1,100
(Right to					·			Shares	ŕ
Buy)									
=57									

## **Reporting Owners**

Reporting Owner Name / Address	Kelationships						
• 5	Director	10% Owner	Officer	Other			
TANG EVA G			Sr. Vice				
1544 MANOR GATE DRIVE			President &				
HACIENDA HEIGHTS, CA 91745-3833			CFO				

# **Signatures**

/s/ Eva G. Tang 12/21/2015

\*\*Signature of Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) DER units credited on 12/1/2015 as dividend at FMV

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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