Swanton Wayne R Form 3 January 19, 2018

## FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

#### OMB APPROVAL

OMB Number:

3235-0104

Expires:

response...

January 31, 2005

0.5

Estimated average burden hours per

SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement Allergan plc [AGN] Swanton Wayne R (Month/Day/Year) 01/12/2018 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) **CLONSHAUGH BUSINESS** (Check all applicable) AND TECHNOLOGY PARK,, COOLOCK, CO. 10% Owner Director (Street) \_X\_\_ Officer Other 6. Individual or Joint/Group (give title below) (specify below) Filing(Check Applicable Line) EVP, Global Operations \_X\_ Form filed by One Reporting Person DUBLIN, L2Â D17 E400 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 1. Title of Security 2. Amount of Securities 3. 4. Nature of Indirect Beneficial Beneficially Owned Ownership (Instr. 4) Ownership (Instr. 4) (Instr. 5) Form: Direct (D) or Indirect (I) (Instr. 5) Â Ordinary Shares, par value \$0.0001 742 (1) D Â Ordinary Shares, par value \$0.0001  $1.002^{(2)}$ D 888 (3) Â Ordinary Shares, par value \$0.0001 D Â Ordinary Shares, par value \$0.0001 675 (4) D Ordinary Shares, par value \$0.0001 327 (5) D Â Ordinary Shares, par value \$0.0001  $1,054 \stackrel{(6)}{=}$ D  $1,252 \frac{(7)}{2}$ Â Ordinary Shares, par value \$0.0001 D Â Ordinary Shares, par value \$0.0001 4,945 (8) D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of	5. Ownership Form of Derivative Security:	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Direct (D) or Indirect (I) (Instr. 5)	
Stock Option (Right to Buy)	(9)	07/01/2024	Ordinary Shares	5,508	\$ 224	D	Â
Stock Option (Right to Buy)	(10)	03/16/2025	Ordinary Shares	1,369	\$ 307.51	D	Â
Performance-Based Restricted Stock Unit	(11)	(11)	Ordinary Shares	12,129	\$ (11)	D	Â

# **Reporting Owners**

Reporting Owner Name / Address							
·	Director	10% Owner	Officer	Other			
Swanton Wayne R							
CLONSHAUGH BUSINESS AND TECHNOLOGY PARK,	<b>^</b>	•	EVD CLI IO	<b>^</b>			

COOLOCK, CO. DUBLIN, L2Â D17 E400

Person

**Signatures**/s/ A. Robert D. Bailey, Attorney-in-Fact for the Reporting

01/19/2018

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Relationships

EVP, Global Operations Â

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Includes ordinary shares which were initially granted as restricted share units ("RSUs"). One-fourth of the total grant vested on each of (1) March 5, 2015, March 5, 2016 and March 5, 2017 and such RSUs now constitute ordinary shares. One-fourth of the total grant will vest on March 5, 2018 and still represents RSUs.
- Includes ordinary shares which were initially granted as performance-based restricted share units ("PSUs"). One-fourth of the total grant vested on each of March 5, 2015, March 5, 2016 and March 5, 2017 and such PSUs now constitute ordinary shares. One-fourth of the total grant will vest on March 5, 2018 and represents RSUs.
- Includes ordinary shares which were initially granted as PSUs. One-third of the total grant vested on December 31, 2017 and such PSUs now constitute ordinary shares. One-third of the total grant will vest on each of December 31, 2018 and December 31, 2019 and represents RSUs.

Reporting Owners 2

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- Includes ordinary shares which were initially granted as RSUs. One-fourth of the total grant vested on each of March 4, 2016 and March 4, 2017 and such RSUs now constitute ordinary shares. One-fourth of the total grant will vest on each of March 4, 2018 and March 4, 2019 and still represents RSUs.
- Includes ordinary shares which were initially granted as RSUs. One-fourth of the total grant vested on each of March 17, 2016 and
  March 17, 2017 and such RSUs now constitute ordinary shares. One-fourth of the total grant will vest on each of March 17, 2018 and March 17, 2019 and still represents RSUs.
- Includes ordinary shares which were initially granted as RSUs. One-fourth of the total grant vested on March 8, 2017 and such RSUs now constitute ordinary shares. One-fourth of the total grant will vest on each of March 8, 2018, March 8, 2019 and March 8, 2020 and still represents RSUs.
- (7) Represents RSUs. One-fourth of the total grant will vest on each of March 7, 2018, March 7, 2019, March 7, 2020 and March 7, 2021.
- (8) Represents RSUs. Twenty percent of the total grant will vest on each of December 12, 2018, December 12, 2019, December 12, 2020, December 12, 2021 and December 12, 2022.
- (9) Twenty percent of the total grant vested on each of July 1, 2015, July 1, 2016 and July 1, 2017. Twenty percent of the grant will vest on each of July 1, 2018 and July 1, 2019.
- (10) One-fourth of the total grant vested on each of March 17, 2016 and March 17, 2017. One-fourth of the grant will vest on each of March 17, 2018 and March 17, 2019.
- Represents PSUs which will vest as to fifty percent of total grant each on December 31, 2020 and December 31, 2021, subject to the achievement by the Issuer of certain performance criteria. Each PSU represents a contingent right to receive a number of ordinary shares equal to the product of the applicable performance multiple and the target number of shares underlying the PSU, as set forth in the award agreement between the Issuer and the Reporting Person.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.